Soliton resonance and web structure: the Davey-Stewartson equation

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Introduction and motivation

- (2+1)-dimensional integrable systems have a large variety of solutions.
- KPII has a large family of real, nonsingular soliton solutions exhibiting a rich phenomenology, including soliton resonance and web structure.
 These solutions likely to be stable and physically relevant.
- A nontrivial connection exists between soliton solutions of KPII and combinatorial algebraic geometry.
- Soliton resonance and web structure are a generic feature of (2+1)-D integrable systems.

Here: Davey-Stewartson (DS) equation; more precisely, defocusing DSII.

- The underlying mathematical structure of the soliton solutions of DSII is similar to that of KPII.
- At the same time, the physical behavior of the solutions is richer than that of KPII, and includes V-shape solitons and soliton reconnection.

Outline

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- KPII, its Wronskian solutions and its line solitons
- Ordinary soliton solutions and fully resonant solutions
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- Elastic N-soliton solutions
- 2. Soliton resonance in other (2+1)-dimensional integrable systems
- 3. The Davey-Stewartson equation
 - DSII, its Wronskian solutions and its line solitons
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 - V-shape solitons
 - Soliton reconnection
 - Unrestricted solutions, general case

The Kadomtsev-Petviashvili equation and its Wronskian solutions

• Kadomtsev-Petviashvili (KP) equation: $\sigma = i$: KPI; $\sigma = 1$: KPII

$$\frac{\partial}{\partial x}\left(-4\frac{\partial u}{\partial t}+6u\frac{\partial u}{\partial x}+\frac{\partial^3 u}{\partial x^3}\right)+\sigma^2\frac{\partial^2 u}{\partial y^2}=0$$

• Bilinear form: $u(x, y, t) = 2 \frac{\partial^2}{\partial x^2} \log \tau(x, y, t)$.

Then the tau-function $\tau(x, y, t)$ satisfies Hirota's bilinear equation:

$$(-4D_xD_t + D_x^4 + 3\sigma^2 D_y^2) \tau \cdot \tau = 0.$$

Hirota derivatives: $D_x^m f \cdot g = (\partial_x - \partial_{x'})^m f(x, y, t)g(x', y, t)|_{x'=x}$ etc.

• Wronskian solutions:

$$\tau(x, y, t) = \operatorname{Wr}(f_1, \dots, f_N) = \begin{vmatrix} f_1^{(0)} & \cdots & f_N^{(0)} \\ \vdots & \ddots & \vdots \\ f_1^{(N-1)} & \cdots & f_N^{(N-1)} \end{vmatrix},$$

with

$$\frac{\partial f_n}{\partial y} = \sigma \frac{\partial^2 f_n}{\partial x^2}, \qquad \frac{\partial f_n}{\partial t} = \frac{\partial^3 f_n}{\partial x^3}.$$

Ordinary line solitons and fully resonant solutions

• Line soliton: take N = 1 and $f = e^{\theta_1} + e^{\theta_2}$, with $\theta_m = k_m x + k_m^2 y + k_m^3 t + \theta_{m,0}$ and $k_1, k_2 \in \mathbb{R}$.

$$u = \frac{1}{2}(k_2 - k_1)^2 \operatorname{sech}^2[\frac{1}{2}(\theta_2 - \theta_1)],$$

travelling wave solution, localized in the *xy*-plane along the line $\theta_1 = \theta_2$.

- soliton direction: $c = -dx/dy = k_1 + k_2$. ("velocity" in the *xy*-plane) - soliton amplitude: $a = k_2 - k_1$.
- Ordinary *N*-soliton solutions: $f_n = e^{\theta_{2n-1}} + e^{\theta_{2n}}$, n = 1, ..., N. (Pattern in the *xy*-plane: intersection of *N* lines, plus small phase shifts.)
- Different choice of eigenfunctions: $f_n = \partial^{n-1} f / \partial x^{n-1}$, n = 1, ..., N. (These τ_N 's also generate solutions of the Toda lattice hierarchy.)
- Take $f = e^{\theta_1} + \cdots + e^{\theta_M}$, with θ_m as before and $k_1 < \cdots < k_M$.

Also a combination of exponential terms, like ordinary soliton solutions. Here however each eigenfunction f_n contains all exponentials.

General properties of fully resonant solutions

• Theorem: τ_N produces an (N_-, N_+) -soliton solution:

 $N_- := M - N$ line solitons as $y \to -\infty$, identified by [n, n+N], n = 1, ..., M - N. $N_+ := N$ line solitons as $y \to \infty$, identified by [n, n+M-N], n = 1, ..., N. The amplitudes and directions of these solitons are completely determined by these index pairs.

- The solution describes a fully resonant interaction of line solitons:
 - the whole interaction is a collection of fundamental resonances;
 - at each resonant vertex Miles' resonance condition is satisfied;
 - the interaction creates a web-like structure with $(N_+-1)(N_--1)$ holes.
- When M = 2N, *N*-soliton solutions are produced.

However these are not the same as ordinary *N*-soliton solutions.

- the amplitudes and directions of the solitons are different,
- the interaction process is different (Y-junctions vs X-junctions; each vertex of an ordinary solution becomes four vertices and a hole).

[GB & Y Kodama, 2003]

Fully resonant solutions: examples

Top: $N = 1, M = 5 \Rightarrow$ (4,1)-soliton solution. $(k_1, ..., k_5) = (-1, -\frac{1}{4}, \frac{1}{2}, \frac{3}{2}, \frac{5}{2})$

Bottom: $N = 3, M = 5 \Rightarrow$ (3,2)-soliton solution. $(k_1, ..., k_5) = (-2, -1, -\frac{1}{4}, \frac{1}{2}, \frac{3}{2})$

Both solutions are shown at two different values of time.



General soliton solutions of KPII

• General soliton solutions:

$$f_n(x,y,t) = \sum_{m=1}^M a_{n,m} e^{\theta_m(x,y,t)},$$

 $\theta_m(x, y, t) = k_m x + k_m^2 y + k_m^3 t + \theta_{m,0}$ = exponential "phases". N = number of eigenfunctions M = number of exponential phases $A = (a_{n,m}) = N \times M$ coefficient matrix, k_1, \dots, k_M = phase parameters.

• Lemma:

$$\tau_{N,M}(x,y,t) = \sum_{1 \le m_1 < m_2 < \cdots < m_N \le M} V_{m_1,\dots,m_N} A_{m_1,\dots,m_N} e^{\theta_{m_1,\dots,m_N}}.$$

 $A_{m_1,...,m_N} = N \times N$ minor obtained from columns $m_1,...,m_N$ of A, $\theta_{m_1,...,m_N} = \theta_{m_1} + \cdots + \theta_{m_N} =$ phase combination, $V_{m_1,...,m_N} = \prod_{1 \le s < s' \le N} (k_{m_s} - k_{m_{s'}}) =$ van der Monde determinant.

Asymptotics line soliton of KPII

- Note:
 - each phase combination combination is sum of *N* distinct phases,
 - the only (x, y, t)-dependence of $\tau(x, y, t)$ comes from $\theta_1, \ldots, \theta_M$,
 - if all minors of A are nonnegative, u(x, y, t) is nonsingular and positive.
- Theorem: [GB & Chakravarty, 2006]
 - u(x, y, t) is exponentially localized those lines in the *xy*-plane where a balance exists between two phase comb's with N-1 common phases.
 - Along each of these lines, the solution is (up to exp'lly small terms) a line soliton produced by the two phases being exchanged.
- Each line soliton is identified by an index pair [i, j]. WLOG, take i < j.
 (θ_i&θ_j are the phases being exchanged in the dominant phase combinations)
- Def: we call asymptotic line solitons those that extends out to infinity as $y \rightarrow \infty$ or as $y \rightarrow -\infty$.

In particular, we call incoming/outgoing solitons those as $y \to \mp \infty$.

Incoming and outgoing line solitons of KPII

- Theorem: [GB & Chakravarty, 2006] any irreducible, nonnegative coefficient matrix *A* generates:
 - $N_+ = N$ outgoing line solitons identified by $[i_n^+, j_n^+]$ with $i_n^+ < j_n^+$ and where i_1^+, \dots, i_N^+ label the *N* pivot columns of *A*
 - $N_- = M N$ incoming line solitons identified by $[i_n^-, j_n^-]$ with $i_n^- < j_n^$ and where j_1^-, \dots, j_N^- label the M - N non-pivot columns of A.

The index pairs are uniquely identified by rank conditions on the minors. (We say *A* is irreducible if rank(A) = N and, when in RREF, every column is nonzero & every row has at least 2 nonzero elements.)

- Corollary: *N*-soliton solutions are obtained when M = 2N.
- Def: we call elastic *N*-soliton solutions those for which the amplitudes and directions of the incoming and outgoing solitons are the same.
- The map $(i_1^+, \dots, i_N^+, j_1^-, \dots, j_{M-N}^-) \mapsto (j_1^+, \dots, j_N^+, i_1^-, \dots, i_{M-N}^-)$ identifies a permutation of $1, \dots, M$. [Chakravarty & Kodama, 2007]

Gallery of solutions solutions of KPII



(None of these is a traveling wave solution. Ordinary soliton solutions with N > 2 aren't either.)

Elastic 2-soliton solutions



Lemma: [GB, 2007]

Iff $c_2 - c_1 > a_1 + a_2$ an ordinary 2-soliton solution exists. Iff $|a_1 - a_2| < |c_2 - c_1| < a_1 + a_2$, a resonant 2-soliton solution exists. Iff $|c_2 - c_1| < |a_1 - a_2|$, an asymmetric 2-solution exists.

Interaction phase shifts of elastic 2-soliton solutions

The interactions are different for each type: [GB, 2007]

- ordinary solutions: $\delta x_{ord} = \delta x_s$, and can take any positive value,
- asymmetric solutions: $\delta x_{asym} = \delta x_s$, and can take any negative value,
- resonant soln's: $\delta x_{res} = \delta x_s + \delta x_a$; both terms can take any real value;

$$\delta x_s = \log \left| \frac{(c_2 - c_1)^2 - (a_2 - a_1)^2}{(c_2 - c_1)^2 - (a_2 + a_1)^2} \right|, \qquad \delta x_a = \log \left(\frac{a_{23}}{a_{24}} - 1 \right).$$



A nontrivial contribution to the phase shift exists for resonant solutions.

Elastic *N***-soliton solutions**

- Lemma: an elastic *N*-soliton solution is possible only when the pairs $[i_n, j_n]_{n=1}^N$ are disjoint. [GB & Chakravarty, 2006]
- Lemma: *A* generates an elastic solution iff its zero minors are dual:

 $A_{m_1,\ldots,m_N}=0 \iff A_{\bar{m}_1,\ldots,\bar{m}_N}=0,$

with $\{m_1, \ldots, m_N\} \cup \{\bar{m}_1, \ldots, m_N\} = \{1, \ldots, 2N\}$. [Kodama, 2004]

- Theorem: \exists an elastic solution for any disjoint set of pairs $[i_n, j_n]_{n=1}^N$. Refinement of Schubert cell decomposition of $\operatorname{Gr}_{N,M}^{\operatorname{tnn}}$. (cf. Postnikov, 2006) Explicit construction: [Kodama, 2004; GB & Chakravarty, 2006] Exploit linear algebra constraints derived from soliton asymptotics.
- Corollary: (2N-1)!! types of elastic N-soliton solutions are possible. (Total number of ways of arrange 2N integers in pairs.)
 Most of them are partially resonant.
- Many other combinatorial properties can be obtained.
 [Kodama, 2004; GB & Chakravarty, 2007; Chakravarty & Kodama, 2007,2008]

Resonance and web structure in other soliton systems

Resonance and web structure are generic for (2+1)-D integrable systems. cKP and dKP also have resonant solutions with web structure [S Isojima, R Willox & J Satsuma, 2002 & 2003; Y Kodama & K-i Maruno, 2006]

Moreover, fully resonant solutions also exist in discrete soliton systems:



Left: resonant 2-soliton solution of the 2D Toda lattice; center: resonant 2-soliton solution of the fully discrete 2D Toda lattice; right: resonant 2-soliton solution of the ultra-discrete 2D Toda lattice [K-i Maruno & GB, 2004]

The Davey-Stewartson equation and its bilinear forms

• Davey-Stewartson (DS) system: (subscripts x, y, t denote partial derivs) ($\sigma = \pm 1$: DSI/II; $v = \pm 1$: focusing/defocusing)

$$i\frac{\partial q}{\partial t} + \frac{1}{2}\sigma\frac{\partial^2 q}{\partial x^2} - \frac{1}{2}\frac{\partial^2 q}{\partial y^2} + 2\sigma qQ + 4\sigma v|q|^2 q = 0,$$

$$\frac{\partial^2 Q}{\partial x^2} + \sigma\frac{\partial^2 Q}{\partial y^2} = -4v\frac{\partial^2}{\partial x^2}(|q|^2).$$

• Real bilinear form: (v = 1, defocusing)

$$q = e^{4it} G/F$$
, $Q = (\log F)_{xx}$.

Then

$$(2iD_t + \sigma D_x^2 - D_y^2)G \cdot F = 0, \qquad (\sigma D_x^2 + D_y^2)F \cdot F + 8GG^* - 8F^2 = 0,$$

• Complex bilinear form: perform the change of variables

$$x_1 = \sqrt{\sigma}x + y, \quad x_{-1} = \sigma\left(-\sqrt{\sigma}x + y\right), \quad x_{\pm 2} = \mp it$$

Then

$$(D_{x_{\pm 2}} - D_{x_{\pm 1}}^2) G \cdot F = 0, \qquad \sigma D_{x_1} D_{x_{-1}} F \cdot F + 2G G^* - 2F^2 = 0.$$

Wronskian solutions of Hirota's equations

 Can write solutions of Hirota's equations as [Freeman 1984; Ohta 1989]

$$F = C \tau_N^{(s)}, \qquad G = C \tau_N^{(s+1)}, \qquad \bar{G} = C^* \tau_N^{(s-1)},$$

where $s \in \mathbb{Z}$ and $C \in \mathbb{C}$ are arbitrary constants,

$$\tau_{N}^{(n)} = \operatorname{Wr}_{x_{1}}(f_{1}^{(n)}, f_{2}^{(n)}, \cdots, f_{N}^{(n)}) = \det \begin{pmatrix} f_{1}^{(n)} & \cdots & f_{1}^{(n+N-1)} \\ \vdots & \ddots & \vdots \\ f_{N}^{(n)} & \cdots & f_{N}^{(n+N-1)} \end{pmatrix},$$

and f_1, \dots, f_N solve $\frac{\partial f^{(j)}}{\partial x_{\pm 1}} = f^{(j\pm 1)}, \qquad \frac{\partial f^{(j)}}{\partial x_{\pm 2}} = f^{(j\pm 2)},$ with $f^{(0)} = f$.

• But, to obtain solutions of DS we need $F \in \mathbb{R}$ and $\overline{G} = G^*$.

This imposes a restriction on the admissible sets of functions f_1, \ldots, f_N as well as the constants *s* and *C*.

Wronskian solutions of defocusing DSII

• Lemma: [GB & K-i Maruno, 2006]

To get solutions of DSII, take s = -(N-1)/2 & $C = (2i)^{-N(N-1)/2}$ with

$$f_n = \sum_{m=1}^M a_{n,m} e^{\theta_m}$$

where $\theta_m = \theta_{m,0} + \sum_{j=-2}^2 p_m^j x_j$ and $p_m = e^{i\varphi_m}$, with $a_{n,m} \in \mathbb{R}$ and $\varphi_m \in \mathbb{R}$.

• $A = (a_{n,m}) = \text{real } N \times M$ real coefficient matrix, $\varphi_1, \dots, \varphi_M = \text{real }$ phase parameters.

[An equivalent way to get the same *F*, *G* & *G*^{*} is to set s = 0 & *C* = 1 and multiply each exponential term in f_n by $e^{-i(N-1)\varphi_m/2}$.]

• In terms of the physical variables:

$$\theta_m(x, y, t) = 2 \left[x \sin \varphi_m + y \cos \varphi_m - t \sin(2\varphi_m) \right] + \theta_{0,m}.$$

• WLOG we can assume $\varphi_1, \ldots, \varphi_M$ are s.t. $-\pi \leq \varphi_1 < \cdots < \varphi_M < \pi$.

Tau-function of DSII via the Binet-Cauchy theorem

• The result is a direct consequence of:

Lemma: [GB & K-i Maruno, 2006]

$$\tau_N^{(n)} = (2i)^{N(N-1)/2} \sum_{\substack{1 \le m_1 < \dots < m_N \le M}} \Delta_{m_1,\dots,m_N} A_{m_1,\dots,m_N} \times e^{\theta_{m_1,\dots,m_N} + i[n+(N-1)/2]\varphi_{m_1,\dots,m_N}} ,$$

where

 $\begin{aligned} \theta_{m_1,...,m_N} &= \theta_{m_1} + \dots + \theta_{m_N} = \text{phase combination,} \\ \varphi_{m_1,...,m_N} &= \varphi_{m_1} + \dots + \varphi_{m_N}, \\ \Delta_{m_1,...,m_N} &= \prod_{1 \leq j < j' \leq N} \sin \left[\frac{1}{2} (\varphi_{m_{j'}} - \varphi_{m_j}) \right] \text{ (replaces Van der Monde determinant)} \\ A_{m_1,...,m_N} &= N \times N \text{ minor of } A \text{ obtained from columns } m_1, \dots, m_N. \end{aligned}$ (Proof: use Binet-Cauchy theorem)

- Can now verify the reality of F and the conjugacy of G and \overline{G} .
- Also, $\Delta_{m_1,...,m_N} > 0$ (since $-\pi \le \varphi_1 < \cdots < \varphi_M < \pi$) \Rightarrow nonsingular solutions.
- The tau-function has a similar expression to KP, but here the θ 's and the soliton direction are **not** increasing functions of the φ 's.

Line solitons of defocusing DSII

•
$$N = 1$$
 and $M = 2$:
 $Q(x, y, t) = (\sin \varphi_1 - \sin \varphi_2)^2 \operatorname{sech}^2 \left[\frac{1}{2} (\theta_1 - \theta_2) \right],$
 $|q(x, y, t)|^2 = \frac{1}{2} \operatorname{sech}^2 \left[\frac{1}{2} (\theta_1 - \theta_2) \right] \left\{ \cosh(\theta_1 - \theta_2) + \cos(\varphi_1 - \varphi_2) \right\}.$

Q = **bright** soliton component (intensity peak over zero background) q = **dark** soliton component (intensity dip over unit background)

- Both are traveling wave solutions localized along the line $\theta_1 = \theta_2$.
- Soliton direction: $c = \tan\left[\frac{1}{2}(\varphi_i + \varphi_j)\right]$.
- Soliton amplitude:

$$\max Q = (\sin \varphi_i - \sin \varphi_j)^2,$$

$$1 - \min |q|^2 = \sin^2 \left[\frac{1}{2}(\varphi_i - \varphi_j)\right].$$

• $\max Q = 0$ whenever $\varphi_i - \varphi_j = \pm \pi$ \Rightarrow all horizontal solitons disappear from the bright component. (In contrast, $1 - \min |q|^2 \neq 0$.)

Restricted soliton solutions

- When $-\pi/2 \le \varphi_1, \ldots, \varphi_M < \pi/2$, the corresponding solutions are s.t.:
 - the soliton directions $c_{j,j'}$ are increasing functions of $\varphi_j + \varphi_{j'}$. (Horizontal solitons are not included in this range.)
 - we can divide asymptotic line solitons into incoming and outgoing. (incoming/outgoing = extending out to infinity as $y \rightarrow \mp \infty$, as for KP.)
 - we can apply to this class of solutions all the tools developed for KP.
- Thus, we have the same results as for KP. In particular: Any irreducible, nonnegative coeff matrix generates a solution of DSII with:
 - *N* outgoing solitons identified by $[i_n^+, j_n^+]$, $i_n^+ < j_n^+$,
 - M-N incoming solitons identified by $[i_n^-, j_n^-]$, $i_n^- < j_n^-$, with i^+ is i^+ the N pixet columns and i^- is the M. N pap pixet
 - with i_1^+, \ldots, i_N^+ the *N* pivot columns and j_1^-, \ldots, j_N^- the *M*-*N* non-pivot columns.
- When $\varphi_1, \ldots, \varphi_M$ are in the full range, however, the distinction between incoming and outgoing solitons loses its significance, and new kinds of behavior appear.

Unrestricted solutions, N = 1 & M = 3: V-shape solitons

Left¢er: $(\phi_1, \phi_2, \phi_3) = (\frac{\pi}{4}, \frac{\pi}{2}, \frac{3\pi}{4}).$

bright component, Q(x,y,t)50).10 0.05 0.0 -500 50 -50 0 50 dark component, $|q(x,y,t)|^2$ 0.98 0.96 0.94 0.92 0.90 -50 -50 -50 50 -50 50 -500 0

Right: $(\varphi_1, \varphi_2, \varphi_3) = (-\frac{\pi}{4}, \frac{\pi}{4}, \frac{3\pi}{4}).$

Unrestricted solutions, N = 1 & M = 4: soliton reconnection

 $(\varphi_1, \dots, \varphi_4) = (-\frac{3\pi}{4}, -\frac{\pi}{4}, \frac{\pi}{4}, \frac{3\pi}{4})$. Left/center/right: t = -12, 0, 12. [Cf. Nishinari et al., 1993]



Can have elastic multi-soliton solutions with N = 1. But phase shifts are not time-independent!

Unrestricted solutions, general case

• Scalar case, N = 1: [GB & K-i Maruno, 2008]

 $\exists M-1$ asymptotic line solitons identified the index pairs [n, n+1],

- + 1 asymptotic line soliton identified by the pair [M, 1].
- Def: a pair [j,i] with j > i labels a soliton produced by θ_j and $\theta_i + 2\pi$. [It is therefore localized at $\alpha = \frac{1}{2}(\theta_i + \theta_j) + \pi$ instead of $\alpha = \frac{1}{2}(\theta_i + \theta_j)$].
- General case, N > 1: [GB & K-i Maruno, 2008]
 - M N asymptotic solitons identified by $[i_n^-, j_n^-]$, with $i_n^- < j_n^-$ and where j_1^-, \ldots, j_{M-N}^- label the non-pivot columns of A.
 - *N* asymptotic solitons identified by $[j_n^+, i_n^+]$, with $j_n^+ > i_n^+$ and where i_1^+, \ldots, i_N^+ label the pivot columns of *A*.

(Use a generalization of the methods of asymptotic analysis developed for KP.) But now any soliton can be upstairs/downstairs depending on $\varphi_1, \ldots, \varphi_M$.

• Any solution of DSII also identifies a permutation of $1, \ldots, M$: $(i_1^+, \ldots, i_N^+, j_1^-, \ldots, j_{M-N}^-) \mapsto (j_1^+, \ldots, j_N^+, i_1^-, \ldots, i_{M-N}^-).$

Gallery of solitons solutions of defocusing DSII

(Can define elastic solutions and classify them into non-/partially/fully resonant. And of course the *y*-independent solutions yield the dark solitons of NLS.)



Summary

- \circ The solitonic sector of (2+1)-dimensional soliton equations is very rich.
- For KPII, any nonnegative irreducible N×M matrix produces:
 M−N asymptotic line solitons as y → -∞ (one for each non-pivot),
 N asymptotic line solitons as y → ∞ (one for each pivot).
- (2N-1)!! types of elastic *N*-soliton solutions of KPII are possible, characterized by their physical properties.
- For DS, a restricted class exists in 1-to-1 correspondence with KP.
- Unrestricted solutions: more general phenomena; horizontal solitons,
 V-shape, soliton reconnection...
- Can classify even these more general solutions.
- There is a nontrivial connection between integrable systems and combinatorial algebraic geometry.

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