

Evgeny Epelbaum, RUB

Nuclear Physics School 2013, Otranto, Italy, May 27-31, 2013

Modern Theory of nuclear forces

Lectures 1+2: Foundations

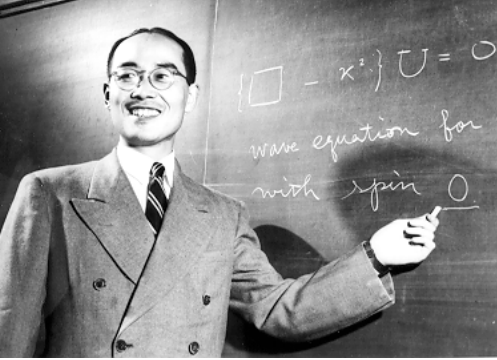
- History
- Introduction
- Chiral Perturbation Theory
- Pionless EFT for two nucleons
- NN beyond effective range expansion
- KSW vs Weinberg
- From effective Lagrangian to nuclear forces

Lecture 3: Chiral nuclear forces:
State of the art and applications

Lecture 4: Nuclear lattice simulations



Historical overview



Yukawa's
theory

Proca
 Kemmer
 Moller
 Rosenfeld
 Schwinger
 Pauli ...

discovery
of pions

two-pion
 exchange,
 meson
 theory...

discovery
of heavy
mesons

1930

1940

1950

1960

1970

BE models
 inverse scattering
 dispersion theory
 quark cluster models
 phenomenology
 ...

AV18
 CD Bonn
 Nijm I,II
 Reid93
 ...

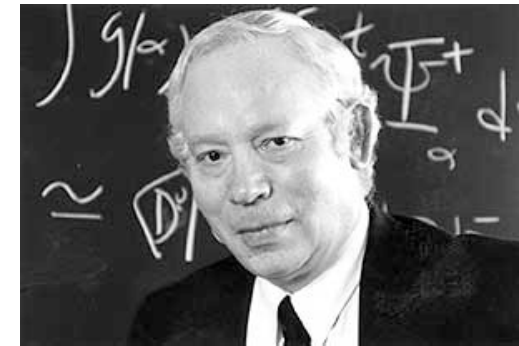
(Chiral) Effective Field Theory
 Lattice QCD
 $V_{\text{low-k}}$
 ...

1980

1990

2000

2010



Effective Theories



x 0.1

x 1

x 10



Effective Theories



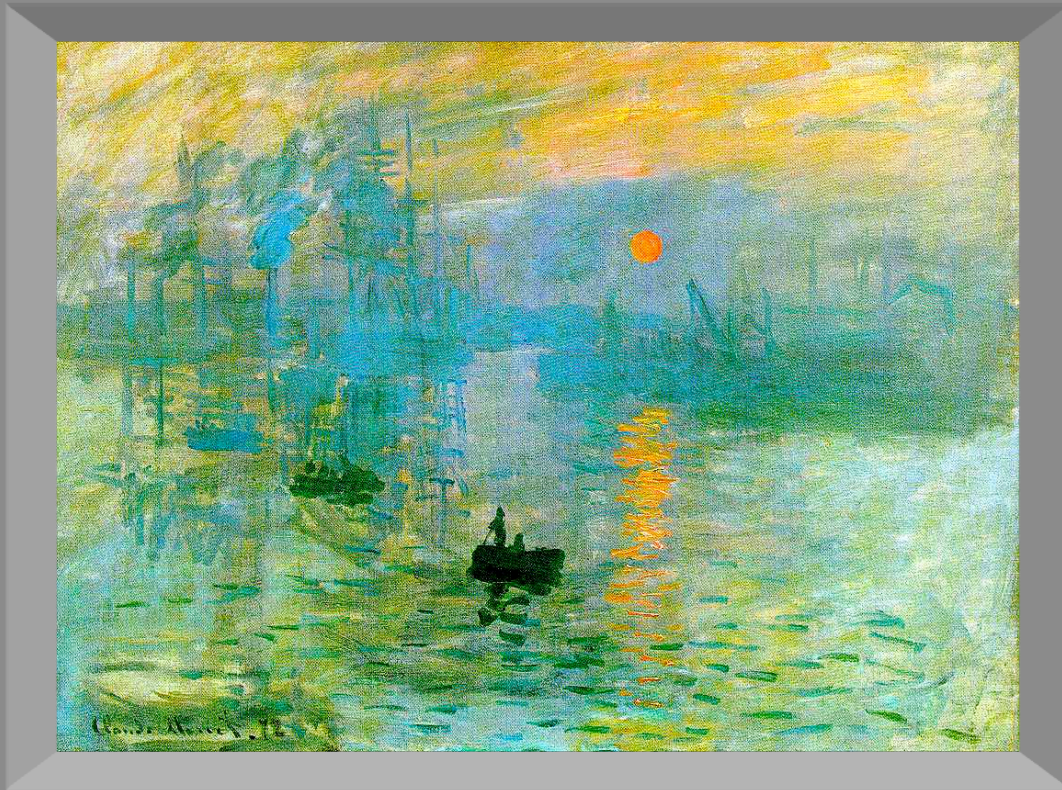
x 0.1

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x 10



Effective Theories



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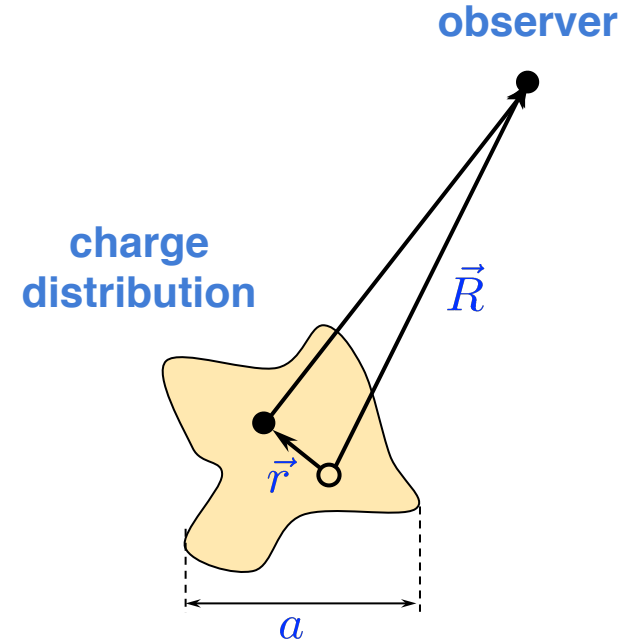


→ it is crucial to choose a proper resolution !

What is an effective theory?

Example from electrostatics

The goal: compute electric potential generated by a localized charge distribution $\rho(\vec{r})$

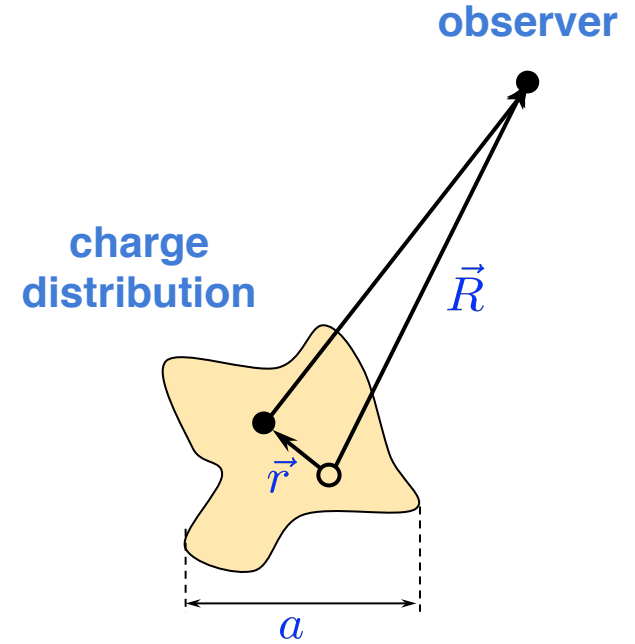


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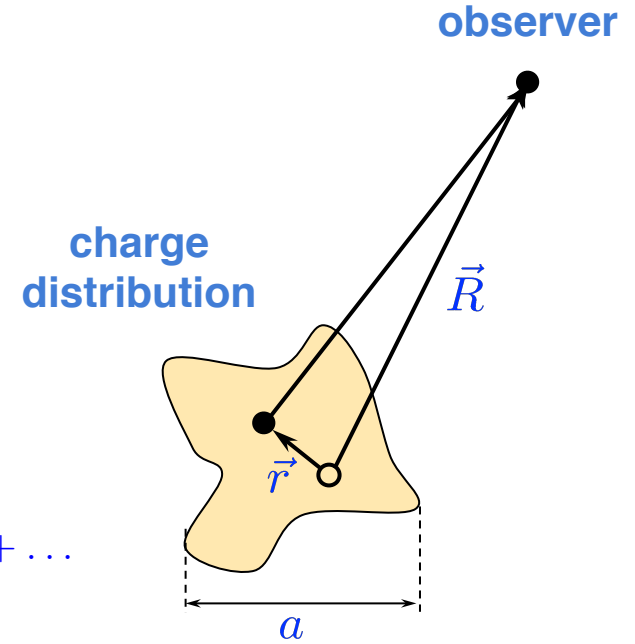
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- For $R \gg a$, only moments of $\rho(\vec{r})$ are needed:

$$V(\vec{R}) = \frac{q}{R} + \frac{1}{R^3} \sum_i R_i \mathbf{P}_i + \frac{1}{6R^5} \sum_{ij} (3R_i R_j - \delta_{ij} R^2) Q_{ij} + \dots$$

with multipole moments („low-energy constants“):

$$q = \int d^3r \rho(\vec{r}), \quad \mathbf{P}_i = \int d^3r \rho(\vec{r}) r_i, \quad Q_{ij} = \int d^3r \rho(\vec{r}) (3r_i r_j - \delta_{ij} r^2)$$



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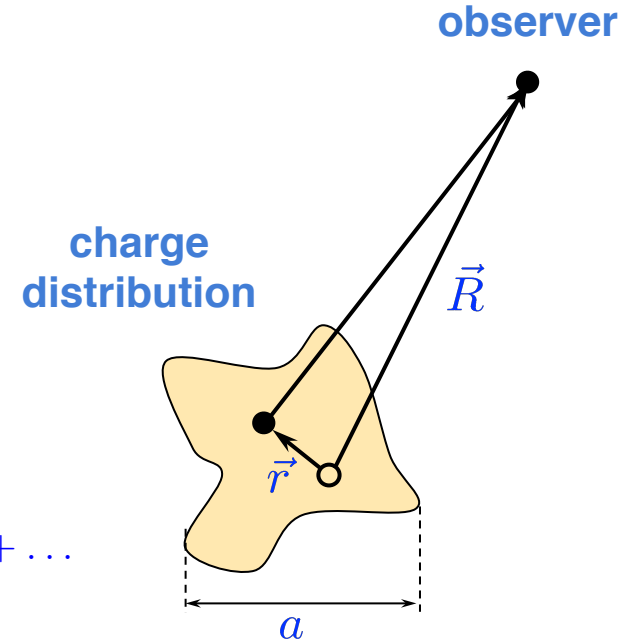
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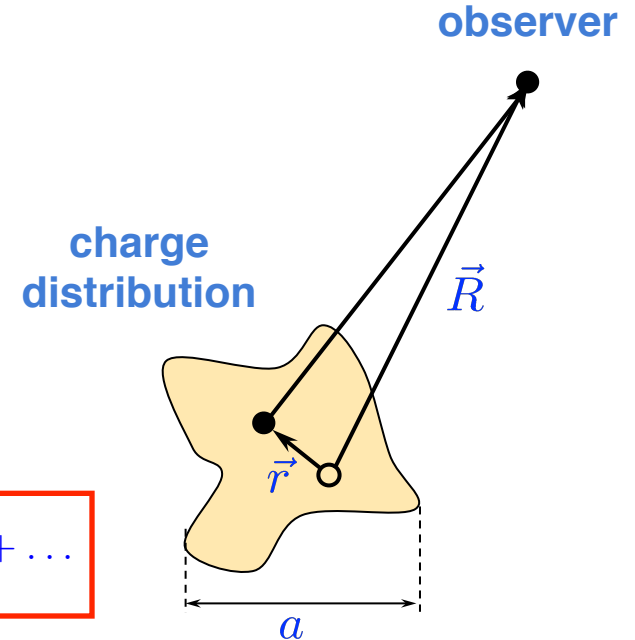
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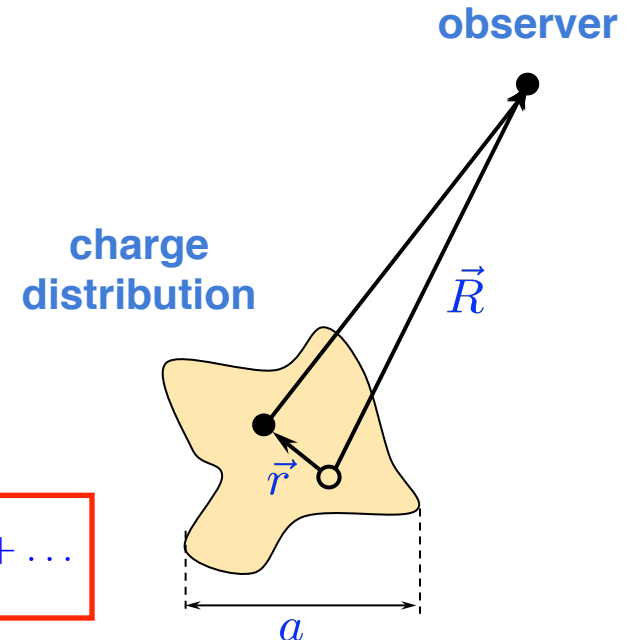
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- Getting the right answer without making calculations (and even without knowing $\rho(\vec{r})$)
 - write down the most general rotationally invariant (**symmetry!**) expression for $V(\vec{R})$
 - expected natural size of the LECs (dimensional analysis): $q \sim a^0$, $\mathbf{P}_i \sim a$, $Q_{ij} \sim a^2$, ...



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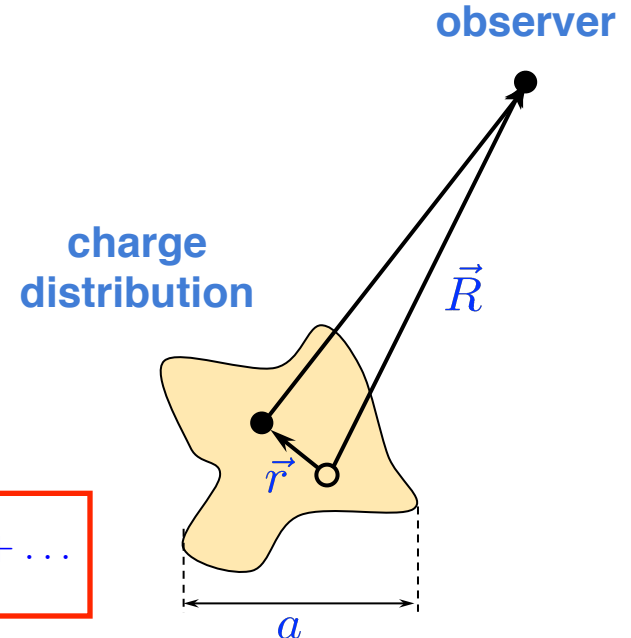
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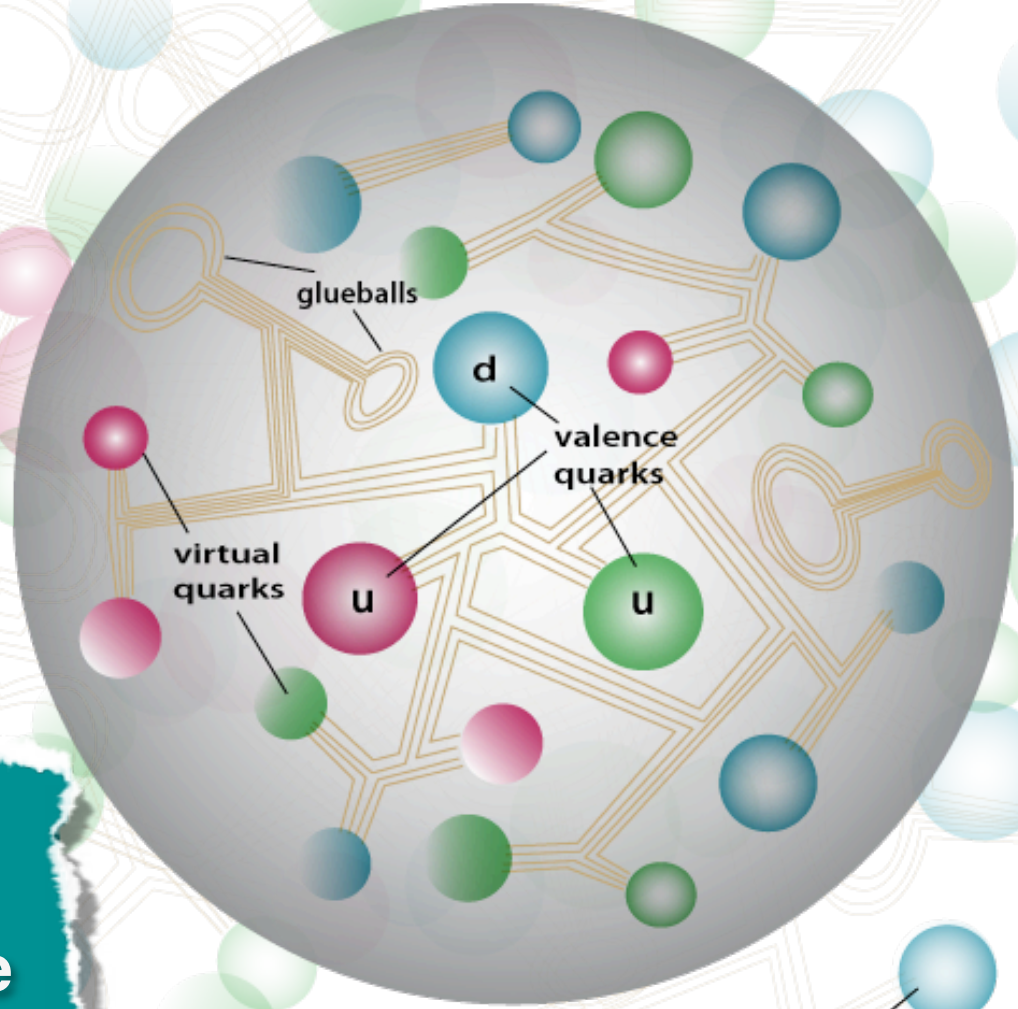
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 - expected natural size of the LECs (dimensional analysis): $q \sim a^0$, $\mathbf{P}_i \sim a$, $Q_{ij} \sim a^2$, ...
 - measure LECs & compute $V(\vec{R})$ via expansion in $\frac{a}{R}$ (power counting, separation of scales)

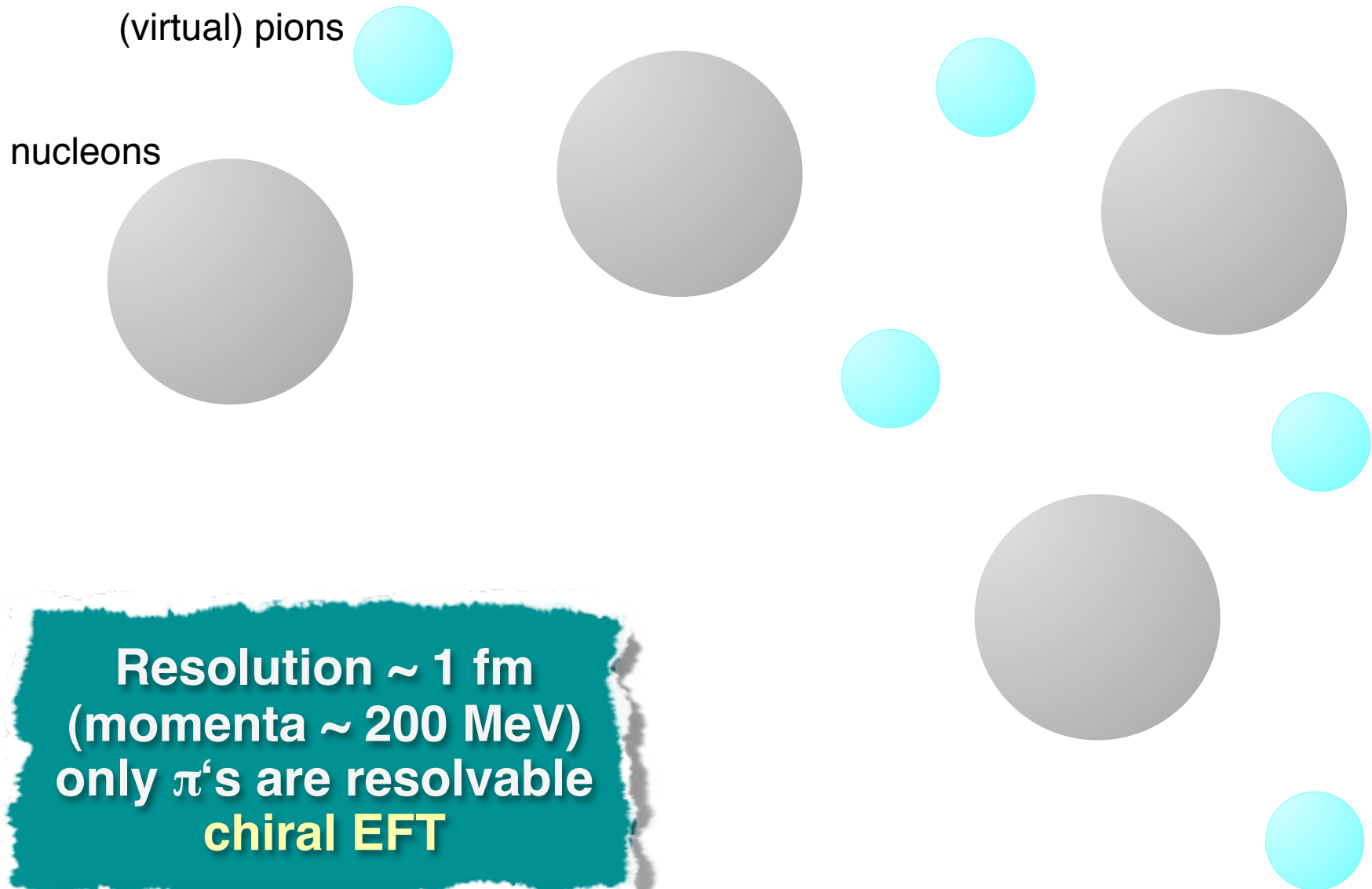


NN interaction at different resolutions

Resolution
scale $\ll 1$ fm:
probing the structure
of the nucleons...



NN interaction at different resolutions



NN interaction at different resolutions



Resolution \sim a few fm
(nucl. momenta $\ll M_\pi$):
cannot resolve pions
 π -less EFT

Chiral Perturbation Theory

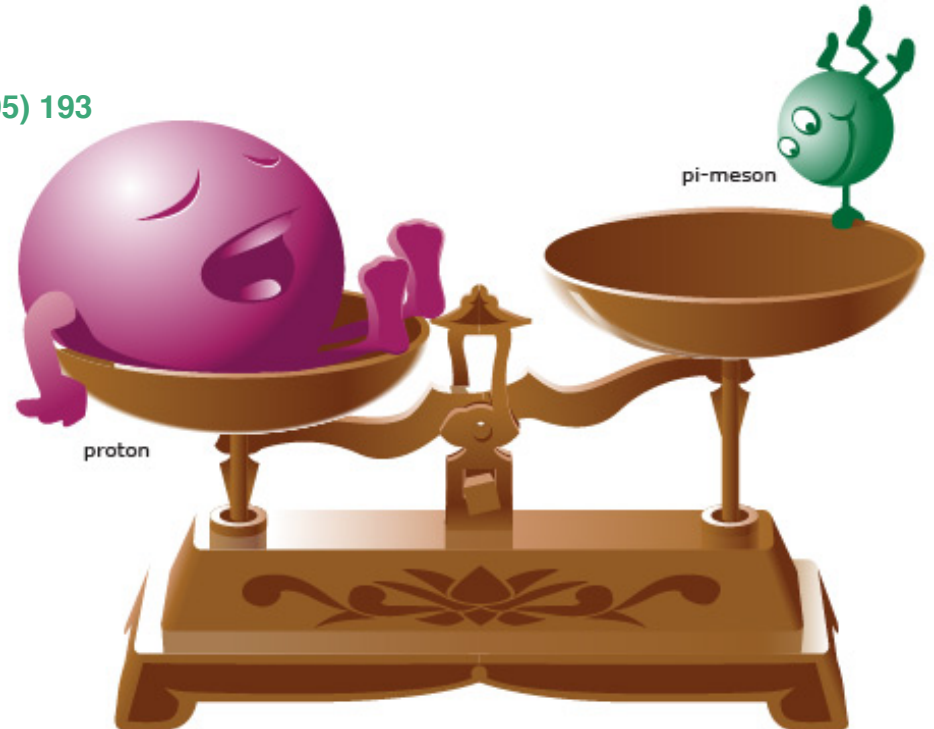
Weinberg, Gasser, Leutwyler, Bernard, Kaiser, Meißner, Bijnens, ...

Some recent review articles

- Bernard, Kaiser, Meißner, *Int. J. Mod. Phys. E4* (1995) 193
- Pich, *Rep. Prog. Phys.* 58 (1995) 563
- Bernard, *Prog. Part. Nucl. Phys.* 60 (2007) 82
- Scherer, *Prog. Part. Nucl. Phys.* 64 (2010) 1

Lecture notes

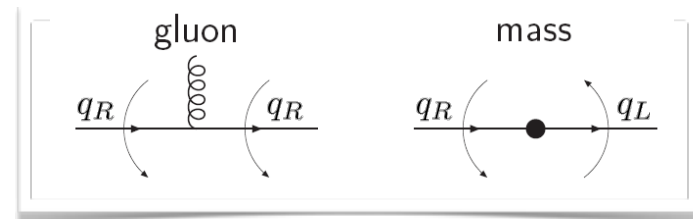
- Scherer, *Adv. Nucl. Phys.* 27 (2003) 277
- Gasser, *Lect. Notes Phys.* 629 (2004) 1



Chiral symmetry of QCD

• QCD and chiral symmetry

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{4}G_{\mu\nu}G^{\mu\nu} + \underbrace{\bar{q}_L i \not{D} q_L + \bar{q}_R i \not{D} q_R}_{\text{SU}(2)_L \times \text{SU}(2)_R \text{ invariant}} - \underbrace{\bar{q}_L \mathcal{M} q_R - \bar{q}_R \mathcal{M} q_L}_{\text{break chiral symmetry}}$$



Light quark masses ($\overline{\text{MS}}, \mu = 2 \text{ GeV}$):

$$\begin{aligned} m_u &= 1.5 \dots 3.3 \text{ MeV} \\ m_d &= 3.5 \dots 6.0 \text{ MeV} \end{aligned} \ll \Lambda_{\text{QCD}} \sim 220 \text{ MeV}$$

→ \mathcal{L}_{QCD} is approx. $\text{SU}(2)_L \times \text{SU}(2)_R$ invariant

spontaneous breakdown to $\text{SU}(2)_V \subset \text{SU}(2)_L \times \text{SU}(2)_R \rightarrow$ Goldston Bosons (pions)

• Chiral perturbation theory

- Ideal world [$m_u = m_d = 0$], zero-energy limit: non-interacting massless GBs (+ strongly interacting massive hadrons)
- Real world [$m_u, m_d \ll \Lambda_{\text{QCD}}$], low energy: weakly interacting light GBs (+ strongly interacting massive hadrons)

→ expand about the ideal world (ChPT)

Effective Lagrangian for pions

Pions transform linearly under isospin (isotriplet): $|\pi_1\rangle = \frac{|\pi^+\rangle - |\pi^-\rangle}{\sqrt{2}}$, $|\pi_2\rangle = \frac{|\pi^+\rangle + |\pi^-\rangle}{\sqrt{2}i}$, $|\pi^3\rangle = |\pi^0\rangle$

Pions have to transform nonlinearly under chiral rotations

$(SU(2)_L \times SU(2)_R \sim SO(4)) \rightarrow$ pion fields as coordinates on a 4-dimensional sphere)

Nonlinear field redefinitions of the kind $\vec{\pi} \rightarrow \vec{\pi}' = \vec{\pi} F[\vec{\pi}]$, $F[0] = 1$ do not change physics

\rightarrow all nonlinear realizations of χ symmetry are equivalent \rightarrow use most convenient one!

Haag '58; Coleman, Callan, Wess, Zumino '69

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Example of an explicit construction:

Infinitesimal $SO(4)$ rotation of the 4-vector $(\pi_1, \pi_2, \pi_3, \sigma)$:
$$\begin{pmatrix} \pi \\ \sigma \end{pmatrix} \xrightarrow{SO(4)} \begin{pmatrix} \pi' \\ \sigma' \end{pmatrix} = \left[\mathbf{1}_{4 \times 4} + \sum_{i=1}^3 \theta_i^V V_i + \sum_{i=1}^3 \theta_i^A A_i \right] \begin{pmatrix} \pi \\ \sigma \end{pmatrix}$$

where:
$$\sum_{i=1}^3 \theta_i^V V_i = \begin{pmatrix} 0 & -\theta_3^V & \theta_2^V & 0 \\ \theta_3^V & 0 & -\theta_1^V & 0 \\ -\theta_2^V & \theta_1^V & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}, \quad \sum_{i=1}^3 \theta_i^A A_i = \begin{pmatrix} 0 & 0 & 0 & \theta_1^A \\ 0 & 0 & 0 & \theta_2^A \\ 0 & 0 & 0 & \theta_3^A \\ -\theta_1^A & -\theta_2^A & -\theta_3^A & 0 \end{pmatrix}$$

Switch to **nonlinear realization**: only 3 out of 4 components of the vector (π, σ) are independent, i.e. $\pi^2 + \sigma^2 = F^2$

$$\begin{aligned} \pi &\xrightarrow{\theta^V} \pi' = \pi + \theta^V \times \pi, && \longleftarrow \text{linear under } \vec{\theta}^V \\ \pi &\xrightarrow{\theta^A} \pi' = \pi + \theta^A \sqrt{F^2 - \pi^2} && \longleftarrow \text{nonlinear under } \vec{\theta}^A \end{aligned}$$

Effective Lagrangian for pions

Can be rewritten in terms of a 2×2 matrix:

$$U = \frac{1}{F} (\sigma \mathbf{1}_{2 \times 2} + i\boldsymbol{\pi} \cdot \boldsymbol{\tau}) \xrightarrow{\text{nonlinear realization}} U = \frac{1}{F} (\sqrt{F^2 - \boldsymbol{\pi}^2} \mathbf{1}_{2 \times 2} + i\boldsymbol{\pi} \cdot \boldsymbol{\tau})$$

Chiral rotations: $U \longrightarrow U' = LUR^\dagger$ with $L = \exp[-i(\boldsymbol{\theta}^V - \boldsymbol{\theta}^A) \cdot \boldsymbol{\tau}/2]$, $R = \exp[-i(\boldsymbol{\theta}^V + \boldsymbol{\theta}^A) \cdot \boldsymbol{\tau}/2]$

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Derivative expansion for the effective Lagrangian $\mathcal{L}_{\text{eff}} = \mathcal{L}_\pi^{(2)} + \mathcal{L}_\pi^{(4)} + \dots$

0 derivatives: $UU^\dagger = U^\dagger U = 1$ - irrelevant \longleftarrow only derivative couplings of GBs

2 derivatives: $\text{Tr}(\partial_\mu U \partial^\mu U^\dagger) \xrightarrow{g \in G} \text{Tr}(L \partial_\mu U R^\dagger R \partial^\mu U^\dagger L^\dagger) = \text{Tr}(\partial_\mu U \partial^\mu U^\dagger)$

$$\longrightarrow \mathcal{L}_\pi^{(2)} = \frac{F^2}{4} \text{Tr}(\partial_\mu U \partial^\mu U^\dagger)$$

4 derivatives: $[\text{Tr}(\partial_\mu U \partial^\mu U^\dagger)]^2$, $\text{Tr}(\partial_\mu U \partial_\nu U^\dagger) \text{Tr}(\partial^\mu U \partial^\nu U^\dagger)$, $\text{Tr}(\partial_\mu U \partial^\mu U^\dagger \partial_\nu U \partial^\nu U^\dagger)$

derivatives act only on the next U

(terms with $\partial_\mu \partial_\nu U$, $\partial_\mu \partial_\nu \partial_\rho U$, $\partial_\mu \partial_\nu \partial_\rho \partial_\sigma U$ can be eliminated via EOM/partial integration)

...

Chiral symmetry breaking terms

$\delta \mathcal{L}_{\text{QCD}} = -\bar{q}_L \mathcal{M} q_R - \bar{q}_R \mathcal{M}^\dagger q_L$ can be made χ -invariant by requiring: $\mathcal{M} \rightarrow L \mathcal{M} R^\dagger$

\longrightarrow construct all possible χ -invariant terms involving \mathcal{M} and freeze out \mathcal{M} at the end

LO term: $\delta \mathcal{L}_{\text{SB}} = \frac{BF^2}{2} \text{Tr}[\mathcal{M} U^\dagger + U \mathcal{M}^\dagger] = 2BF^2 m_q - B m_q \vec{\pi}^2 + \dots \longrightarrow M_\pi^2 = 2m_q B + \mathcal{O}(m_q^2)$

Effective Lagrangian for pions

The leading and subleading effective Lagrangians for pions

$$\mathcal{L}_\pi^{(2)} = \frac{F^2}{4} \langle \partial_\mu U \partial^\mu U^\dagger + 2B(\mathcal{M}U + \mathcal{M}U^\dagger) \rangle,$$

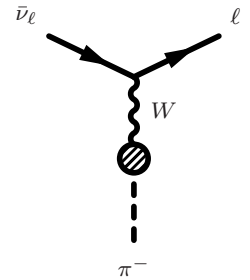
$$\mathcal{L}_\pi^{(4)} = \frac{l_1}{4} \langle \partial_\mu U \partial^\mu U^\dagger \rangle^2 + \frac{l_2}{4} \langle \partial_\mu U \partial_\nu U^\dagger \rangle \langle \partial^\mu U \partial^\nu U^\dagger \rangle + \frac{l_3}{16} \langle 2B\mathcal{M}(U + U^\dagger) \rangle^2 + \dots$$

$$- \frac{l_7}{16} \langle 2B\mathcal{M}(U - U^\dagger) \rangle^2 \quad \text{Gasser, Leutwyler '84}$$

terms involving external fields

Low-energy constants of $\mathcal{L}_\pi^{(2)}$

- F is related to the pion decay constant F_π : $\langle 0 | J_{A_\mu}^i(0) | \pi^j(\vec{p}) \rangle = ip_\mu F_\pi \delta^{ij}$
axial current from $\mathcal{L}_\pi^{(2)}$: $J_{A_\mu}^i = i\text{Tr}[\tau^i(U^\dagger \partial_\mu U - U \partial_\mu U^\dagger)] = -F \partial_\mu \pi^i + \dots$
→ F is F_π in the chiral limit: $F_\pi = F + \mathcal{O}(m_q) \simeq 92.4 \text{ MeV}$
- B is related to the chiral quark condensate



Tree-level multi-pion connected diagrams from $\mathcal{L}_\pi^{(2)}$

$$U(\pi) = \mathbf{1}_{2 \times 2} + i \frac{\boldsymbol{\tau} \cdot \boldsymbol{\pi}}{F} - \frac{\pi^2}{2F^2} - i\alpha \frac{\pi^2 \boldsymbol{\tau} \cdot \boldsymbol{\pi}}{F^3} + \mathcal{O}(\pi^4) \rightarrow \mathcal{L}_\pi^{(2)} = \frac{\partial_\mu \boldsymbol{\pi} \cdot \partial^\mu \boldsymbol{\pi}}{2} - \frac{M^2 \pi^2}{2} + \frac{(\partial_\mu \boldsymbol{\pi} \cdot \boldsymbol{\pi})^2}{2F^2} - \frac{M^2 \pi^4}{8F^2} + \dots$$

- all diagrams scale as Q^2
- insertions from $\mathcal{L}_\pi^{(4)}$, $\mathcal{L}_\pi^{(6)}$, ... suppressed by powers of Q^2
- remarkable predictive power!

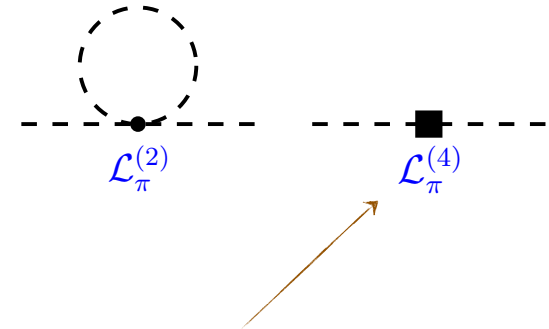
From effective Lagrangian to S-matrix

Tree-level diagrams with higher-order vertices are suppressed at low energy.

The argument can be generalized to quantum corrections (loops) → ChPT Weinberg '79

Typical example of a loop integral:

$$\begin{aligned}
 I &= \int \frac{d^4 l}{(2\pi)^4} \frac{i}{l^2 - M^2 + i\epsilon} \xrightarrow{\text{DR}} \mu^{4-d} \int \frac{d^d l}{(2\pi)^d} \frac{i}{l^2 - M^2 + i\epsilon} \\
 &= \frac{M^2}{16\pi^2} \ln\left(\frac{M^2}{\mu^2}\right) + 2M^2 L(\mu) + \dots \quad \leftarrow \text{terms vanishing in } d=4
 \end{aligned}$$



The infinite quantity $L(\mu) = \frac{\mu^{d-4}}{16\pi^2} \left(\frac{1}{d-4} + \text{const} \right)$ can be absorbed into l_i 's of $\mathcal{L}_\pi^{(4)}$: $l_i \rightarrow l_i^r(\mu)$

The bottom line: after renormalization, all momenta flowing through loop graphs are soft, $\sim Q$

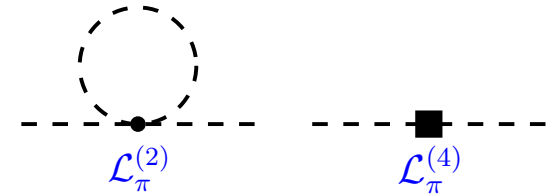
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Power counting (Naive Dimensional Analysis)

- pion propagators: $1/(p^2 - M_\pi^2) \sim 1/Q^2$
- momentum integrations: $d^4 l \sim Q^4$
- delta functions: $\delta^4(p - p') \sim 1/Q^4$
- derivatives: $\partial_\mu \sim Q$

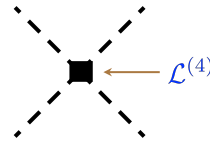
$$D = 2 + 2L + \sum_d N_d (d - 2)$$

\swarrow # of loops \swarrow # of vertices with d derivatives
 \nwarrow power of the soft scale Q for a given diagram

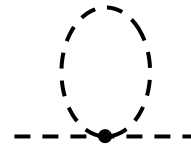
From effective Lagrangian to S-matrix

Examples:

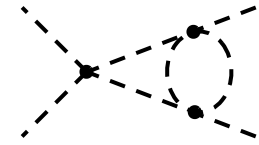
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$$D = 2 + 0 + 2 = 4$$



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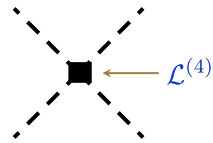


$$D = 2 + 4 + 0 = 6$$

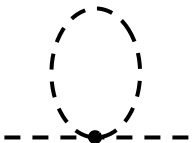
From effective Lagrangian to S-matrix

Examples:

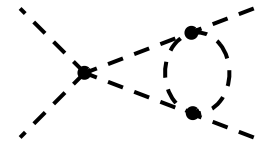
$$D = 2 + 2L + \sum_d N_d(d-2)$$



$D = 2 + 0 + 2 = 4$



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$D = 2 + 4 + 0 = 6$

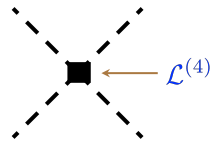
Scattering amplitude is obtained via an expansion in Q/Λ_χ . What is the value of Λ_χ ?

- Chiral expansion breaks down for $E \sim M_\rho \rightarrow \Lambda_\chi \sim M_\rho = 770 \text{ MeV}$

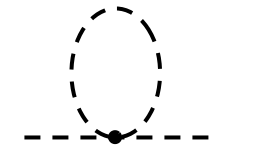
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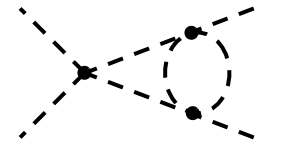
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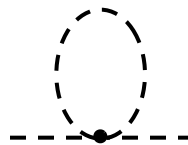
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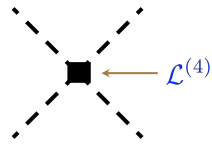


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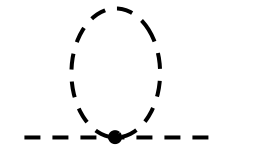
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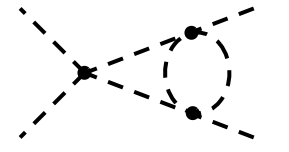
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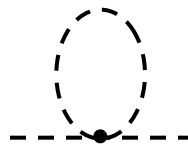
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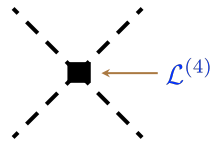
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dimensional arguments

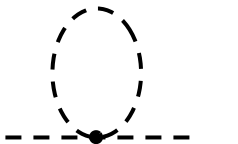
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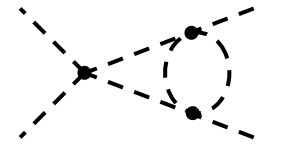
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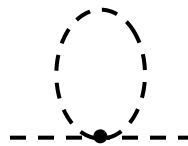
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angular integration in
4 dimensions

dimensional
arguments

$$\int \frac{d^d l}{(2\pi)^d} = \int \frac{d\Omega_d}{(2\pi)^d} \int l^{d-1} dl = \frac{1}{2^{d-1} \pi^{d/2} \Gamma(d/2)} \int l^{d-1} dl \xrightarrow{d \rightarrow 4} \frac{2}{(4\pi)^2} \int l^3 dl$$

ChPT vs Multipole Expansion

Chiral Perturbation Theory

- Most general effective Lagrangian for pions [and matter fields],
chiral symmetry!

$$\mathcal{L}_\pi^{(2)} = \frac{F^2}{4} \langle \partial_\mu U \partial^\mu U^\dagger + 2B(\mathcal{M}U + \mathcal{M}U^\dagger) \rangle,$$

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Electric potential

Most general expression
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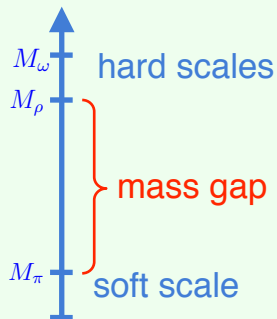
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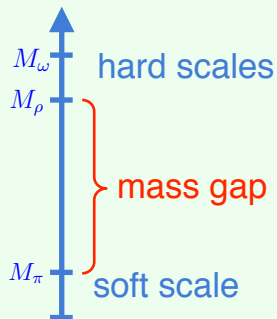
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- **Chiral expansion** of S-matrix elements (Feynman graphs, power counting, renorm.)

A Feynman diagram showing a central orange oval vertex with n external lines. The lines are labeled with momenta $p_1, p_2, p_3, \dots, p_{n-2}, p_{n-1}, p_n$. To the right of the diagram is the equation: $= E^D f\left(\frac{E}{\mu}, g^r\right)$

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Multipole expansion for $V(\vec{R})$ in powers of a/R

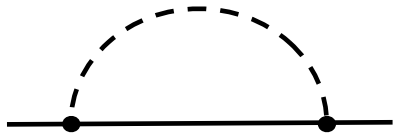
Inclusion of the nucleons

Lowest-order ($\mathcal{O}(|\vec{q}|) = \mathcal{O}(M_\pi)$) effective Lagrangian for a single nucleon:

$$\mathcal{L}_{\pi N}^{(1)} = \bar{N} \left(i\gamma^\mu D_\mu - m + \frac{g_A}{2} \gamma^\mu \gamma_5 u_\mu \right) N$$

known functions of the pion fields

Problem (?): new hard mass scale $m \rightarrow$ power counting ??



$$\delta m \xrightarrow{\mathcal{M} \rightarrow 0} -m \frac{3g_A^2 m^2}{(4\pi F)^2} \left[\log \frac{m}{\mu} + \mu^{d-4} \left(\frac{1}{d-4} + \text{const} \right) \right]$$

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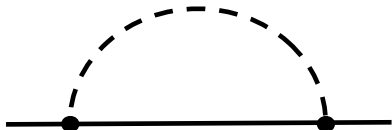
Lowest-order (one-pion exchange) Lagrangian for a single nucleon:

$$\frac{m_N}{4\pi F_\pi} \sim 1$$

$$\left(i \not{\partial} + \frac{g_A}{2} \gamma^\mu \gamma_5 u_\mu \right) N$$

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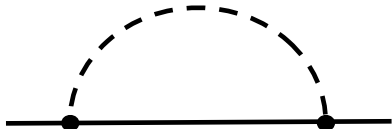
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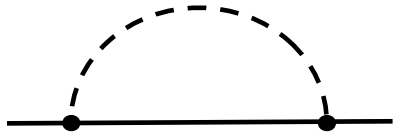
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Making power counting manifest: The heavy-baryon framework

Jenkins & Manohar '91; Bernard et al. '92

$$P_v^\pm = (1 \pm \gamma \cdot v)/2 \leftarrow \text{projection operators}$$

$$\underbrace{N' = e^{imv \cdot x} P_v^+ N}_{\text{large component (massless)}} \quad \underbrace{h = e^{imv \cdot x} P_v^- N}_{\text{small component (heavy) - integrated out}} \quad \rightarrow \quad \mathcal{L}_{\pi N}^{(1)} = N'^\dagger \left(iD_0 + \frac{g_A}{2} \vec{\sigma} \cdot \vec{u} \right) N' + \mathcal{O}(1/m)$$

m disappeared from $\mathcal{L}_{\pi N}^{(1)} \rightarrow$ **power counting manifest!** For example: $(\delta m)^{\text{HB}} = -\frac{3g_A^2 M_\pi^3}{32\pi F^2}$

(Some) Current topics in and beyond ChPT

- **Resumming leading Log's**

Weinberg, Bijnens, Colangelo, Bissiger, Fuhrer, Kivel, Polyakov, Vladimirov, ...

Leading logs can be computed for higher loops, all orders possible in certain cases

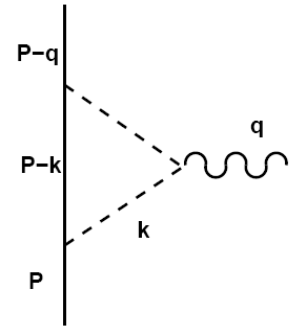
- **Combining ChPT and dispersion theory**

Colangelo, Gasser, Leutwyler, Bernard, Meißner, Descotes Genon, Knecht, Stern, Pelaez, Lutz, ...

- **Covariant baryon ChPT**

Becher, Leutwyler, Bernard, Meißner, Kubis, Gegelia, Scherer, Higa, Robilotta, ...

HB expansion has a very limited convergence range for some types of diagrams → better to resum $1/m$ recoil corrections up to infinite order (IR-ChPT). Alternatively, use manifestly covariant framework + appropriate subtraction (EOMS) to enforce power counting



- **ChPT with explicit spin-3/2 degrees of freedom**

Hemmert, Bernard, Fettes, Meißner, Pascalutsa, Vanderhaeghen, Kaiser, Weise, Gegelia, Scherer, EE, Krebs, ...

$\Delta(1232)$ has low excitation energy ~ 300 MeV → better to include as an explicit DOF...

- **ChPT and/or lattice QCD**

Colangelo, Beane, Savage, Jiang, Tiburzi, Procura, Weise, Walker Loud, Bernard, Meißner, Rusetsky, Hemmert, ...

Chiral extrapolations, finite volume corrections, quenched ChPT, ...

- **Unitarized ChPT and resonance physics**

Oeller, Meißner, Dobado, Pelaez, Oset, Hanhart, Llanes-Estrada, Kaiser, Weise, ...

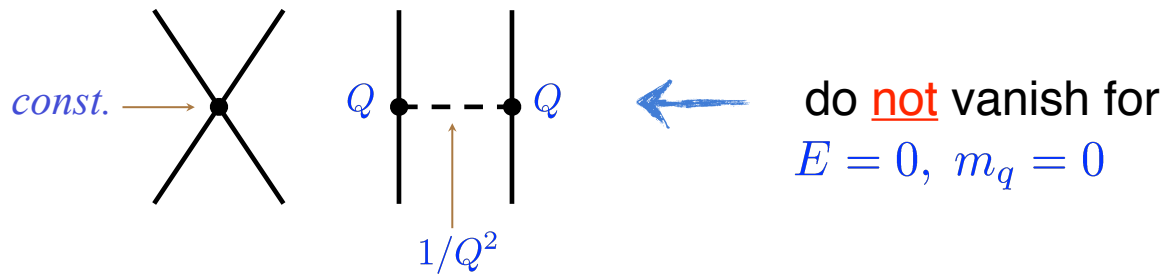
**From one nucleon to few:
Not so easy...**

1, 2,...MANY



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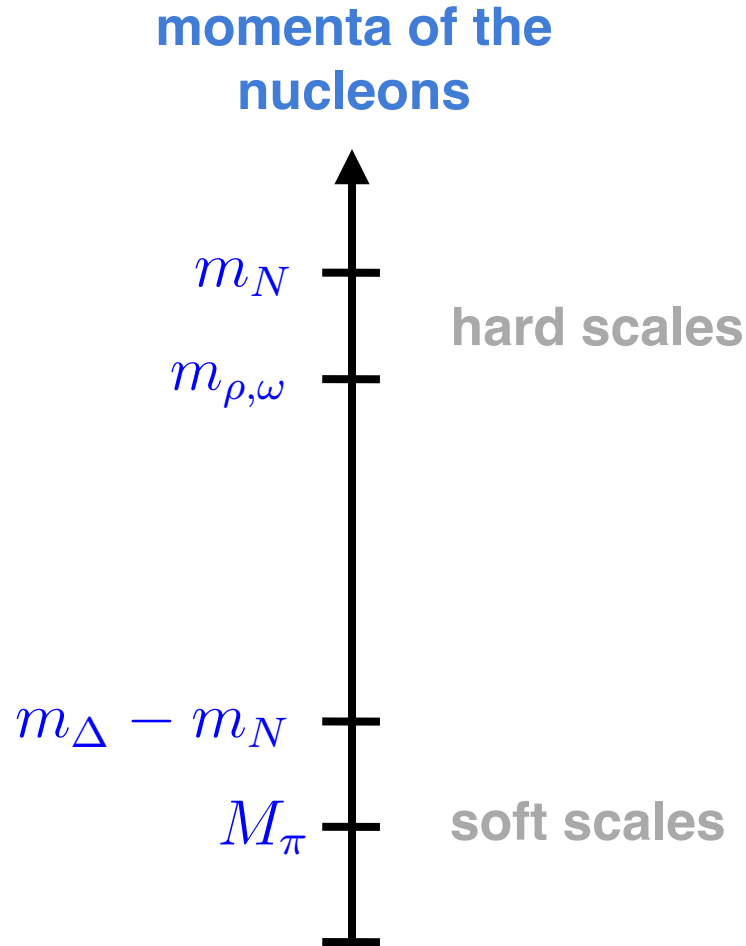


The presence of shallow bound states (${}^2\text{H}$, ${}^3\text{H}$, ${}^3\text{He}$, ${}^4\text{He}$, ...) indicates breakdown of perturbation theory even at very low energy!

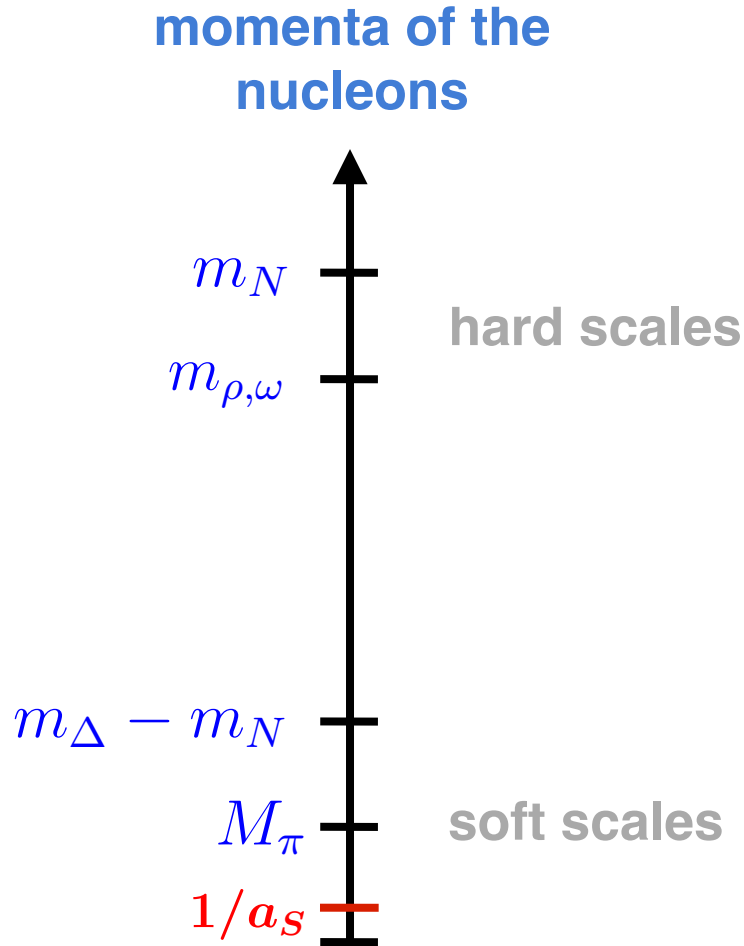
How to organize EFT in the non-perturbative regime?



Hierarchy of scales in nuclear physics



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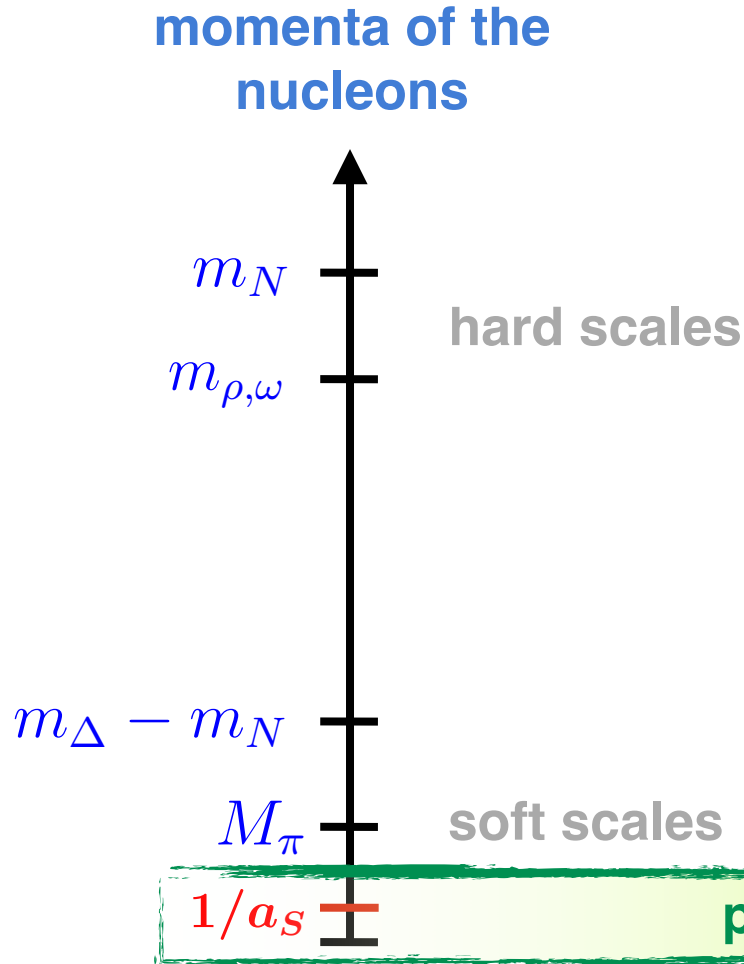
A new, soft scale associated with nuclear binding

$$Q \sim 1/a_S \simeq 8.5 \text{ MeV} (36 \text{ MeV}) \text{ in } {}^1S_0 ({}^3S_1)$$

has to be generated dynamically

(need resummations...)

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Hierarchy of scales in nuclear physics

momenta of the
nucleons

m_N

$m_{\rho,\omega}$

hard scales

$m_\Delta - m_N$

M_π

soft scales

$1/a_S$

pionless EFT

chiral EFT

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Pionless effective field theory

Goal: EFT for NN scattering at typical momenta $Q \ll M_\pi$

Formulation

- Kaplan, Savage, Wise, Phys. Lett. B424 (98) 390; Nucl. Phys. B534 (98) 329
- Bedaque, Hammer, van Kolck, Phys. Rev. Lett. 92 (99) 463; Nucl. Phys. A646 (99) 444

(Some) recent review articles

- Beane et al., arXiv:nucl-th/0008064, in Boris Ioffe Festschrift, ed. By M. Shifman, World Scientific
- Bedaque, van Kolck, Ann. Rev. Nucl. Part. Sci. 52 (02) 339
- Braaten, Hammer, Phys. Rept. 428 (06) 259
- Hammer, Platter, arXiv:1102.3789

Effective Range Expansion

Blatt, Jackson '49; Bethe '49

Nonrelativistic nucleon-nucleon scattering (uncoupled case):

$$S_l(k) = e^{2i\delta_l(k)} = 1 + i\frac{mk}{2\pi}T_l(k) \quad \text{where} \quad T_l(k) = \frac{4\pi}{m} \frac{k^{2l}}{F_l(k) - ik^{2l+1}} \quad \text{and} \quad F_l(k) \equiv k^{2l+1} \cot \delta_l(k)$$

effective-range function
↙

Effective Range Expansion

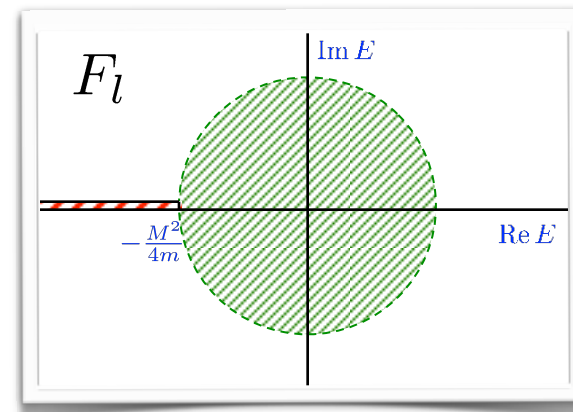
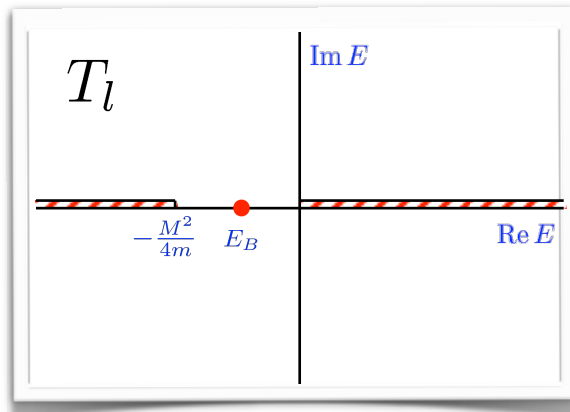
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effective-range function

If $V(r)$ satisfies certain conditions, F_l is a meromorphic function of k^2 near the origin



→ effective range expansion (ERE):

$$F_l(k^2) = -\frac{1}{a} + \frac{1}{2}rk^2 + v_2k^4 + v_3k^6 + v_4k^8 + \dots$$

The analyticity domain depends on the range M^{-1} of $V(r)$ defined as $M = \min(\mu)$

such that $\int_{R>0}^{\infty} |V(r)| e^{\mu r} dr = \infty$ (for strongly interacting nucleons $M = M_\pi$)

Pionless EFT: natural scattering length

Effective Lagrangian: for $Q \ll M_\pi$ only point-like interactions

$$\mathcal{L}_{\text{eff}} = N^\dagger \left(i\partial_0 + \frac{\vec{\nabla}^2}{2m} \right) N - \frac{1}{2} C_1^0 (N^\dagger N)^2 - \frac{1}{2} C_2^0 (N^\dagger \vec{\sigma} N)^2 - \frac{1}{4} C_1^2 (N^\dagger \vec{\nabla}^2 N) (N^\dagger N) + \text{h.c.} + \dots$$

Scattering amplitude (S-waves):

$$S = e^{2i\delta} = 1 - i \left(\frac{km}{2\pi} \right) T, \quad T = -\frac{4\pi}{m} \frac{1}{k \cot \delta - ik} = -\frac{4\pi}{m} \frac{1}{\left(-\frac{1}{a} + \frac{1}{2} r_0 k^2 + v_2 k^4 + v_3 k^6 + \dots \right) - ik}$$

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● Natural case

$$|a| \sim M_\pi^{-1}, |r| \sim M_\pi^{-1}, \dots \rightarrow T = T_0 + T_1 + T_2 + \dots = \frac{4\pi a}{m} \left[\underset{\sim Q^0}{1} - \underset{\sim Q^1}{iak} + \underbrace{\left(\frac{ar_0}{2} - a^2 \right)}_{\sim Q^2} k^2 + \dots \right]$$

$T_0 =$

 $T_1 =$ $\leftarrow \int d^3l \frac{m}{p^2 + l^2 + i\epsilon} \sim mQ$

 $T_2 =$ +

 \dots

The EFT expansion can be arranged to match the above expansion for T .

Using e.g. dimensional or subtractive regularization yields:

- perturbative expansion for T ;
- scaling of the LECs: $C^i \sim Q^0$

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Effective Lagrangian: for $Q \ll M_\pi$ only point-like interactions

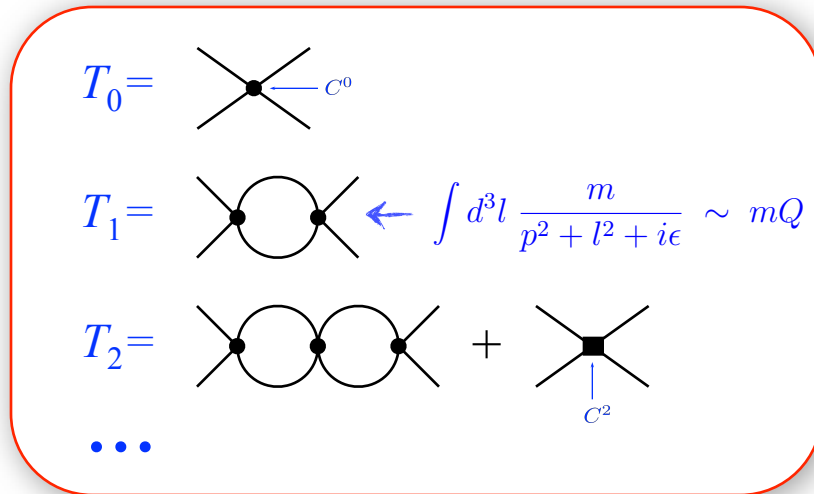
$$\mathcal{L}_{\text{eff}} = N^\dagger \left(i\partial_0 + \frac{\vec{\nabla}^2}{2m} \right) N - \frac{1}{2} C_1^0 (N^\dagger N)^2 - \frac{1}{2} C_2^0 (N^\dagger \vec{\sigma} N)^2 - \frac{1}{4} C_1^2 (N^\dagger \vec{\nabla}^2 N) (N^\dagger N) + \text{h.c.} + \dots$$

Scattering amplitude (S-waves):

$$S = e^{2i\delta} = 1 - i \left(\frac{km}{2\pi} \right) T, \quad T = -\frac{4\pi}{m} \frac{1}{k \cot \delta - ik} = -\frac{4\pi}{m} \frac{1}{\left(-\frac{1}{a} + \frac{1}{2} r_0 k^2 + v_2 k^4 + v_3 k^6 + \dots \right) - ik}$$

● Natural case

$$|a| \sim M_\pi^{-1}, |r| \sim M_\pi^{-1}, \dots \rightarrow T = T_0 + T_1 + T_2 + \dots = \frac{4\pi a}{m} \left[\underset{\sim Q^0}{1} - \underset{\sim Q^1}{iak} + \underbrace{\left(\frac{ar_0}{2} - a^2 \right)}_{\sim Q^2} k^2 + \dots \right]$$



The EFT expansion can be arranged to match the above expansion for T .

Using e.g. dimensional or subtractive regularization yields:

- perturbative expansion for T ;
- scaling of the LECs: $C^i \sim Q^0$

In reality: $a_{1S_0} = -23.741 \text{ fm} = -16.6 M_\pi^{-1}$ $a_{3S_1} = -5.42 \text{ fm} = 3.8 M_\pi^{-1}$

Pionless EFT: large scattering length

- **Large scattering length:** $|a| \gg M_\pi^{-1}$ Kaplan, Savage, Wise '97

Keep ak fixed, i.e. count $a \sim Q^{-1}$:

$$T = -\frac{4\pi}{m} \frac{1}{\left(-\frac{1}{a} + \frac{1}{2}r_0k^2 + v_2k^4 + v_3k^6 + \dots\right) - ik} = \frac{4\pi}{m} \frac{1}{(1 + iak)} \left[\underset{\sim Q^{-1}}{\uparrow} a + \underbrace{\frac{ar_0}{2(a^{-1} + ik)} k^2}_{\sim Q^0} + \dots \right].$$

Notice: perturbation theory for T breaks down as it has a pole at $|k| \sim |a|^{-1} \ll M_\pi$

KSW expansion (DR+PDS or subtractive renormalization $C^0 \sim 1/Q$, $C^2 \sim 1/Q^2$, ...)

$$T^{(-1)} = \begin{array}{c} \text{---} \times \text{---} \\ | \\ C^0 \end{array} + \begin{array}{c} \text{---} \circ \text{---} \\ | \quad | \\ C^0 \quad C^0 \end{array} + \dots = \frac{-C^0(\mu)}{\left[1 + \frac{C^0(\mu)m}{4\pi}(\mu + ik)\right]},$$

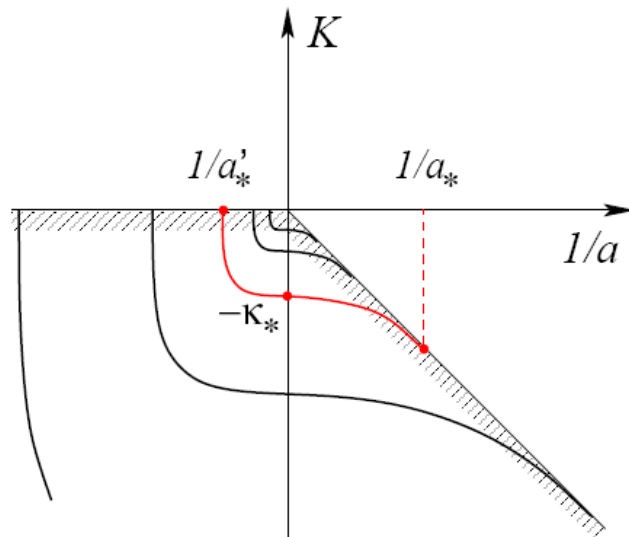
$$T^{(0)} = \begin{array}{c} \text{---} \text{---} \\ | \\ C^2 \end{array} = \frac{-C^2(\mu)k^2}{\left[1 + \frac{C^0(\mu)m}{4\pi}(\mu + ik)\right]^2}$$

where: $\begin{array}{c} \text{---} \text{---} \\ | \\ \text{---} \end{array} = \text{---} + \begin{array}{c} \text{---} \times \text{---} \\ | \\ C^0 \end{array} + \begin{array}{c} \text{---} \circ \text{---} \\ | \quad | \\ C^0 \quad C^0 \end{array} + \dots$

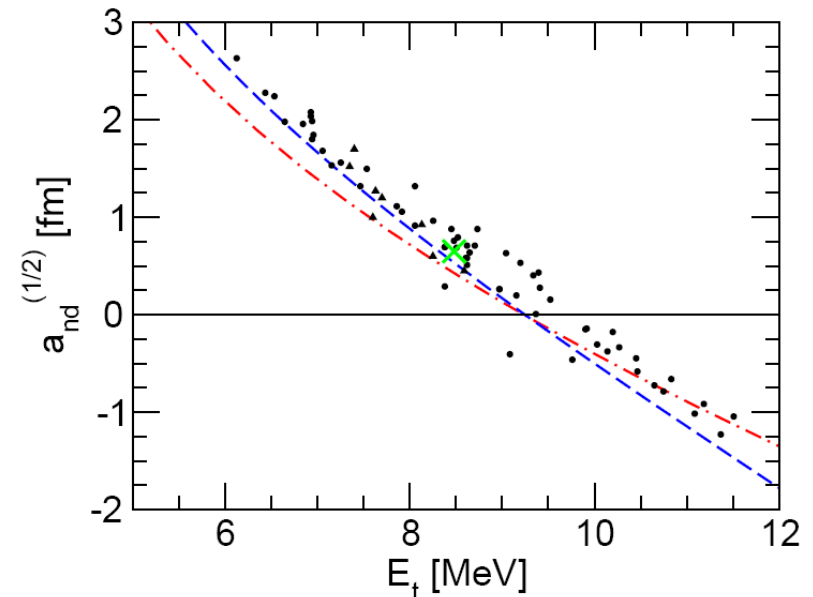
Pionless EFT: (some) applications

- Astrophysical reactions [Butler, Chen, Kong, Ravndal, Rupak, Savage, ...](#)
- Efimov physics and universality in few-body systems with large 2-body scatt. length (e.g. Phillips/Tjon „lines“) [Braaten, Hammer, Meißner, Platter, von Stecher, Schmidt, Moroz, ...](#)
- Halo-nuclei [Bedaque, Bertulani, Hammer, Higa, van Kolck, Phillips, ...](#)
- Many other topics...

Efimov effect (3-body spectrum)



Phillips line



Two nucleons beyond ERE

Goal: EFT for NN scattering at typical momenta $Q \sim M_\pi$

From pion-less to pion-full: possible scenarios

KSW: treat pion exchange in perturbation theory

straightforward, analytical calculations, but poor convergence...



Weinberg: both LO contacts & OPEP must be resummed

numerical results, phenomenologically successful,
but renormalization rather intransparent...



How to judge whether pion dynamics is properly included?

Modified Effective Range Expansion (MERE)

Both ERE & π -EFT provide an expansion of NN observables in powers of k/M_π , have the same validity range and incorporate the same physics

→ ERE \sim π -EFT

Beyond π -less EFT: **higher energies, LETs...**

Two-range potential $V(r) = V_L(r) + V_S(r)$, $M_L^{-1} \gg M_H^{-1}$

- $F_l(k^2)$ is meromorphic in $|k| < M_L/2$

- $$F_l^M(k^2) \equiv M_l^L(k) + \frac{k^{2l+1}}{|f_l^L(k)|^2} \cot [\delta_l(k) - \delta_l^L(k)]$$

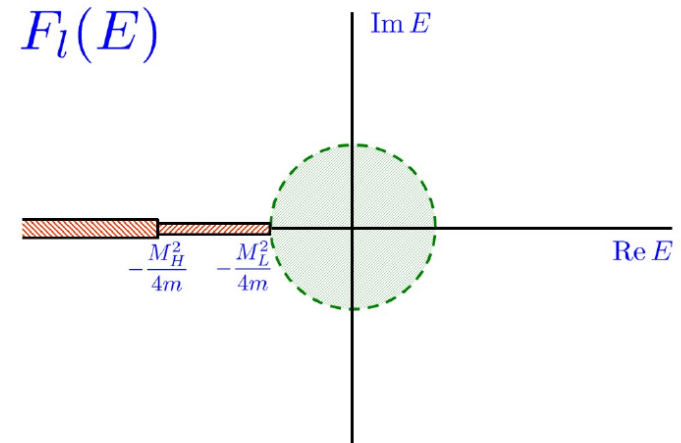
$$\underbrace{f_l^L(k)}_{\text{Jost function for } V_L(r)} = \lim_{r \rightarrow 0} \left(\frac{l!}{(2l)!} (-2ikr)^l \underbrace{f_l^L(k, r)}_{\text{Jost solution for } V_L(r)} \right)$$

Jost function for $V_L(r)$

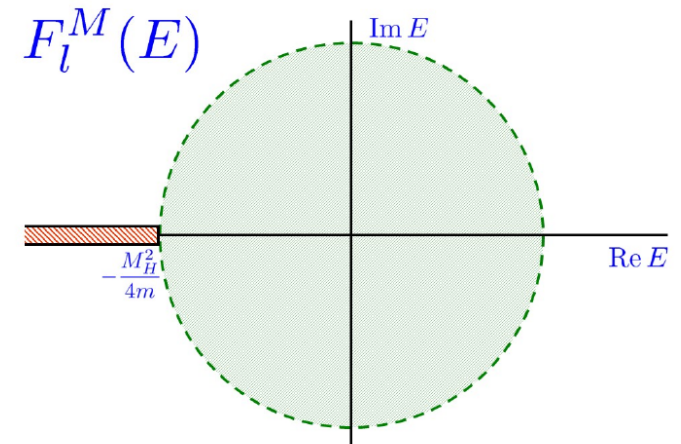
Jost solution for $V_L(r)$

$$M_l^L(k) = \text{Re} \left[\frac{(-ik/2)^l}{l!} \lim_{r \rightarrow 0} \left(\frac{d^{2l+1}}{dr^{2l+1}} \frac{r^l f_l^L(k, r)}{f_l^L(k)} \right) \right]$$

Per construction, F_l^M reduces to F_l for $V_L = 0$ and is meromorphic in $|k| < M_H/2$



← **modified effective range function**
Haeringen, Kok '82



MERE and Low-Energy Theorems

Example: proton-proton scattering

$$F_C(k^2) = C_0^2(\eta) k \cot[\delta(k) - \delta^C(k)] + 2k\eta h(\eta) = -\frac{1}{a^M} + \frac{1}{2}r^M k^2 + v_2^M k^4 + \dots$$

where $\underbrace{\delta^C \equiv \arg \Gamma(1 + i\eta)}_{\text{Coulomb phase shift}}, \quad \eta = \frac{m}{2k}\alpha, \quad \underbrace{C_0^2(\eta) = \frac{2\pi\eta}{e^{2\pi\eta} - 1}}_{\text{Sommerfeld factor}}, \quad h(\eta) = \text{Re} \left[\underbrace{\Psi(i\eta)}_{\text{Digamma function}} \right] - \ln(\eta)$
 $\Psi(z) \equiv \Gamma'(z)/\Gamma(z)$

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MERE and low-energy theorems

Long-range forces impose correlations between the ER coefficients (**low-energy theorems**)

Cohen, Hansen '99; Steele, Furnstahl '00

The emergence of the LETs can be understood in the framework of MERE:

$$\underbrace{F_l^M(k^2)}_{\substack{\text{meromorphic for} \\ k^2 < (M_H/2)^2}} \equiv M_l^L(k) + \frac{k^{2l+1}}{|f_l^L(k)|^2} \cot [\delta_l(k) - \delta_l^L(k)]$$

can be computed if the long-range force is known

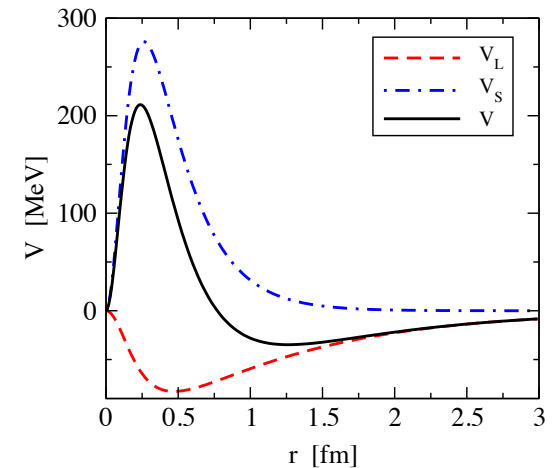
- approximate $F_l^M(k^2)$ by first 1,2,3,... terms in the Taylor expansion in k^2
- calculate all “light” quantities
- reconstruct $\delta_l^L(k)$ and **predict all coefficients in the ERE**

Toy model: Low-energy theorems

$$V(r) = \underbrace{v_L e^{-M_L r}}_{V_L} f(r) + \underbrace{v_H e^{-M_H r}}_{V_H} f(r)$$

where $f(r) = \frac{(M_H r)^2}{1 + (M_H r)^2}$

and $M_L = 1.0$, $v_L = -0.875$, $M_H = 3.75$, $v_H = 7.5$ (all in fm^{-1})



ERE and MERE

	a	r	v_2	v_3	v_4
F_0 [fm^n]	5.458	2.432	0.113	0.515	-0.993
F_0^M [fm^n]	6.413	-3.986	-2.289×10^1	-5.043×10^2	2.736×10^4
F_0^M [M_S^{-n}]	1.710	-1.063	-0.434	-0.680	2.624

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Low-Energy Theorems

	LO	NLO	NNLO	"Exp"
r	2.447(38)	2.432197161	2.432197161	2.432197161
v_2	0.12(11)	0.1132(29)	0.112815751	0.112815751
v_3	0.61(12)	0.517(16)	0.51533(20)	0.51529
v_4	-0.95(5)	-0.991(14)	-0.9925(11)	-0.9928

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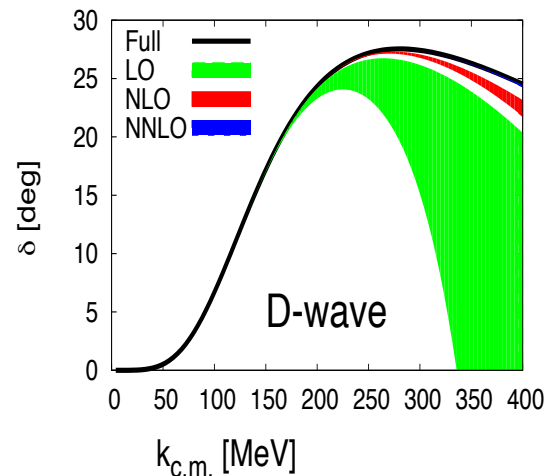
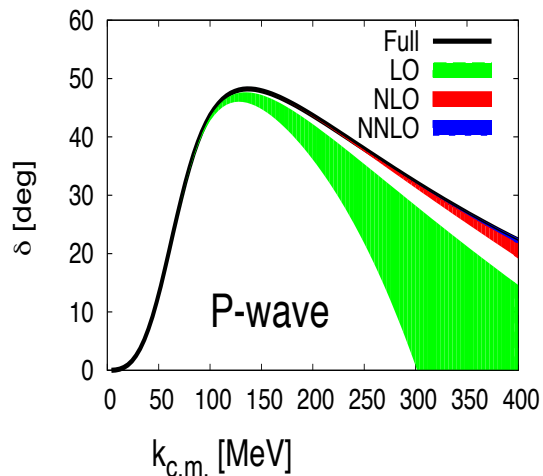
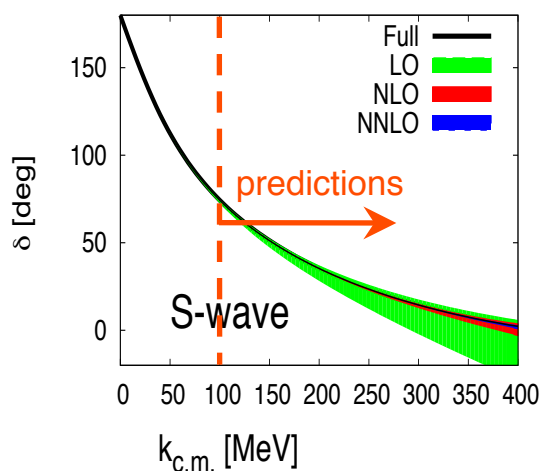
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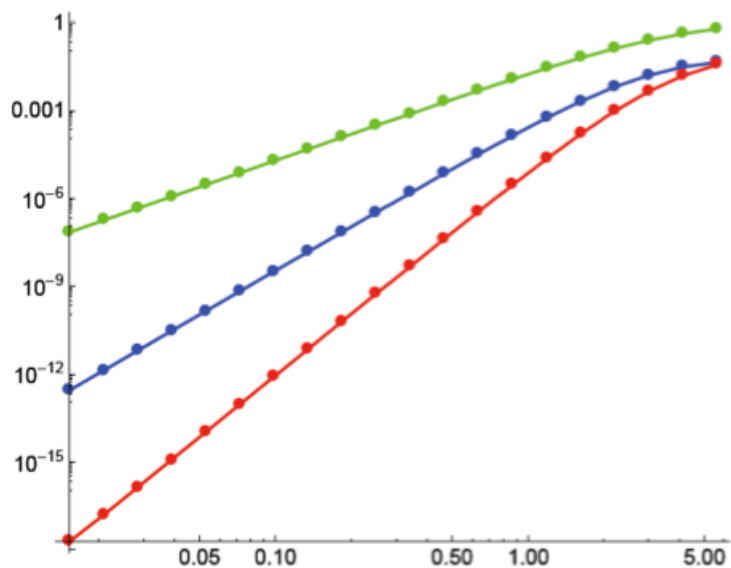
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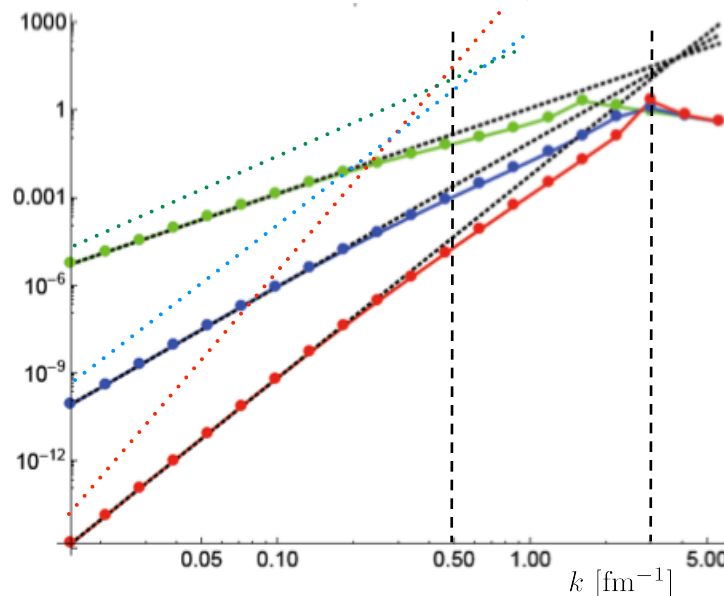
Toy model: phase shifts & error plots



Error plots for $\delta^M(k)$



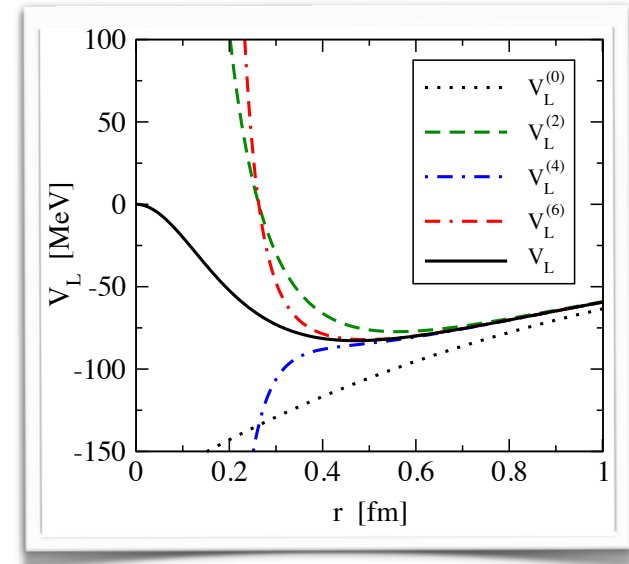
Error plots for $\delta(k)$



Toy model: The „chiral expansion“

Expansion of the long-range potential:

$$V_L = v_L e^{-M_H r} \frac{(M_H r)^2}{1 + (M_H r)^2} = v_L e^{-M_H r} \left[1 - \frac{1}{M_H^2 r^2} - \frac{1}{M_H^4 r^4} - \dots \right]$$



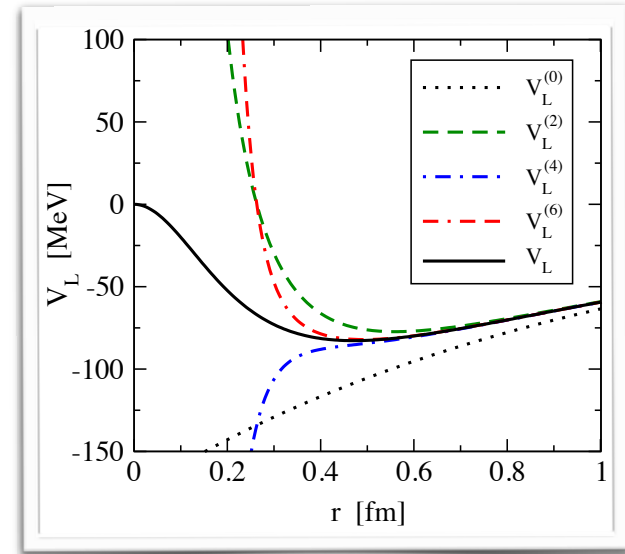
Toy model: The „chiral expansion“

Expansion of the long-range potential:

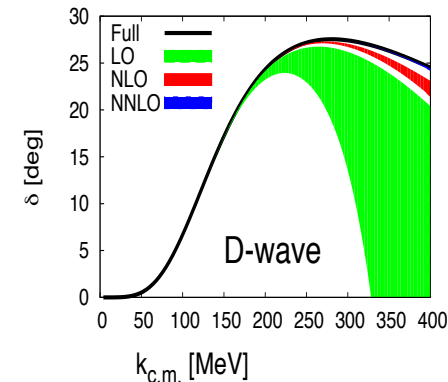
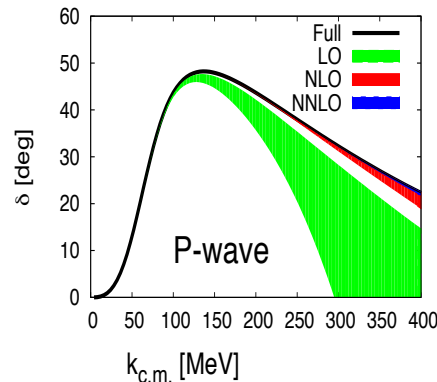
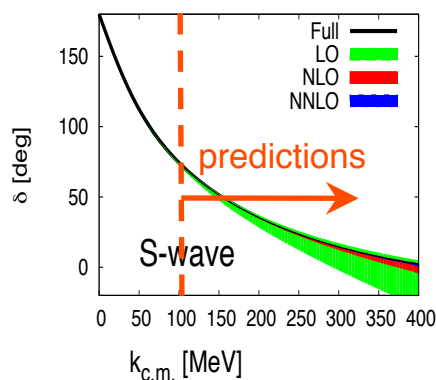
$$V_L = v_L e^{-M_L r} \frac{(M_H r)^2}{1 + (M_H r)^2} = v_L e^{-M_L r} \left[1 - \frac{1}{M_H^2 r^2} - \frac{1}{M_H^4 r^4} - \dots \right]$$

Low-energy theorems (long-range@NNLO, R=0.5fm)

	LO	NLO	NNLO	„Exp“
r	2.446(44)	2.432197161	2.432197161	2.432197161
v_2	0.16(13)	0.1135(31)	0.112815751	0.112815751
v_3	0.58(13)	0.519(17)	0.51536(22)	0.51529
v_4	-0.93(5)	-0.987(13)	-0.9925(12)	-0.9928

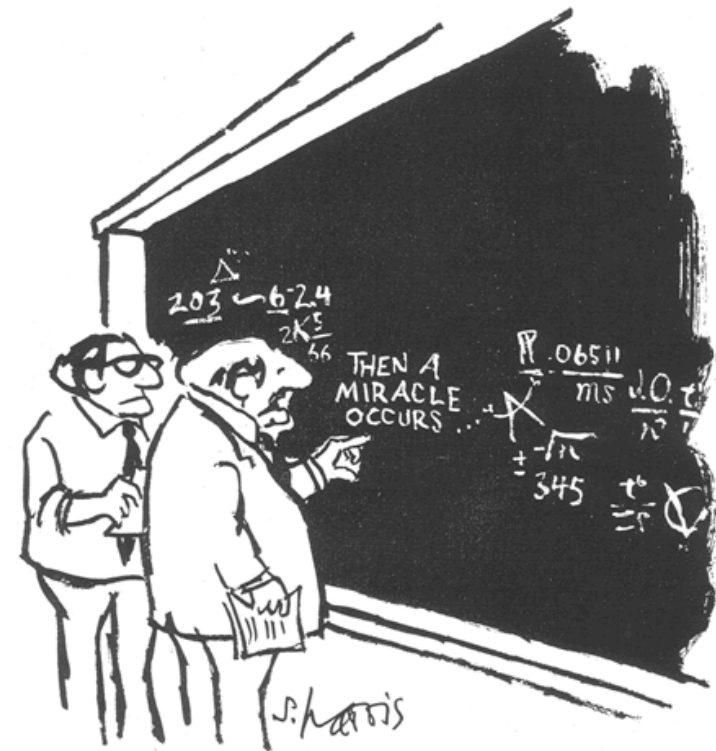


Predictions for phase shifts (long-range@NNLO, R=0.5fm)



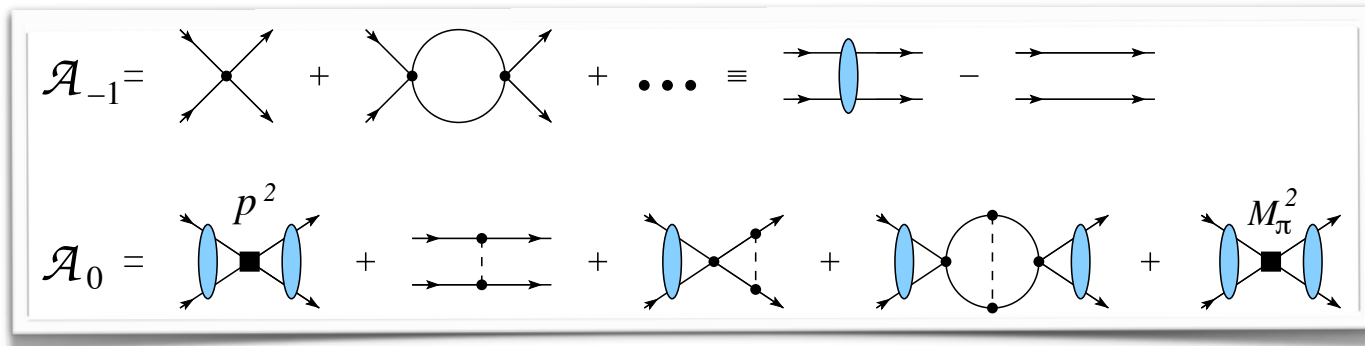
One-pion exchange: perturbative or nonperturbative?

Equipped with these tools, one can rigorously test the proper inclusion of the long-range force in various pion-full formulations (Trust but verify... 😊)



"I THINK YOU SHOULD BE MORE EXPLICIT HERE IN STEP TWO."

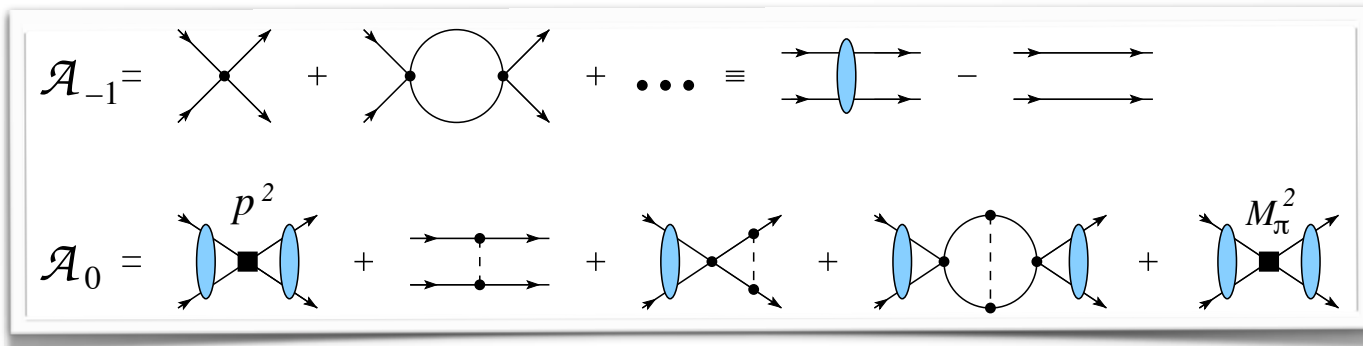
KSW approach (perturbative pions)



Low Energy Theorems at NLO Cohen, Hansen '99

$$k \cot \delta = -a^{-1} + \frac{1}{2}rk^2 + v_2k^4 + v_3k^6 + v_4k^8 + \dots$$

KSW approach (perturbative pions)



Low Energy Theorems at NLO Cohen, Hansen '99

$$k \cot \delta = -a^{-1} + \frac{1}{2} r k^2 + v_2 k^4 + v_3 k^6 + v_4 k^8 + \dots$$

$$v_2 = \frac{g_A^2 m}{16\pi F_\pi^2} \left(-\frac{16}{3a^2 M_\pi^4} + \frac{32}{5a M_\pi^3} - \frac{2}{M_\pi^2} \right)$$

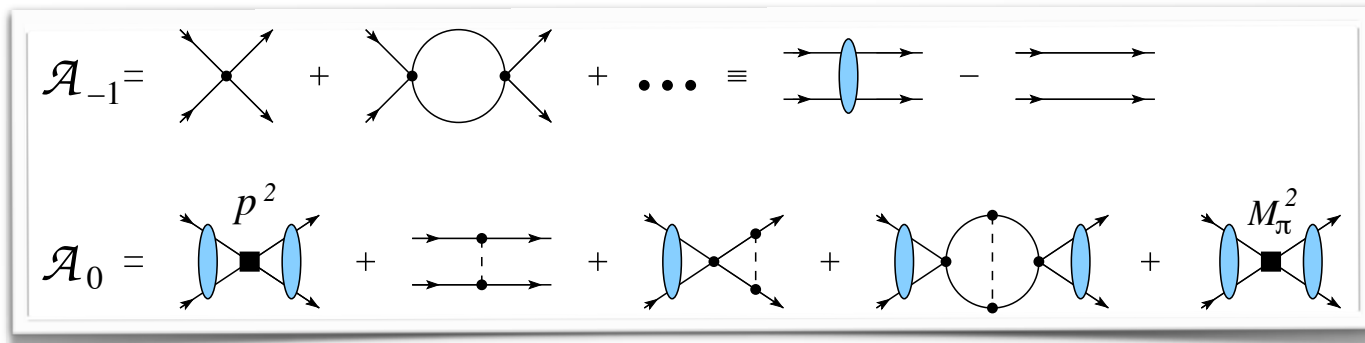
$$v_3 = \frac{g_A^2 m}{16\pi F_\pi^2} \left(-\frac{16}{3a^2 M_\pi^6} - \frac{128}{7a M_\pi^5} + \frac{16}{3M_\pi^4} \right)$$

	v_2 (fm ³)	v_3 (fm ⁵)	v_4 (fm ⁷)	v_2 (fm ³)	v_3 (fm ⁵)	v_4 (fm ⁷)
theory	-3.3	18.	-108.	-0.95	4.6	-25.
NPWA	-0.5	4.0	-20.	0.04	0.67	-4.0

spin-singlet

spin-triplet

KSW approach (perturbative pions)



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$$k \cot \delta = -a^{-1} + \frac{1}{2} r k^2 + v_2 k^4 + v_3 k^6 + v_4 k^8 + \dots$$

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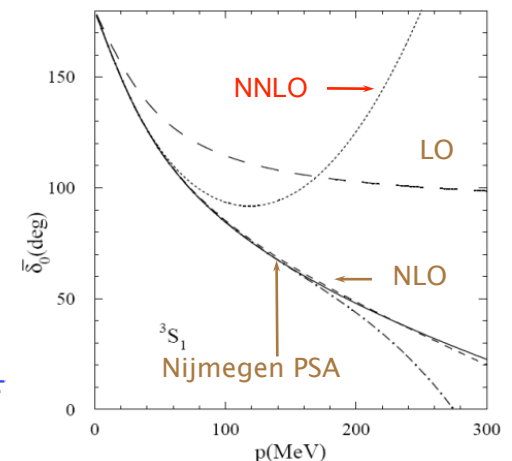
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spin-singlet
spin-triplet

Higher-order calculations also show problems in S=1 channels

Mehen, Stewart '00

→ it seems necessary to treat pions non-perturbatively at $p \sim M_\pi$



W. approach (non-perturbative pions)

$$\mathcal{A} = \text{[red rectangle]} = \text{[blue oval]} + \text{[blue oval]} \text{[red rectangle]} \quad \text{where} \quad \text{[blue oval]} = \text{[dashed line]} + \text{[crossed lines]}$$

Low Energy Theorems: perturbative vs nonperturbative OPE

(cutoff-independent results from EE, Gegelia PLB (2012))

1S_0 partial wave	a [fm]	r [fm]	v_2 [fm ³]	v_3 [fm ⁵]	v_4 [fm ⁷]
KSW	fit	fit	-3.3	18	-108
Weinberg	fit	1.50	-1.9	8.6(8)	-37(10)
Nijmegen PWA	-23.7	2.67	-0.5	4.0	-20
3S_1 partial wave	a [fm]	r [fm]	v_2 [fm ³]	v_3 [fm ⁵]	v_4 [fm ⁷]
KSW	fit	fit	-0.95	4.6	-25
Weinberg	fit	1.60	-0.05	0.8(1)	-4(1)
Nijmegen PWA	5.42	1.75	0.04	0.67	-4.0

Notice: Lippmann-Schwinger eq. with OPE potential is non-renormalizable → calculations are to be done using a finite cutoff. Cutoff-independent results can be achieved in a semi-relativistic version of LS eq.

Few-N in χ EFT: W approach in a nutshell

- Write down the most general effective Lagrangian for pions and nucleons

$$\mathcal{L}_{\pi N}^{(1)} = N^\dagger \left[i\partial_0 - \frac{g_A}{2F} \boldsymbol{\tau} \vec{\sigma} \cdot \vec{\nabla} \boldsymbol{\pi} - \frac{1}{4F^2} \boldsymbol{\tau} \times \boldsymbol{\pi} \cdot \dot{\boldsymbol{\pi}} + \frac{g_A}{4F^3} \left((4\alpha - 1) \boldsymbol{\tau} \cdot \boldsymbol{\pi} (\boldsymbol{\pi} \vec{\sigma} \cdot \vec{\nabla} \boldsymbol{\pi}) + 2\alpha \pi^2 (\boldsymbol{\tau} \vec{\sigma} \cdot \vec{\nabla} \boldsymbol{\pi}) \right) + \dots \right] N$$

$$\mathcal{L}_{\pi N}^{(2)} = N^\dagger \left[4M^2 c_1 - \frac{2c_1}{F^2} M^2 \pi^2 + \frac{c_2}{F^2} \dot{\boldsymbol{\pi}}^2 + \frac{c_3}{F^2} (\partial_\mu \boldsymbol{\pi}) \cdot (\partial^\mu \boldsymbol{\pi}) - \frac{c_4}{4F^2} (\boldsymbol{\tau} \vec{\sigma} \times \vec{\nabla} \boldsymbol{\pi}) \cdot \vec{\nabla} \boldsymbol{\pi} + \dots \right] N$$

$$\mathcal{L}_{NN}^{(0)} = \frac{1}{2} C_S N^\dagger N N^\dagger N + \frac{1}{2} C_S N^\dagger \vec{\sigma} N \cdot N^\dagger \vec{\sigma} N$$

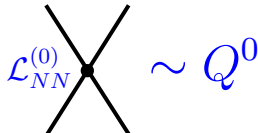
...

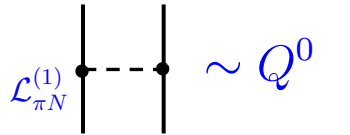
- Naively, power counting for a N-nucleon connected Feynman graph is:
Weinberg '90

$$\nu = 2 - N + 2L + \sum_i V_i \Delta_i \quad \text{where} \quad \Delta_i = -2 + \frac{1}{2} n_i + d_i$$

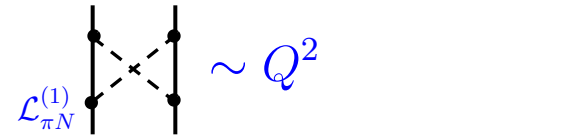
\swarrow *power of Q* \swarrow *# of loops* \swarrow *# of vertices of type Δ_i*

Examples:

$$\mathcal{L}_{NN}^{(0)} \sim Q^0$$


$$\mathcal{L}_{\pi N}^{(1)} \sim Q^0$$


$\nu = 2$ [derivatives]
 -2 [π -propagator]

$$\mathcal{L}_{\pi N}^{(1)} \sim Q^2$$


$\nu = 4$ [loop int.]
 $+4$ [derivatives]
 -4 [2 π -propagators]
 -2 [2 HB nucl. propagators]

Few-N in χ EFT: W approach in a nutshell

- But... If true, NN scattering would be perturbative!

Diagrams involving NN cuts (i.e. reducible) are enhanced (IR divergent in the $m_N \rightarrow \infty$ limit)

$$\frac{1}{E_{NN} - E_\Psi} = \frac{m_N}{\vec{p}^2 - \vec{q}^2} \sim \frac{m_N}{Q^2} \gg \frac{1}{Q}$$

$$\frac{1}{E_{NN} - E_\Psi} \sim \frac{1}{M_\pi} \sim \frac{1}{Q}$$

Weinberg's approach

- Use ChPT to compute irreducible graphs = nuclear forces/currents
- Resum enhanced reducible graphs by solving the Schrödinger/LS eq.

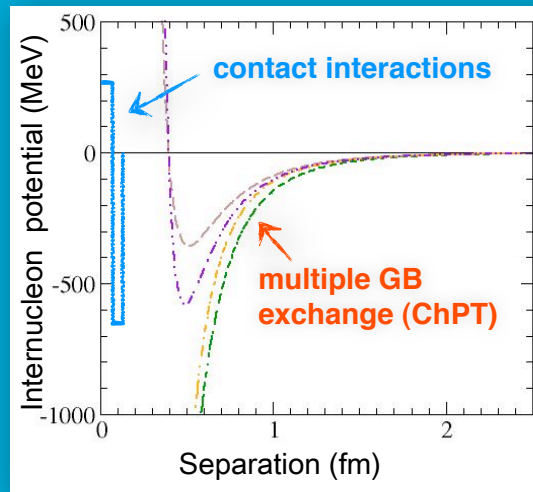
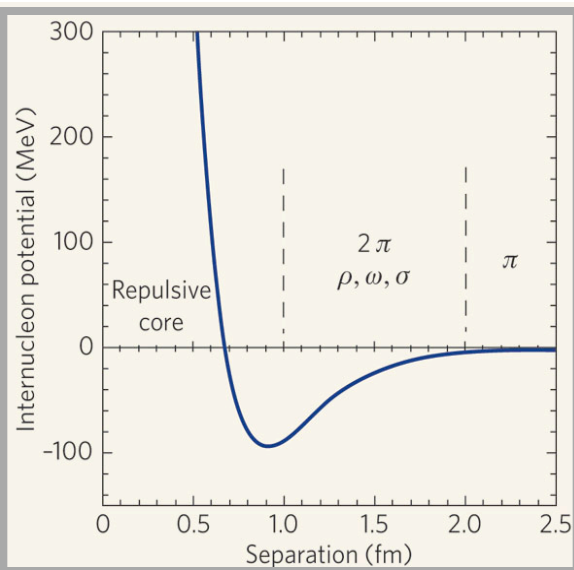
$$V_{\text{eff}} = \text{[irreducible diagrams]} + \dots$$

$$T = V_{\text{eff}} + V_{\text{eff}} T$$

$$\left[\left(\sum_{i=1}^A \frac{-\vec{\nabla}_i^2}{2m_N} + \mathcal{O}(m_N^{-3}) \right) + \underbrace{V_{2N} + V_{3N} + V_{4N} + \dots}_{\text{derived within ChPT}} \right] |\Psi\rangle = E|\Psi\rangle$$

derived within ChPT

From effective Lagrangian to nuclear forces



- unified description of $\pi\pi$, πN and NN
- consistent many-body forces and currents
- systematically improvable
- bridging different reactions (electroweak, π -prod., ...)
- precision physics with/from light nuclei