

The Lorentz Integral Transform Method

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 - ★ Theory
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Introduction

Consider an observable $R(E)$ and an integral transform $\Phi(\sigma)$:

$$\Phi(\sigma) = \int dE K(\sigma, E) R(E)$$

with some kernel $K(\sigma, E)$

Often it is easier to calculate $\Phi(\sigma)$ than $R(E)$. Then the observable $R(E)$ can be obtained via inversion of the integral transform.

In order to make the inversion sufficiently stable the kernel $K(\sigma, E)$ should resemble a kind of energy filter (Lorentzians, Gaussians, ...); best choice would be a δ -function.

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For the LIT we consider Lorentzians: $K(\sigma, E) = [(E - \sigma_R)^2 + \sigma_I^2]^{-1}$

Introduction

Reactions of particle systems induced by external probes (photons, electrons, neutrinos) can be divided in **inclusive** and **exclusive** processes.

Inclusive reaction: final state of particle system after reaction is **not** observed

Corresponding cross sections have the form

$$\frac{d^2\sigma}{d\Omega d\omega} = \frac{d^2\sigma}{d\Omega d\omega} \Big|_{\text{zero}} \sum_{i=1}^N f_i(\text{kinematics}) R_i(\omega, q) \quad \text{Inclusive}$$

with N inclusive response functions R_i containing information on the dynamics of the particle system

Electron scattering: $\frac{d^2\sigma}{d\Omega d\omega} \Big|_{\text{zero}} = \frac{d^2\sigma}{d\Omega d\omega} \Big|_{\text{Mott}}$

Photo absorption: $\frac{d^2\sigma}{d\Omega d\omega} \longrightarrow \frac{d\sigma}{d\omega}$

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Exclusive reaction: final state of particle system after reaction **is identified**

For example, final state consists of a knocked out proton and a residual nucleus, energy and angle of proton have to be measured:

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$$\frac{d^3\sigma}{d\Omega d\omega d\Omega_p} = \frac{d^3\sigma}{d\Omega d\omega d\Omega_p} \Bigg|_{\text{zero}} \sum_{i=1}^M f_i(\text{kinematics}) g(\phi_p) r_i(\omega, q, \theta_p)$$

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$$\int r_i(\omega, q, \theta_p) d\Omega_p = R_i(\omega, q), \quad i = 1, \dots, N$$
$$\int r_i(\omega, q, \theta_p) d\Omega_p = 0, \quad i = N+1, \dots, M$$

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Example: unpolarized (e,e'):

$$r_1 = r_L, \quad r_2 = r_T$$

$$r_3 = r_{LT}, \quad r_4 = r_{TT}$$

LIT method: Theory

Inclusive response functions have the following form

$$R(\omega) = \sum_n |\langle n | \Theta | 0 \rangle|^2 \delta(\omega - E_n + E_0)$$

where we have set for $q = \text{const}$: $R(\omega, q) \rightarrow R(\omega)$

$|0\rangle, |n\rangle$ and E_0, E_n are eigen states and corresponding eigen energies of Hamiltonian H and Θ is transition operator inducing the reaction

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Exclusive response functions have more complicated forms. They are sums of products of T-matrix elements

$$T_{n0}^{\alpha, \beta}(\omega) = \langle n_\alpha | \Theta | 0_\beta \rangle$$

For a calculation of response functions one needs **initial** and **final state wave functions** of the particle system. With increasing particle number such calculations become more and more difficult

	bound-state calculation	continuum state calculation
A=2	easy	easy
A=3	not easy	difficult
A=4	difficult	very difficult
A>4	today possible up to relatively large A (GFMC, NCSM, CC)	today: only below three-body breakup threshold

In last decade much progress in bound-state calculations applying different methods

AB INITIO BOUND STATE CALCULATIONS

BE of ${}^4\text{He}$ (exp. 28.296 MeV)

TABLES

TABLE I. The expectation values $\langle T \rangle$ and $\langle V \rangle$ of kinetic and potential energies, the binding energies E_b in MeV and the radius in fm.

Method	$\langle T \rangle$	$\langle V \rangle$	E_b	$\sqrt{\langle r^2 \rangle}$
FY	102.39(5)	-128.33(10)	-25.94(5)	1.485(3)
CRCGV	102.30	-128.20	-25.90	1.482
SVM	102.35	-128.27	-25.92	1.486
HH	102.44	-128.34	-25.90(1)	1.483
GFMC	102.3(1.0)	-128.25(1.0)	-25.93(2)	1.490(5)
NCSM	103.35	-129.45	-25.80(20)	1.485
EIHH	100.8(9)	-126.7(9)	-25.944(10)	1.486

from H.Kamada et al. (18 authors 7 groups) PRC 64 (2001) 044001

Motivation of **LIT** method

Aim: calculation of reactions involving
A-body systems in the **continuum**

calculation of A-body continuum state tremendously
more difficult than A-body bound state calculation

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calculation of A-body continuum state tremendously more difficult than A-body bound state calculation

Question: Is it possible to calculate continuum observables without explicit knowledge of the corresponding continuum wave function ?

YES, via the **LIT** method!

Continuum state problem $\xrightarrow{\text{LIT}}$ bound-state-like problem

LIT - Theory for Inclusive Reactions

Cross section described by response functions $R(\omega)$

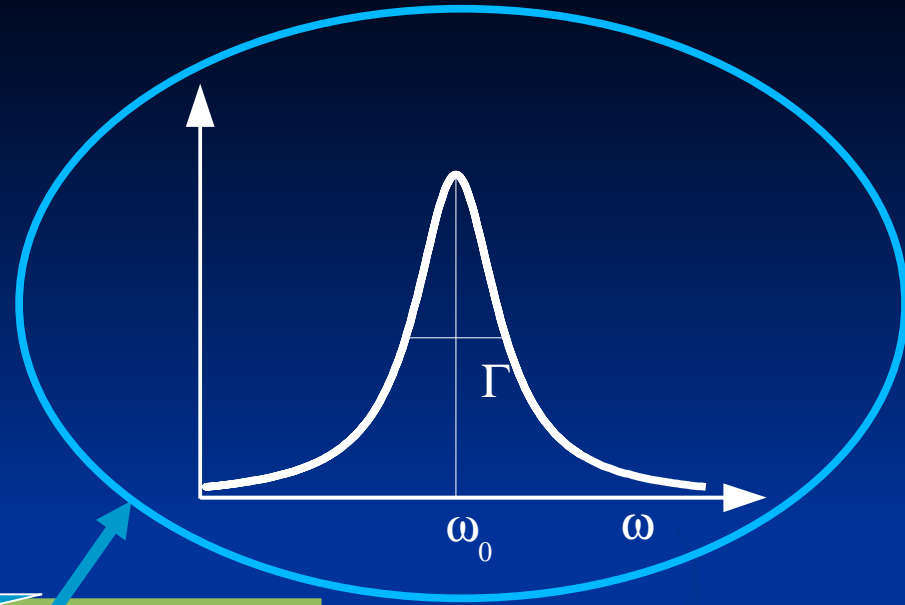
$$R(\omega) = \sum_n |\langle n | \Theta | 0 \rangle|^2 \delta(\omega - E_n + E_0)$$

steps:

1. Solve for many ω_0 and fixed Γ

$$(H - E_0 - \omega_0 + i\Gamma) \tilde{\Psi} = \Theta |0\rangle$$

2. Calculate



for given
 ω_0 and Γ

$$\langle \tilde{\Psi} | \tilde{\Psi} \rangle = \int R(\omega) L(\omega, \omega_0, \Gamma) d\omega$$

for a Theorem based on **closure**

3. Invert transform


$$\int_{E_{\text{th}}^-}^{\infty} d\omega \frac{R(\omega)}{(\omega - \omega_0)^2 + \Gamma^2}$$

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\int_{E_{\text{th}}^-}^{\infty} d\omega \frac{R(\omega)}{(\omega - \omega_0)^2 + \Gamma^2} &= \int_{E_{\text{th}}^-}^{\infty} d\omega \frac{R(\omega)}{(\omega - \omega_0 - i\Gamma)(\omega - \omega_0 + i\Gamma)} \\
&= \int_{E_{\text{th}}^-}^{\infty} d\omega \frac{\int dn \langle 0 | \Theta^\dagger | n \rangle \langle n | \Theta | 0 \rangle \delta(\omega - E_n - E_0)}{(\omega - \omega_0 - i\Gamma)(\omega - \omega_0 + i\Gamma)}
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with $(\text{H} - E_0 - \omega_0 + i\Gamma) |\tilde{\Psi}\rangle = \Theta |0\rangle$

LIT - Theory for Exclusive Reactions

General form of final state wave function for a given channel

$$|\Psi(E)\rangle = |\Phi(E)\rangle + (E - H + i\eta)^{-1} V |\Phi(E)\rangle$$

$|\Phi(E)\rangle$ is “channel function” (with proper antisymmetrization),
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trivial part: T_{Born}

non trivial part: T_{FSI}

Spectral representation for non trivial part

$$\langle \Phi(E) | V (E - H + i\eta)^{-1} \Theta | 0 \rangle = \sum_n (E - E_n) F_{fi}(E, E_n) \\ + \int_{E_{th}}^{\infty} (E - E' + i\eta)^{-1} F_{fi}(E, E') dE'$$

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$$(H - \sigma_R + i\sigma_I) \tilde{\Psi}_1 = \Theta | 0 \rangle, \quad (H - \sigma_R + i\sigma_I) \tilde{\Psi}_2 = V | \Phi(E) \rangle$$

$$\text{LIT: } \langle \tilde{\Psi}_1 | \tilde{\Psi}_2 \rangle$$

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3) Calculate T_{FSI}

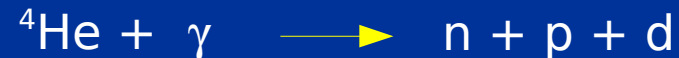
$$T_{FSI}(E) = -i\pi F_{fi}(E, E) + P \int_{E_{th}}^{\infty} (E - E')^{-1} F_{fi}(E, E') dE'$$

Consider the following exclusive reaction:



For a conventional calculation one needs to know the four-body continuum wave function

Very difficult to go above three-body break-up threshold:

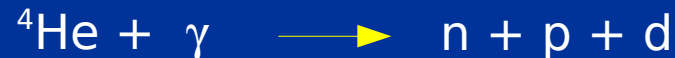


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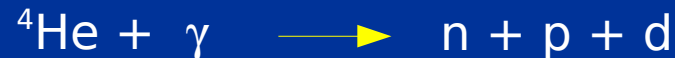
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$$T(E) = T_{\text{BORN}}(E) + T_{\text{FSI}}(E) \quad \text{with} \quad T_{\text{BORN}}(E) = \langle \text{PW}(E) | \Theta | \Psi({}^4\text{He}) \rangle$$

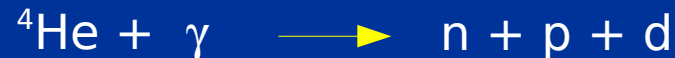
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$$T_{\text{FSI}}(E) = -i\pi F_{\text{fi}}(E,E) + P \int_{E_{\text{th}}}^{\infty} (E - E')^{-1} F_{\text{fi}}(E,E') dE'$$

With $F_{\text{fi}}(E,E')$ from inversion of the **LIT**

LIT - Inversion

Standard LIT inversion method

Take the following ansatz for the response function $R(\omega)$ (or $F_{fi}(E,E')$)

$$R(\omega') = \sum_{m=1}^{M_{\max}} c_m \chi_m(\omega', \alpha_i)$$

with $\omega' = \omega - \omega_{th}$, given set of functions χ_m , and unknown coefficients c_m

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Define:
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Calculate LIT $L(\sigma_R, \sigma_I) = \langle \tilde{\Psi} | \tilde{\Psi} \rangle$ for many σ_R and fixed σ_I

and expand in set $\tilde{\chi}_m$:
$$L(\sigma_R, \sigma_I) = \sum_{m=1}^{M_{\max}} c_m \tilde{\chi}_m(\omega', \alpha_i)$$

Determine c_m via best fit

Increase M_{\max} up to the point that stable result is obtained for $R(\omega)$. Even further increase of M_{\max} might lead to oscillations in $R(\omega)$

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As basis set χ_m we normally use

$$\chi_m(\omega', \alpha_i) = (\omega')^\alpha \exp(-\alpha_2 \omega'/m) \quad \text{with } m = 1, 2, \dots, M_{\max}$$

main point of the LIT :

Schrödinger-like equation with a source

$$(H - E_0 - \omega_0 + i\Gamma) \tilde{\Psi} = S$$

The $\tilde{\Psi}$ solution is unique and has **bound state like** asymptotic behavior



one can apply **bound state methods**

LIT - Example

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deuteron photodisintegration in unretarded dipole approximation

unretarded dipole approximation $\Rightarrow \Theta = \sum_{i=1}^A z_i \frac{1+\tau_{i,z}}{2} ,$

$z_i, \tau_{i,z}$: 3rd components of position and isospin coordinates of i-th nucleon

LIT - Example

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$$\stackrel{\Theta}{\Rightarrow} \sigma_{\gamma}(\omega) = 4\pi^2 \alpha \omega R(\omega) \quad \text{with} \quad R(\omega) = \sum_f |\langle f | \Theta | 0 \rangle|^2 \delta(\omega - E_f - E_0)$$

with $|0\rangle$ and E_0 bound-state wave function and energy

$|f\rangle$ and E_f final-state wave function and energy

LIT - Example

deuteron photodisintegration in unretarded dipole approximation

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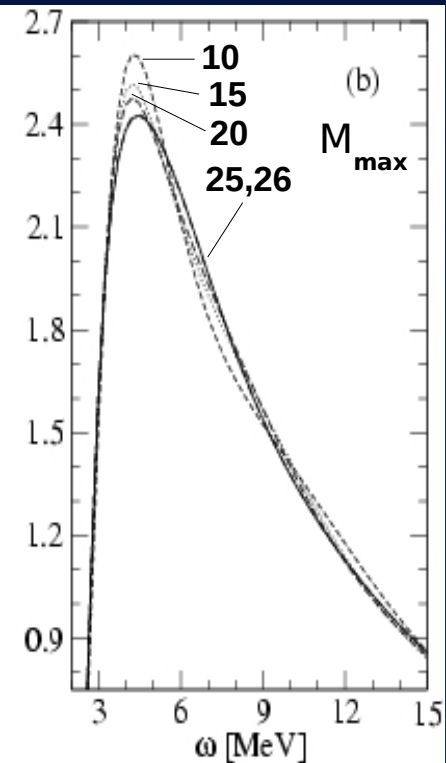
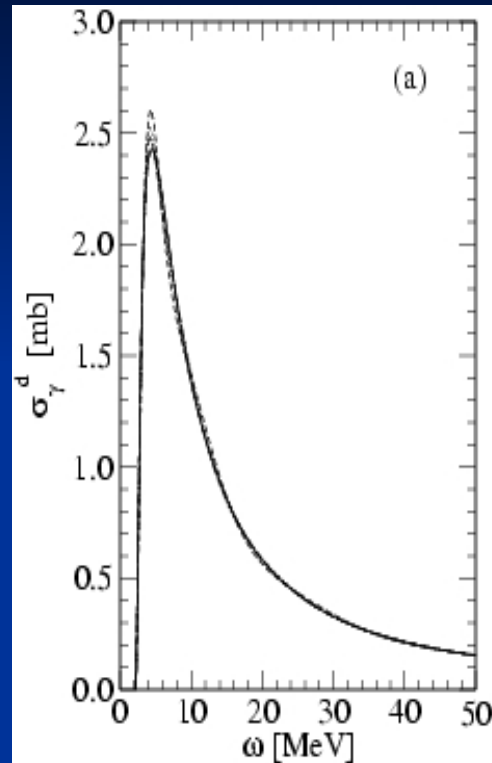
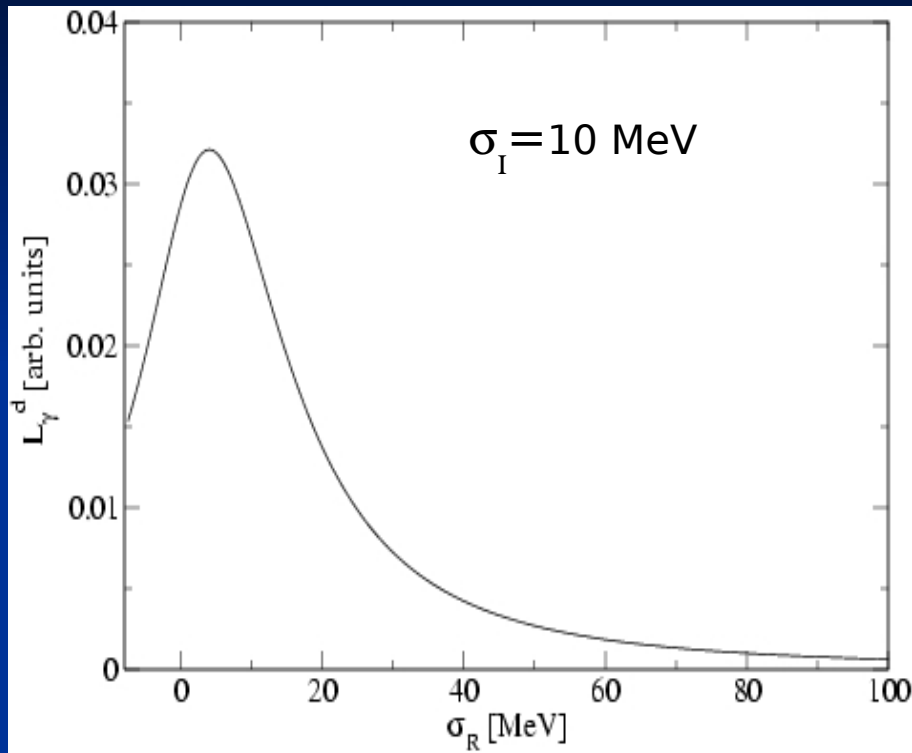
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$|\mathbf{f}\rangle$ and $E_{\mathbf{f}}$ final-state wave function and energy

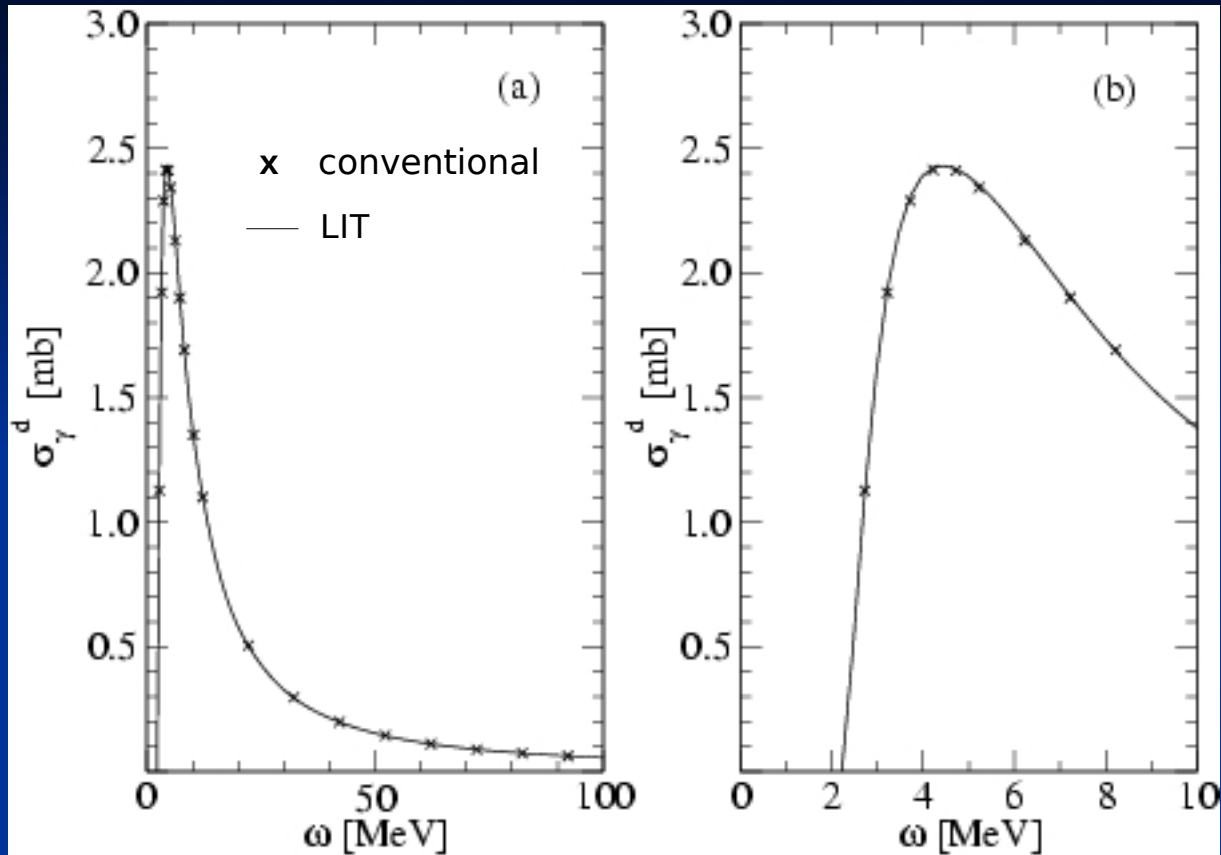
In unretarded dipole approximation $|\mathbf{f}\rangle$ contains only ${}^3P_0, {}^3P_1, {}^3P_2 - {}^3F_2$ NN states

NN interaction: Argonne V14 potential



LIT

$\sigma_\gamma(\omega)$ from inversion with various M_{\max}



$\sigma_\gamma(\omega)$ from inversion with various $M_{\max} = 25$

and result from conventional calculation with explicit
np continuum wave functions

LIT - Applications $A > 2$

Total photoabsorption cross section
in unretarded dipole approximation

LIT - Applications $A > 2$

Our method for calculating bound-state and bound-state-like equations:

Hyperspherical **H**armonics Expansions (**HH**): **CHH** and **EIHH**

CHH: Additional two-body correlation functions are introduced

EIHH: Effective Interaction is constructed via Lee-Suzuki transformation

EIHH: N. Barnea, WL, G. Orlandini, PRC 61, 054001 (2000), NPA 693, 565 (2001), PRC 67, 054003 (2003), PRC 81, 064001 (2010)

Total photoabsorption cross section of three-nucleon systems

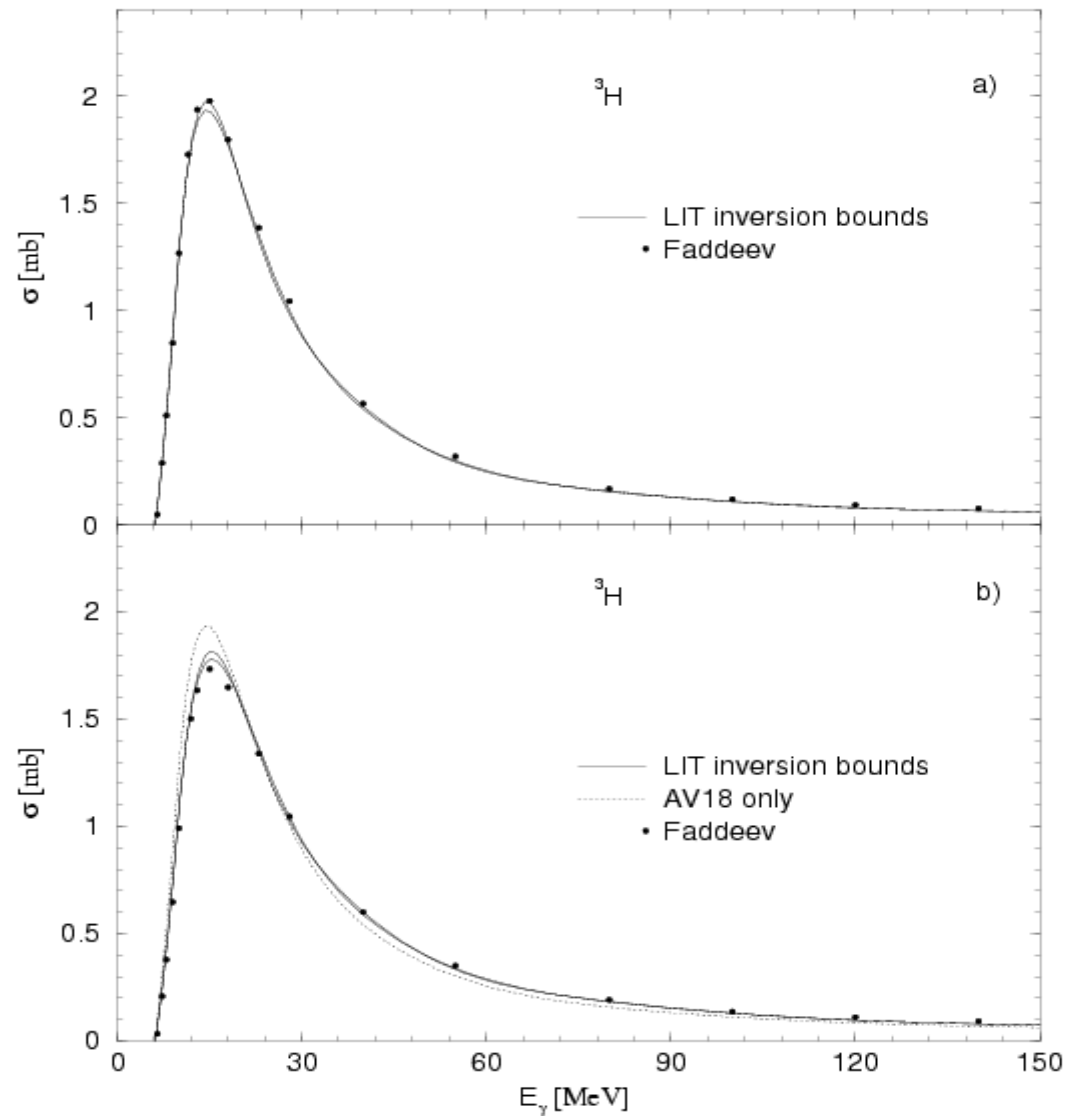
First calculation with realistic NN and 3N forces was made with the LIT method: V.D. Efros, WL, G. Orlandini, E.L. Tomusiak, PLB 484, 223 (2000)

Later a benchmark calculation with the Faddeev technique was made (Golak et al., Nucl. Phys. A 707, 365 (2002))

^3H Total
photoabsorption
cross section
in unret. dipole appr.
(AV18 +UIX force)

LIT versus Faddeev
calculation of Golak et al.
NPA 707, 365 (2002)

Fig. 1

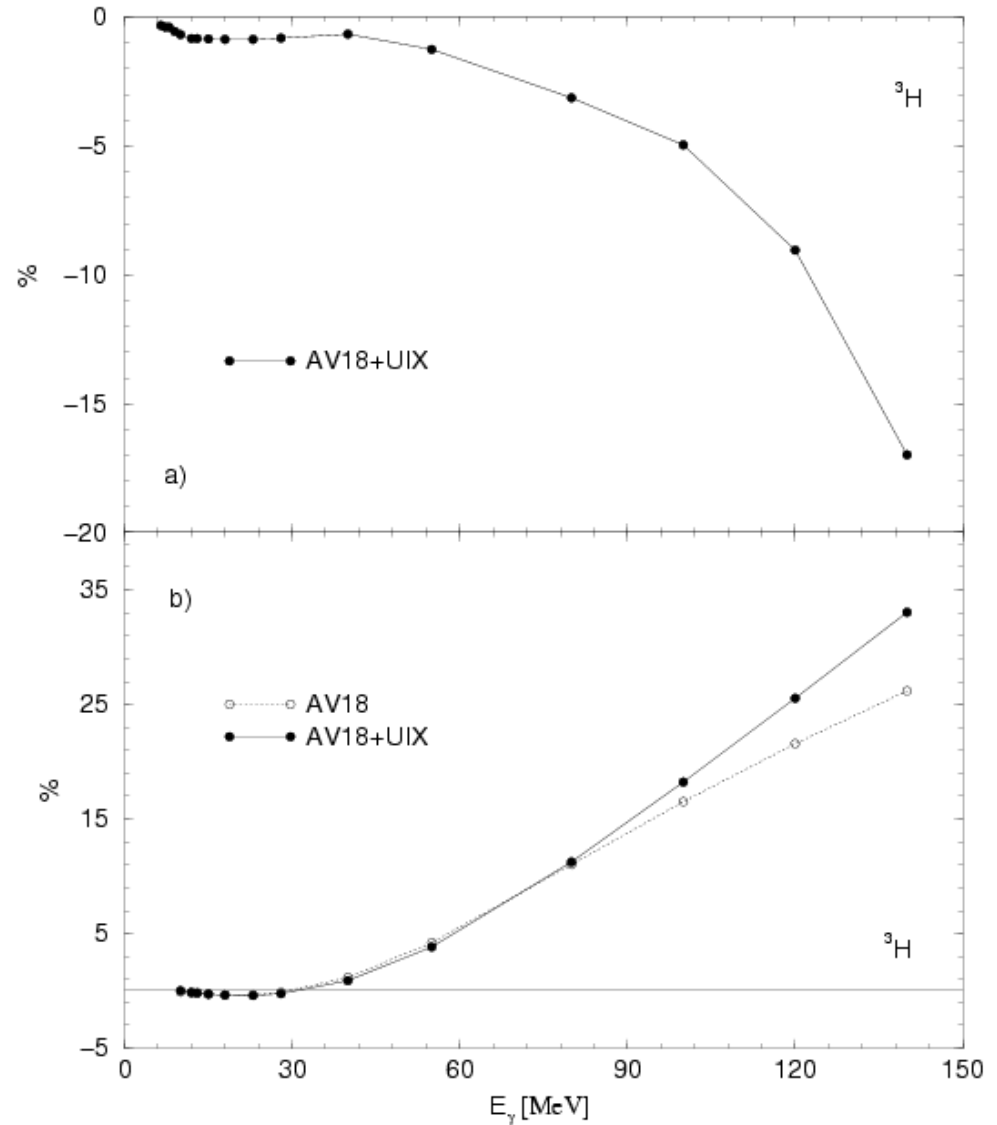


${}^3\text{H}(\gamma)$

Effect of Retardation

Combined Effects of Retardation and further $E\lambda$ and $M\lambda$ multipoles

Fig. 2

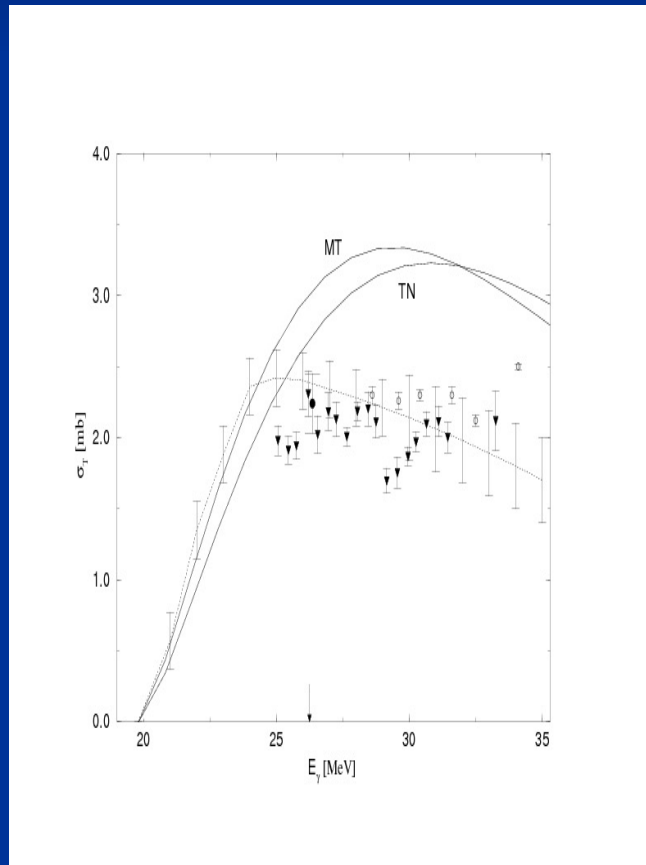


⁴He total photoabsorption cross section

- LIT method
- Nuclear potential: central S-wave NN potentials
- Calculation in unretarded dipole approximation

^4He total photoabsorption cross section

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- Nuclear potential: central S-wave NN potentials
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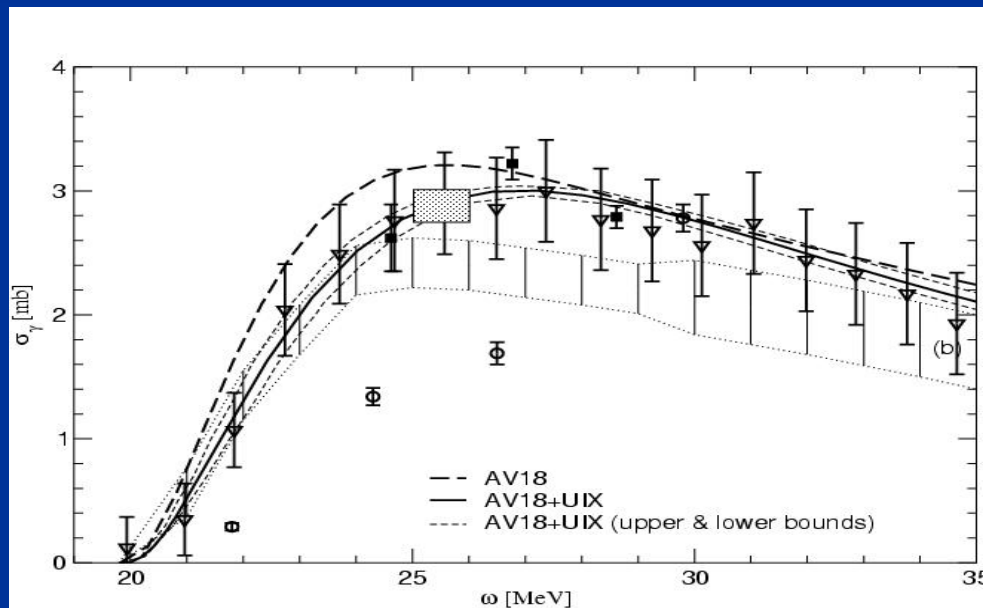


experimental data:
Berman *et al.* (1980)
Feldman *et al.* (1990)
+ others

V. Efros, WL, G. Orlandini,
PRL 78, 4015 (1997)

^4He total photoabsorption cross section

- LIT method
- Nuclear potential: AV18+UIX
- Calculation in unretarded dipole approximation

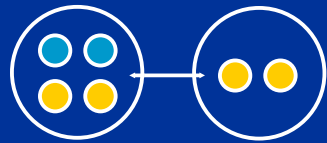


experimental data:
Berman *et al.* (1980)
Feldman *et al.* (1990)
Wells *et al.* (1992)
Nilsson *et al.* (2005)
Shima *et al.* (2005)
Nakayama *et al.* (2007)

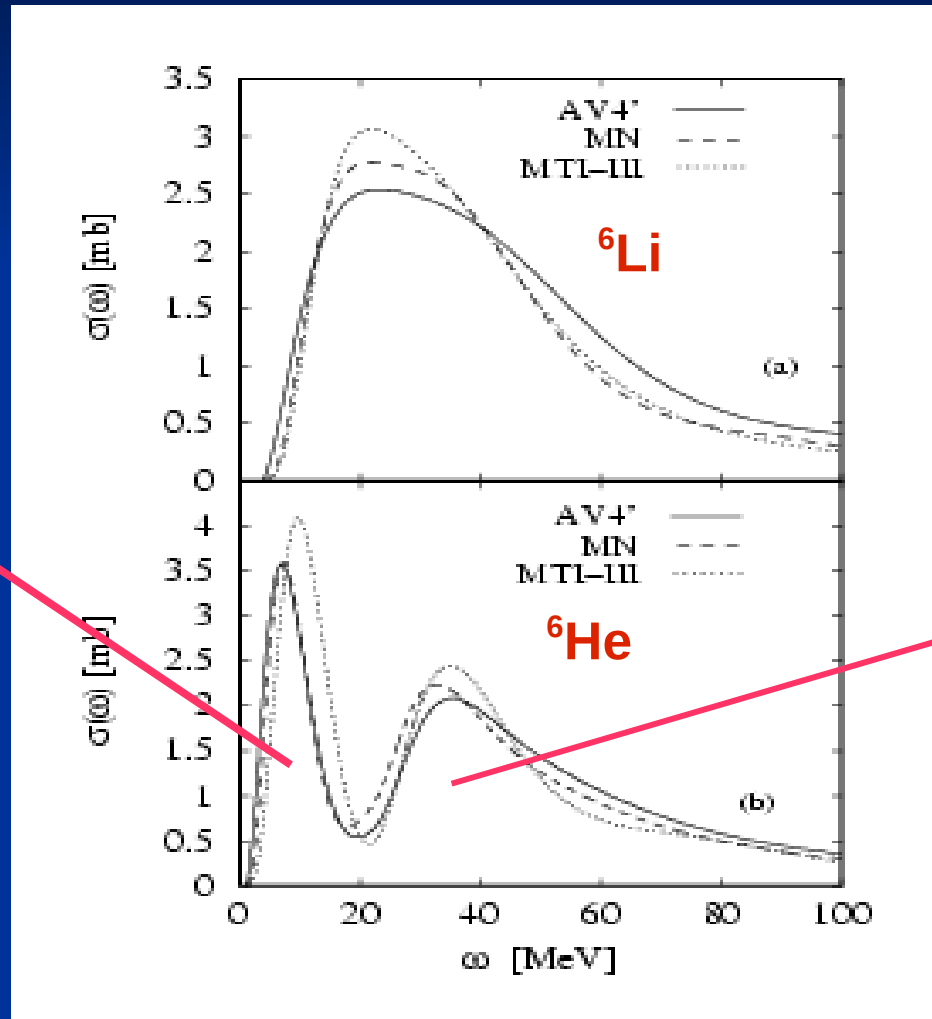
D. Gazit, S. Bacca, N. Barnea, WL, G. Orlandini, PRL 96, 112301 (2006)

6-Body total photodisintegration

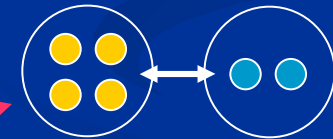
Appearance of collective motion



soft mode



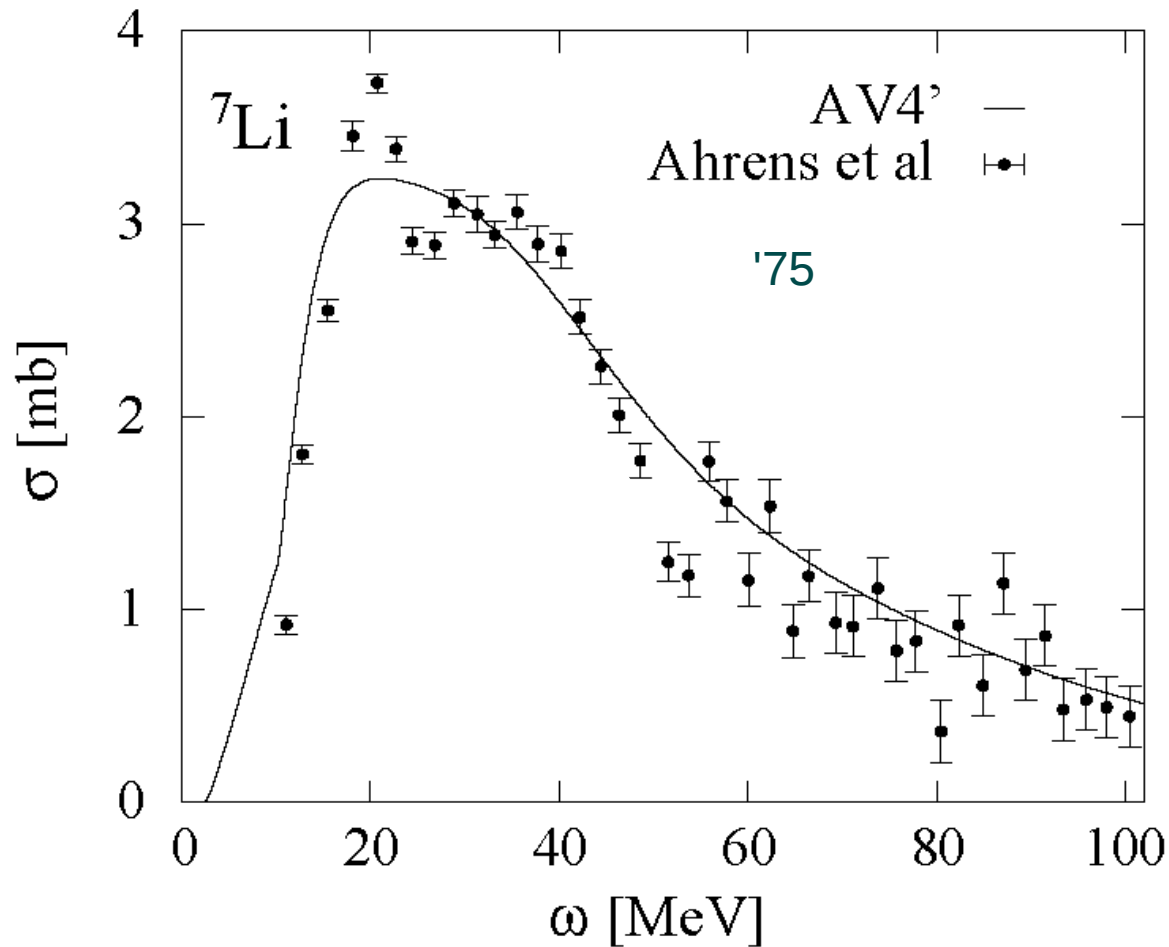
EIHH



classical GT mode

S. Bacca, M. Marchisio,
N. Barnea, WL, G. Orlandini
PRL89, 052502 (2002)

7-Body total photodisintegration



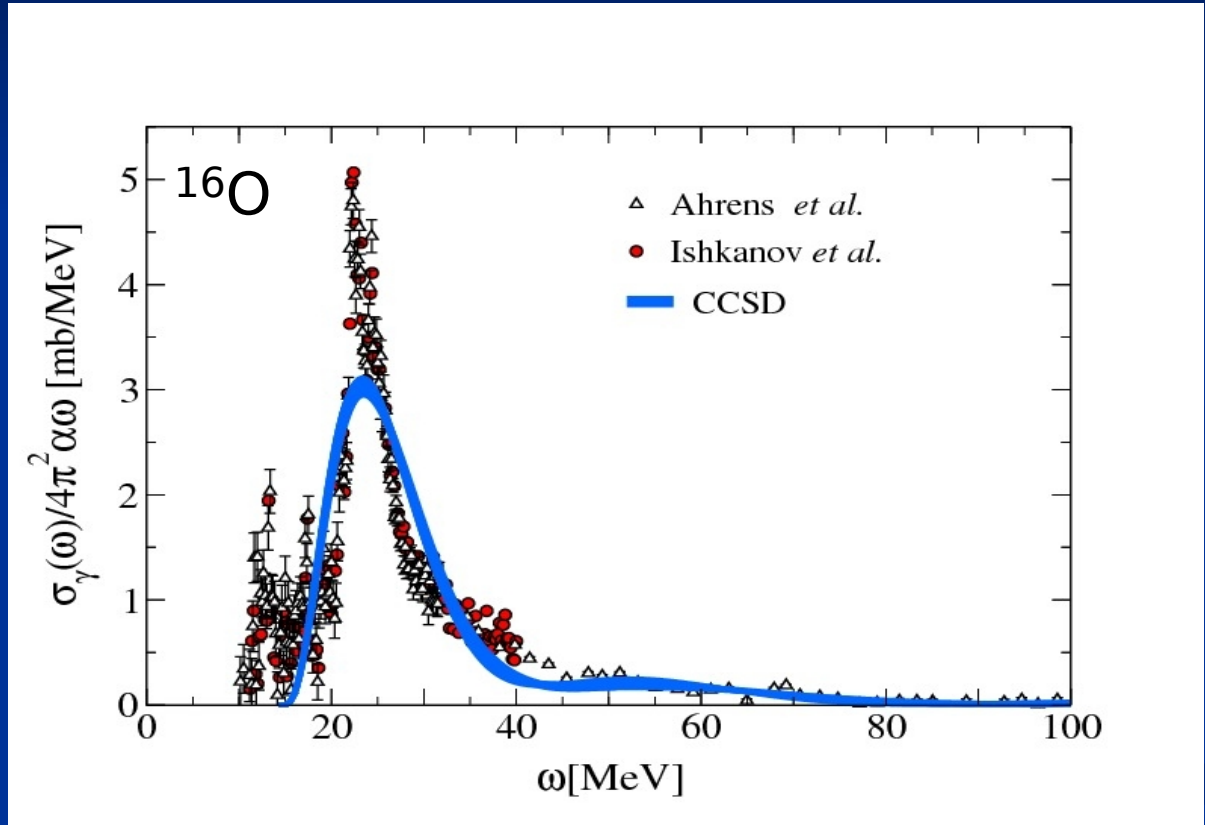
S.Bacca et al.
PLB 603(2004) 159

EIHH

16-Body total photodisintegration

Coupled Cluster
Idaho-N3LO

S. Bacca, N. Barnea,
G. Hagen, G. Orlandini,
Th. Papenbrock,
arXiv:1303.7446



Exclusive Reactions

${}^4\text{He}(\gamma,p){}^3\text{H}$ and ${}^4\text{He}(\gamma,n){}^3\text{He}$ (S. Quaglioni et al., PRC 69, 044002 (2004))

${}^4\text{He}(e,e'p){}^3\text{H}$ (S. Quaglioni et al., PRC 72, 064002 (2005))

${}^4\text{He}(e,e'd){}^2\text{H}$ (D. Andreasi et al., EPJA 27, 47 (2006))

Exclusive Reactions

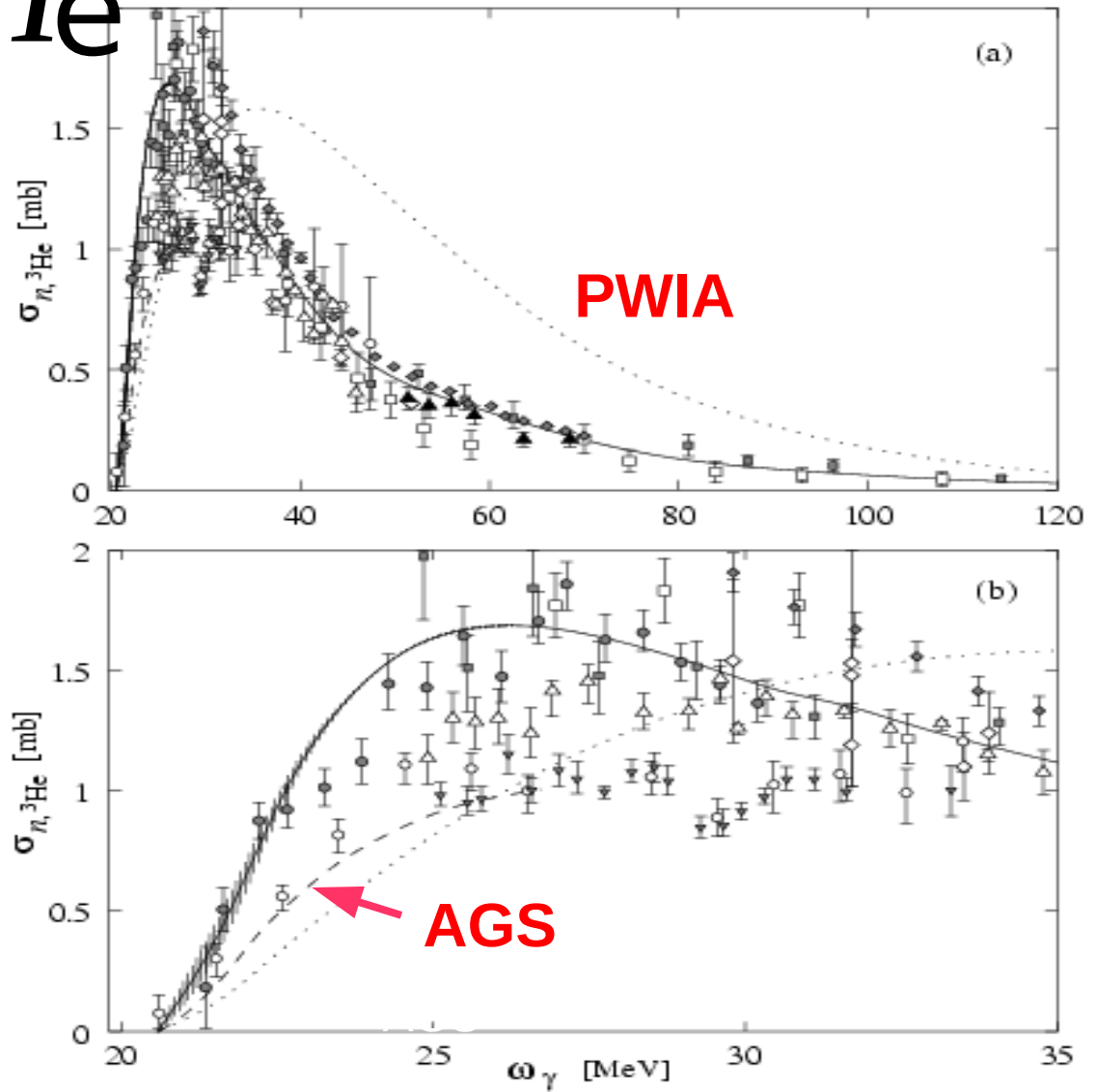
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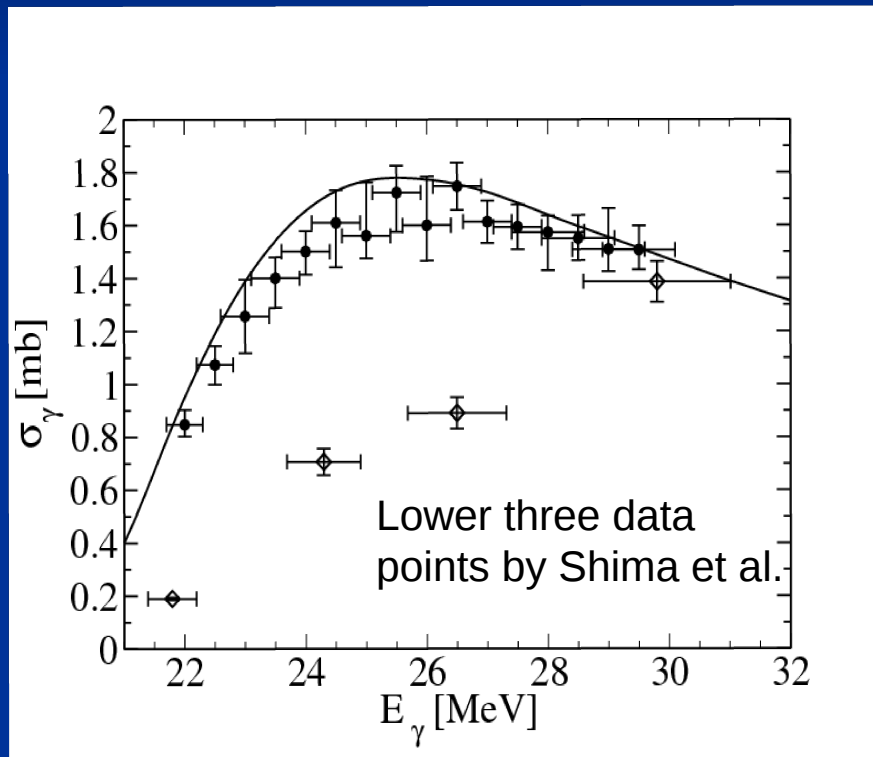
${}^4\text{He}(\gamma, n){}^3\text{He}$

LIT calculation with
MTI/III potential by
Quaglioni et al.,
PRC 69, 044002 (2004)



New results from $\text{Hi}\gamma$ for ${}^4\text{He}(\gamma, p){}^3\text{H}$

R. Raut et al., PRL 108, 042502 (2012)



LIT calculation with
MTI/III potential by
Quaglioni et al.,
PRC 69, 044002 (2004)

LIT method and resonances

LIT method and resonances

The LIT: a method with a **controlled resolution**

LIT method and resonances

The LIT: a method with a **controlled resolution**

Case study for deuteron photodisintegration

NN potential with fictitious resonance in 3P_1 partial wave

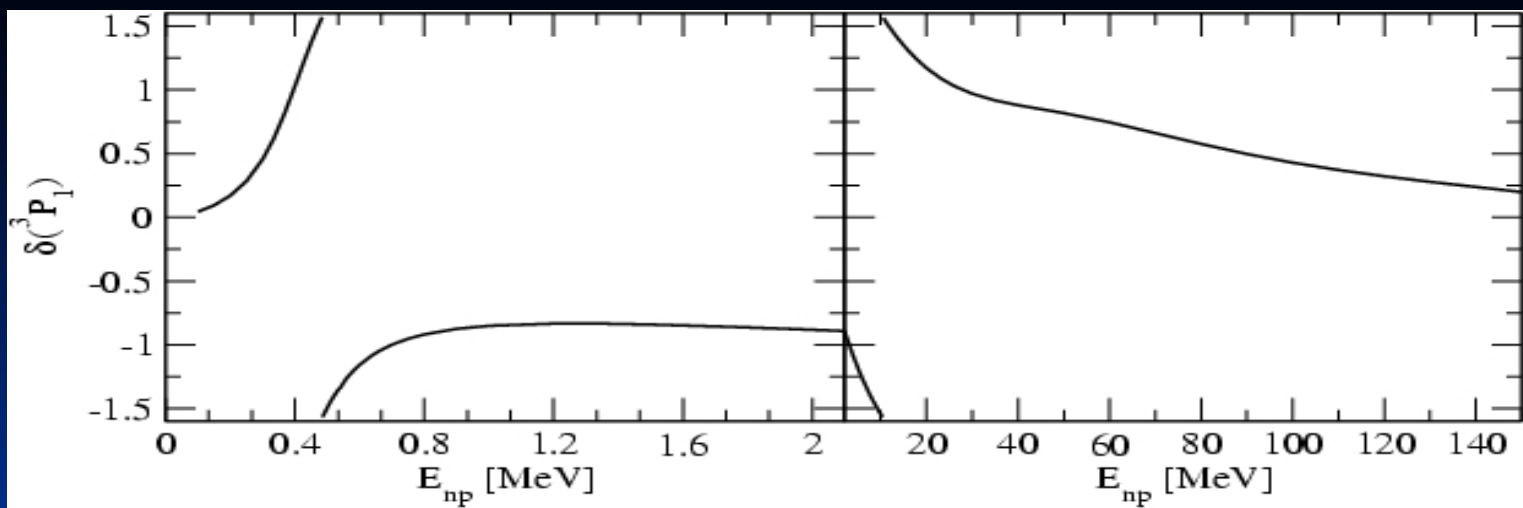
$$V({}^3P_1) \longrightarrow V({}^3P_1) + V_{\text{add}}$$

With
$$V_{\text{add}} = -\frac{57.6 \text{ MeV}}{r} (1 - \exp(-2r^2))(1 + \exp(\frac{r-5}{0.2}))^{-1}$$

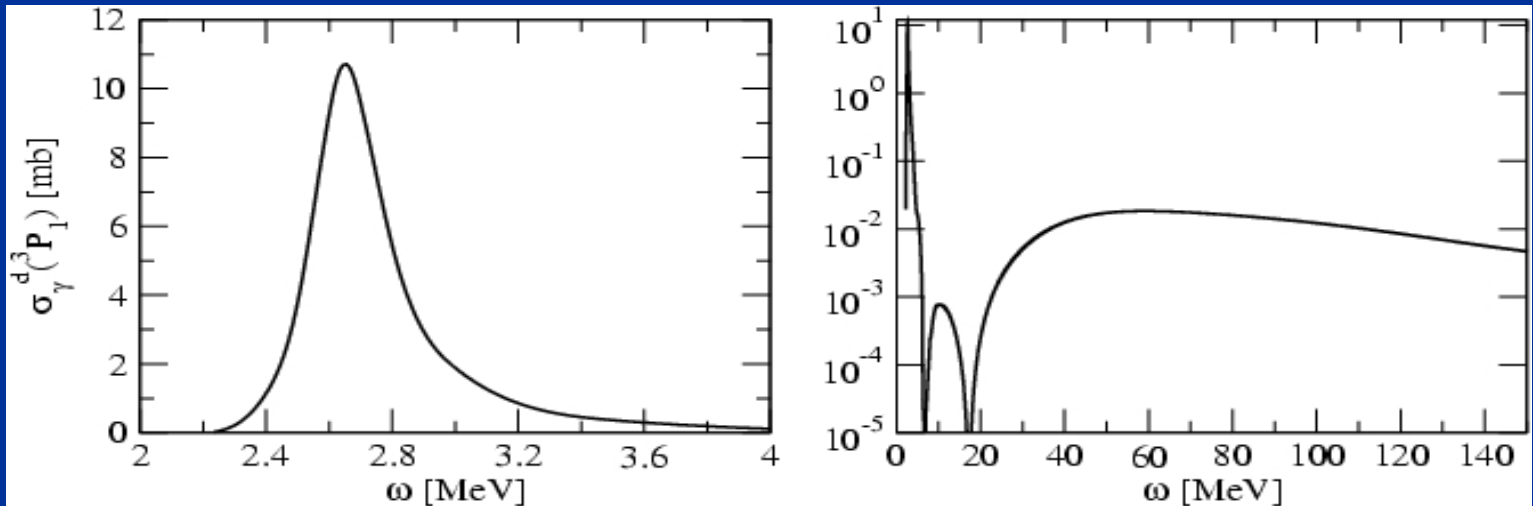
and relative coordinate r in units of fm

Why such a potential?

To understand this better let us have a look on corresponding phaseshift 3P_1 and deuteron photoabsorption cross section in 3P_1 partial wave



Phase shifts shows two resonances one at $E_{np} = 0.48, 10.5$ MeV



$\sigma_{\gamma}^{d,3P_1}$ shows two corresponding resonances: low-energy resonance very pronounced with small width $\Gamma=270$ KeV, the other one is much weaker and has a larger width

What has to be done in the LIT calculation to resolve the pronounced low-energy resonance?

$\tilde{\Psi}$ is localized state of finite norm, but what is the radial extension of the state. Cross section structures with small width require smaller $\sigma_1 \Rightarrow \tilde{\Psi}$ is longer ranged

In our LIT calculation for the deuteron photodisintegration we are able to check it for the modified 3P_1 interaction

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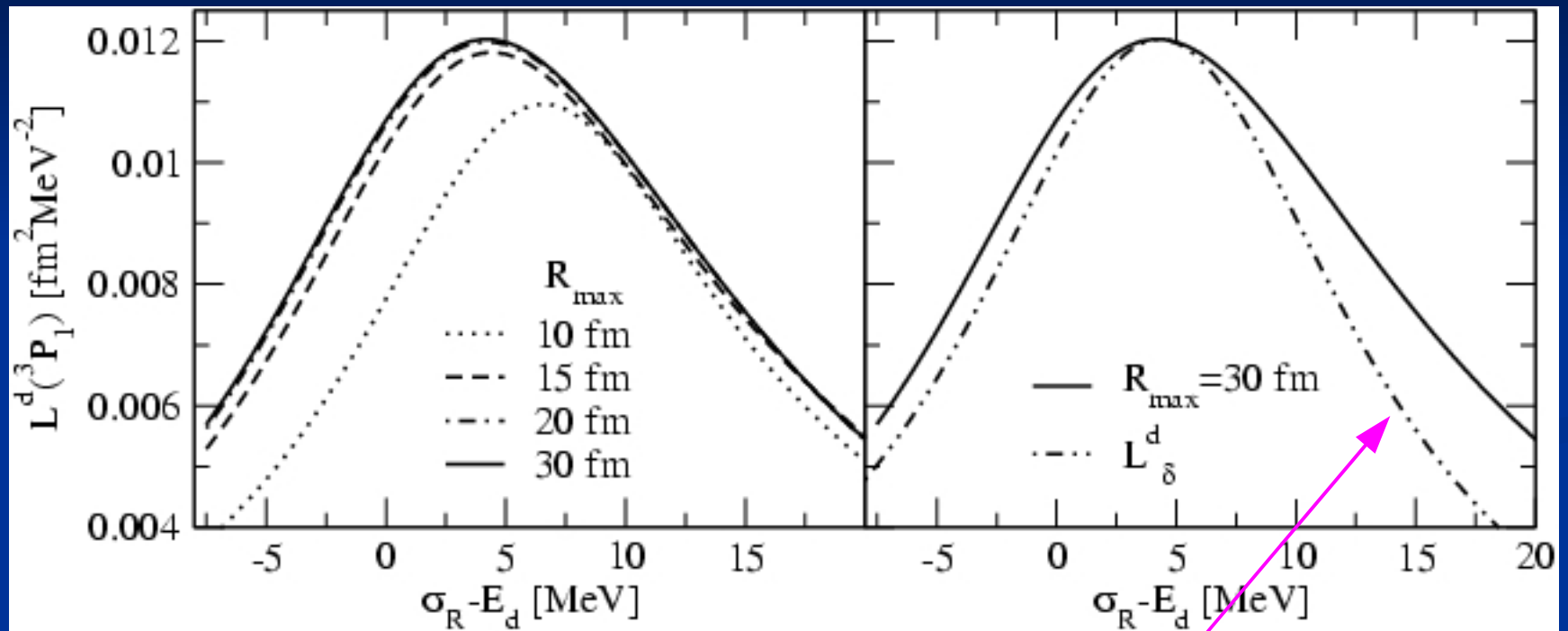
$\tilde{\Psi}$ is localized state of finite norm, but what is the radial extension of the state. Cross section structures with small width require smaller $\sigma_I \Rightarrow \tilde{\Psi}$ is longer ranged

In our LIT calculation for the deuteron photodisintegration we are able to check it for the modified 3P_1 interaction

Let us first check better the case for the true deuteron photodisintegration using the following procedure. At a distance $r=R_{\max}$ we take as boundary condition a very strong fall-off for the solution $\tilde{\Psi}$ and evaluate the norm

$$\langle \tilde{\Psi} | \tilde{\Psi} \rangle = \int_0^{R_{\max}} dr |\tilde{\Psi}(r, \sigma_R, \sigma_I)|^2$$

LIT for deuteron total photoabsorption cross section considering only transitions to 3P_1 channel with unchanged interaction (no resonance)

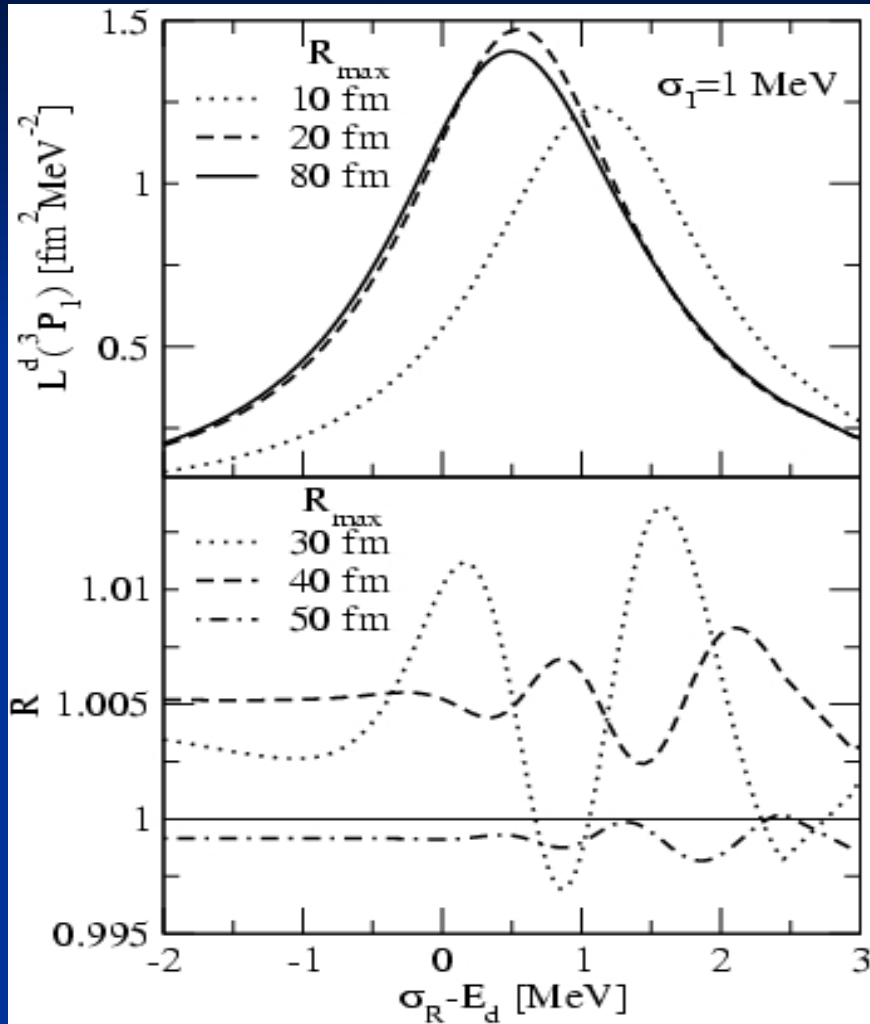


single Lorentzian with $\sigma_I = 10$ MeV

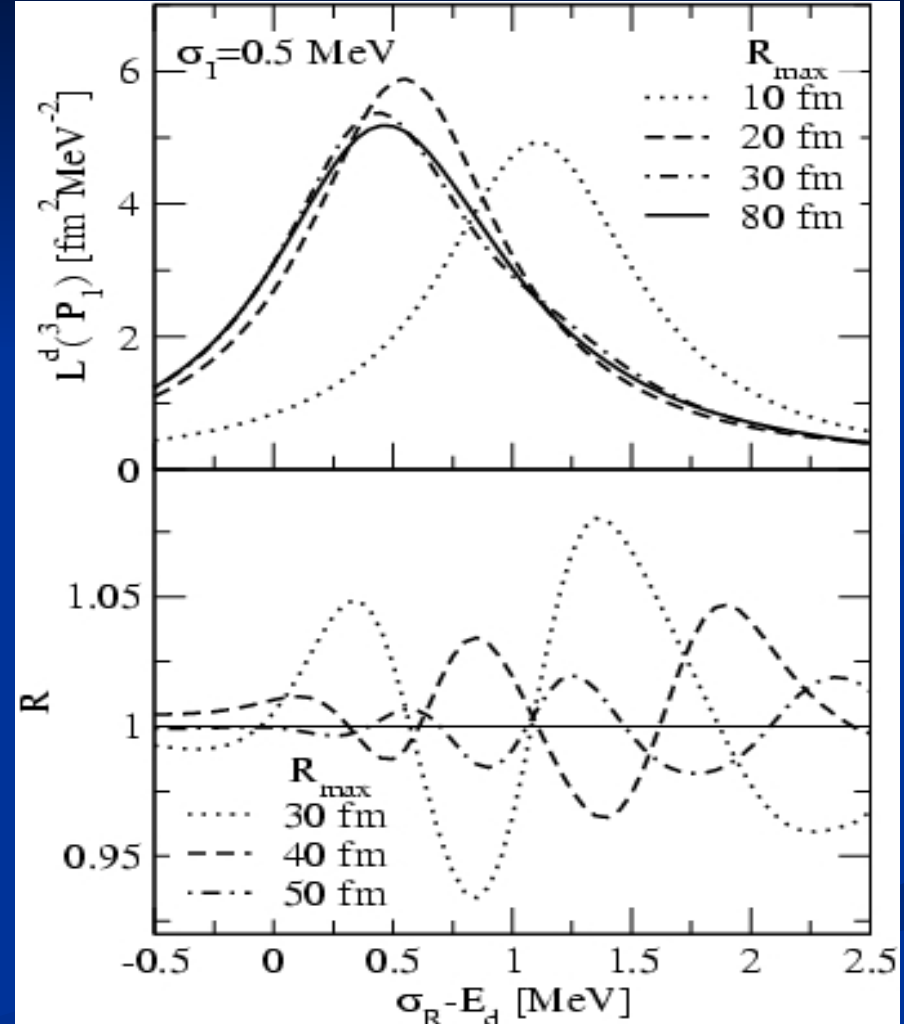
Results with modified 3P_1 potential

First LIT in the region of the low-energy resonance

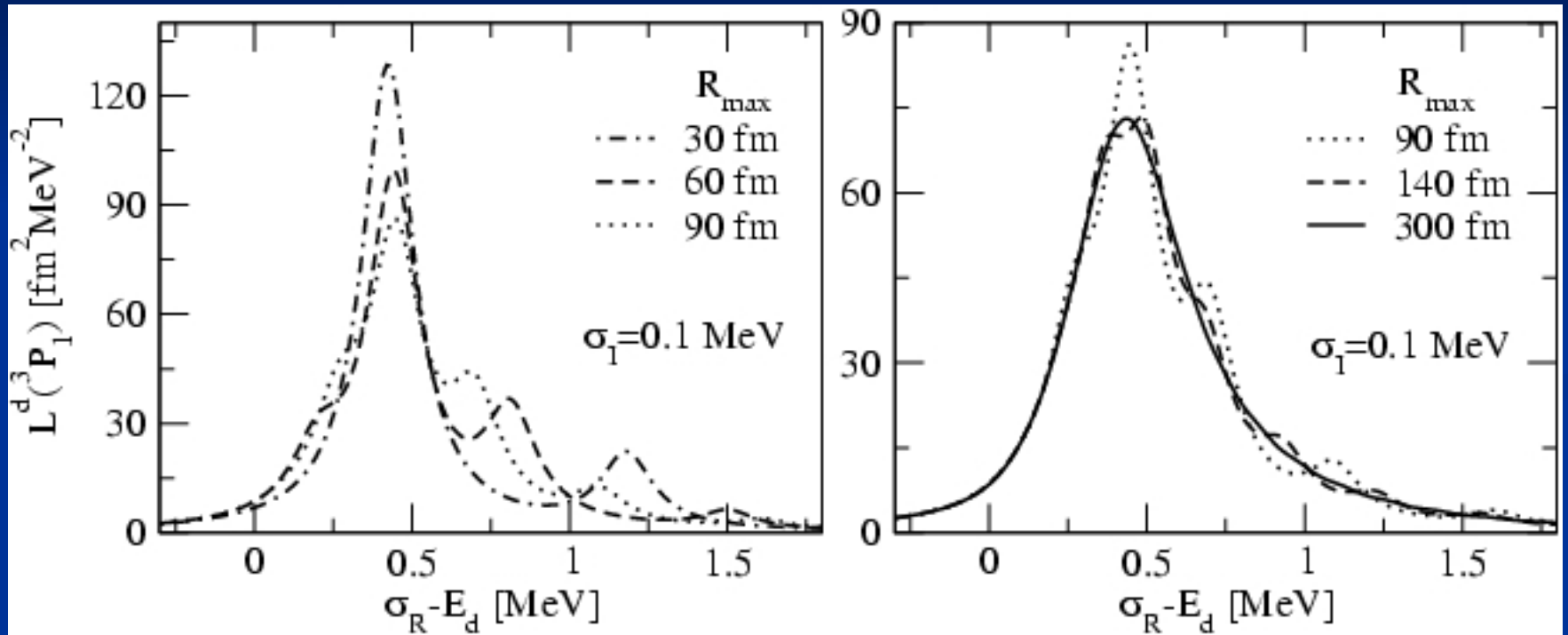
LIT for deuteron total photoabsorption cross section considering only transitions to 3P_1 channel with modified interaction



$\sigma_I = 1$ MeV

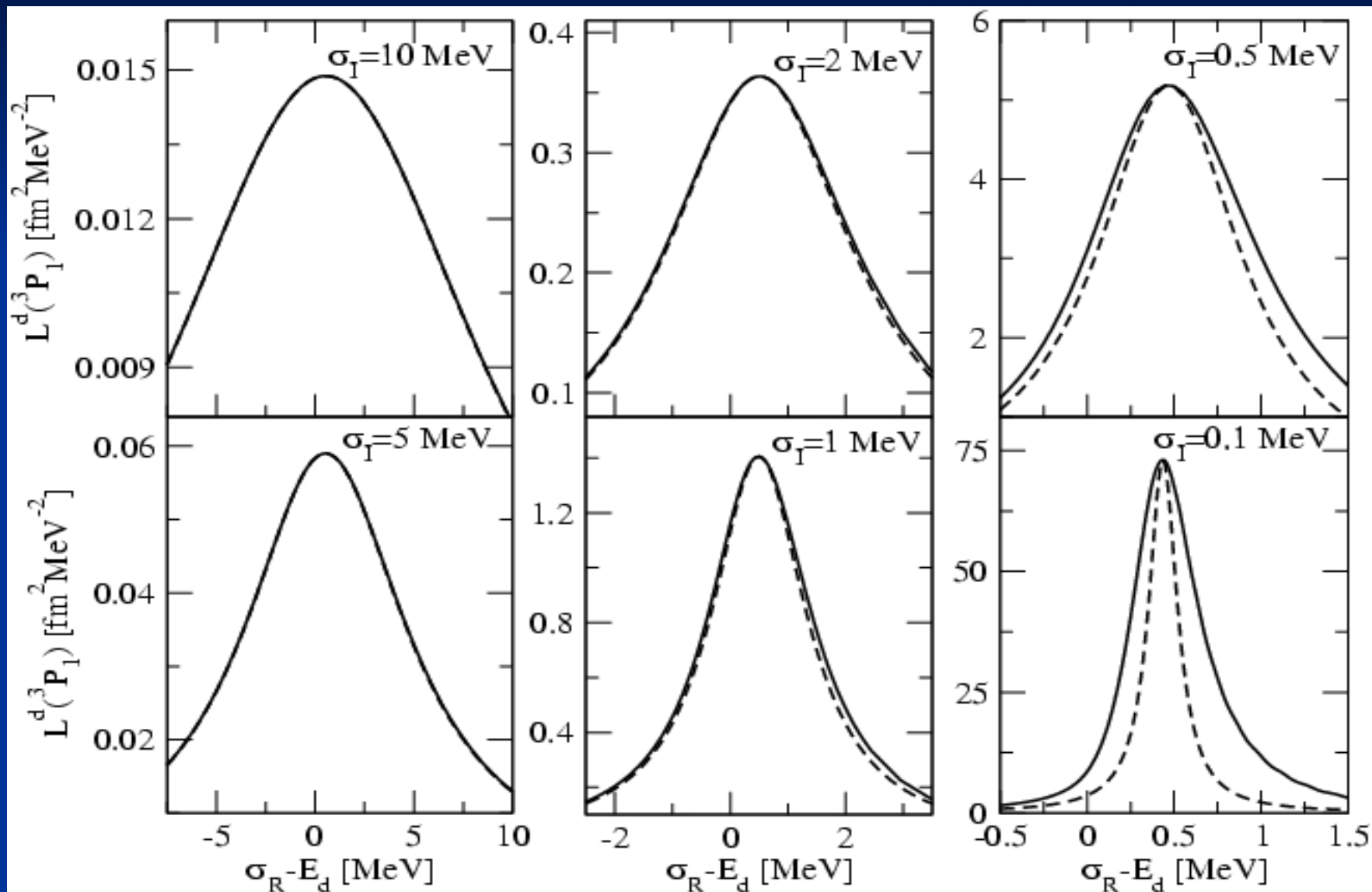


$\sigma_I = 0.5$ MeV



$\sigma_I = 0.1 \text{ MeV}$

LITs in the resonance region with various σ_I (full curves);
 comparison with single Lorentzians of corresponding σ_I (dashed curves)

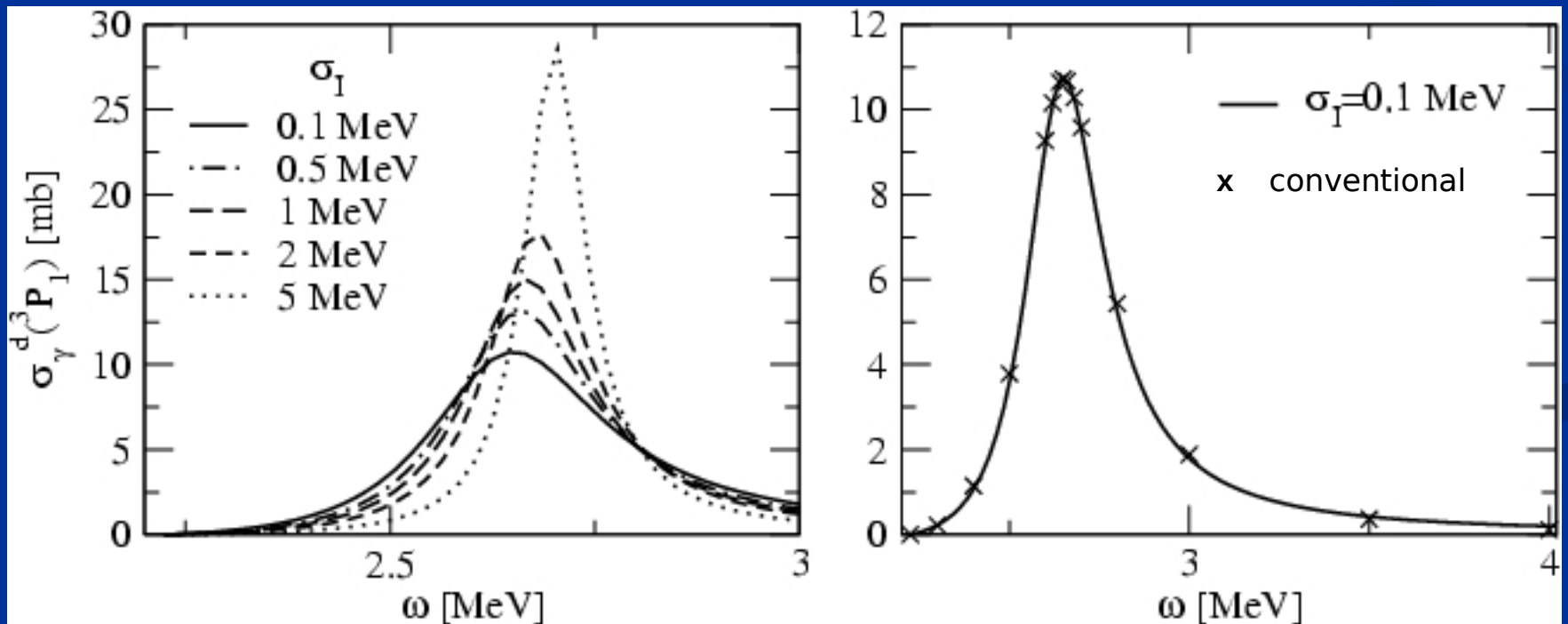


Incomplete Inversion

Instead of using set χ_m defined previously we take $M_{\max}=1$ and take

$$\chi_1^{\text{res}} = \frac{1}{(E_{\text{np}} - E_{\text{res}})^2 + (\Gamma/2)^2} \left(\frac{1}{1 + \exp(-1)} - \frac{1}{1 + \exp((E_{\text{np}} - \alpha_3)/\alpha_3)} \right)$$

E_{res} , Γ , and α_3 are fit parameters

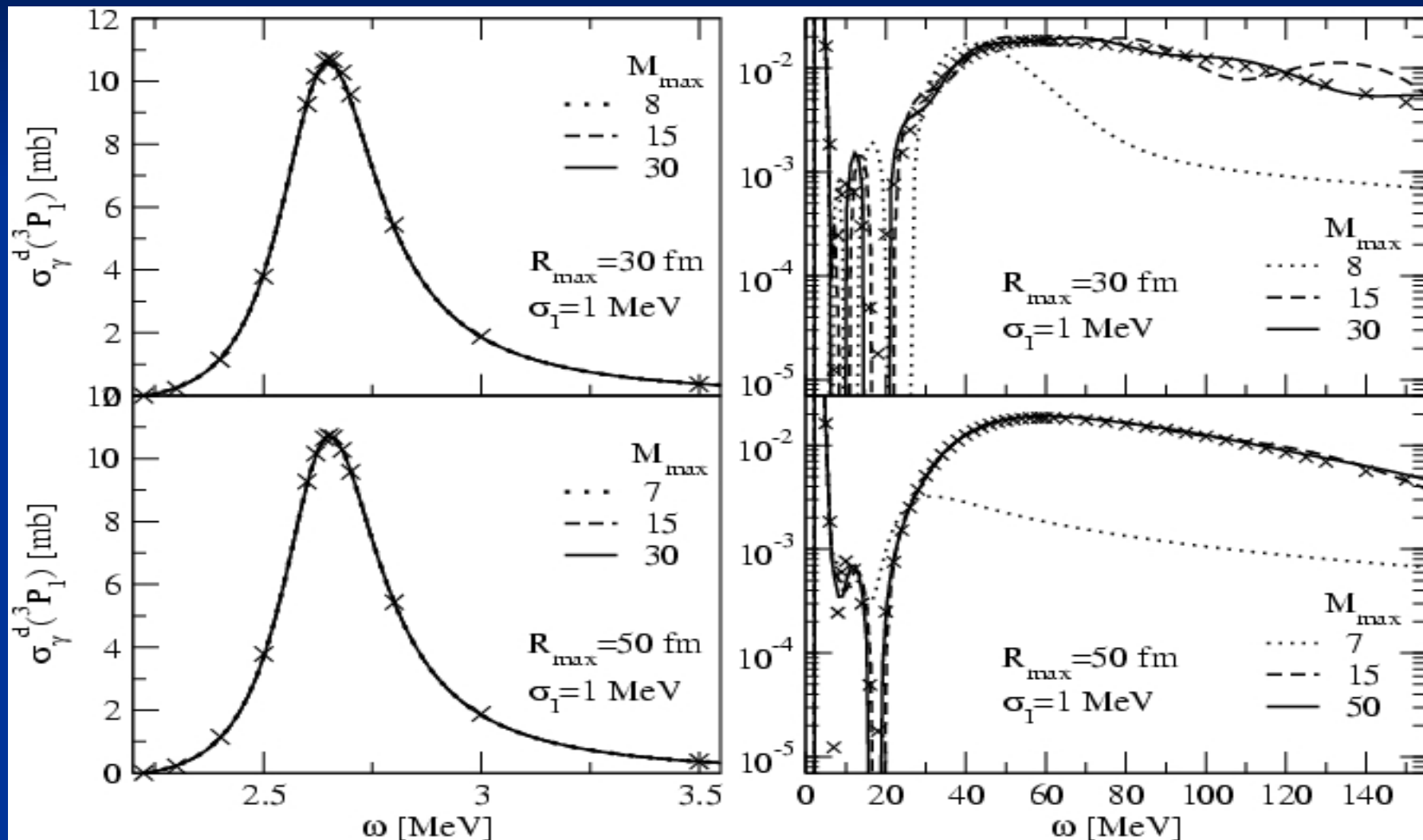


Results with modified 3P_1 potential

Now to the LIT results beyond low-energy resonance

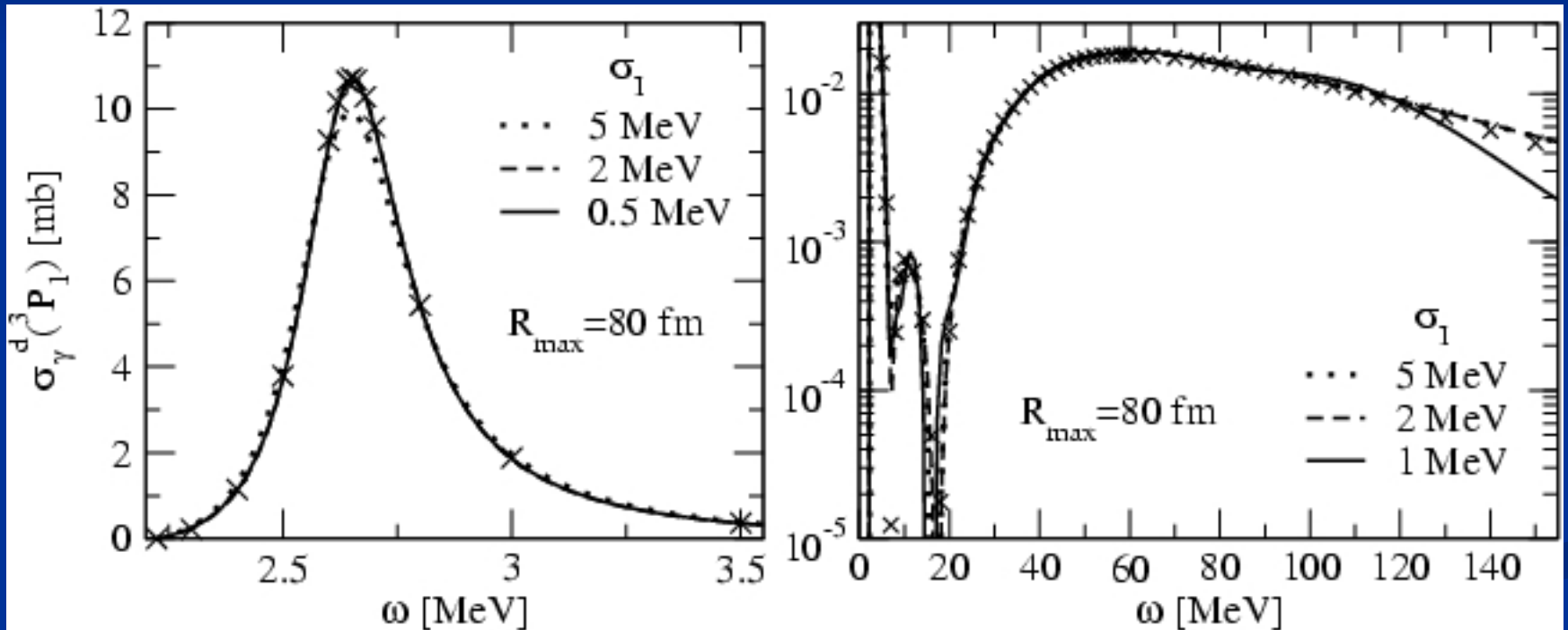
Complete inversion with set χ_m defined previously using in addition as new first basis function χ_1^{res}

$\sigma_1 = 1 \text{ MeV}$, $R_{\text{max}} = 30 \text{ and } 50 \text{ fm}$, various M_{max}



Complete inversion with set χ_m defined previously using in addition as new first basis function χ_1^{res}

various σ_I , $R_{\text{max}} = 80$ fm, $M_{\text{max}} = 30$



Up to now **direct numerical solutions** of Schrödinger equation for bound state and LIT equation for $\tilde{\Psi}$

For $A > 2$ it is more convenient to use **expansions** in complete sets using expansions in **HH** or **HO** functions

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Reformulation of the LIT

$$\text{LIT}(\sigma_R, \sigma_I) = -\frac{1}{\sigma_I} \text{Im} \left\{ \langle \Psi_0 | \Theta^\dagger (\sigma_R + E_0 - H + i \sigma_I)^{-1} \Theta | \Psi_0 \rangle \right\}$$

Up to now **direct numerical solutions** of Schrödinger equation for bound state and LIT equation for $\tilde{\Psi}$

For $A > 2$ it is more convenient to use **expansions** in complete sets using expansions in **HH** or **HO** functions

Reformulation of the LIT

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$$R(E = \sigma_R) = -\frac{1}{\pi} \text{Im} \left\{ \lim_{\sigma_I \rightarrow 0} \langle \Psi_0 | \Theta^\dagger (\sigma_R + E_0 - H + i \sigma_I)^{-1} \Theta | \Psi_0 \rangle \right\}$$

New example:

deuteron photodisintegration with the
LIT method using expansion techniques

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First we use the JISP-6 NN potential which is defined on an HO basis:
 $\langle n' | V | n \rangle$ up $n=n'=4$ ($n=0,1,2,\dots$; HO quantum number, $\Omega = 40$ MeV)

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Alternatively exponential fall-off $\exp(-r/b)$ instead of Gaussians

JISP-6 potential: deuteron binding energy E_d

Slow convergence for E_d

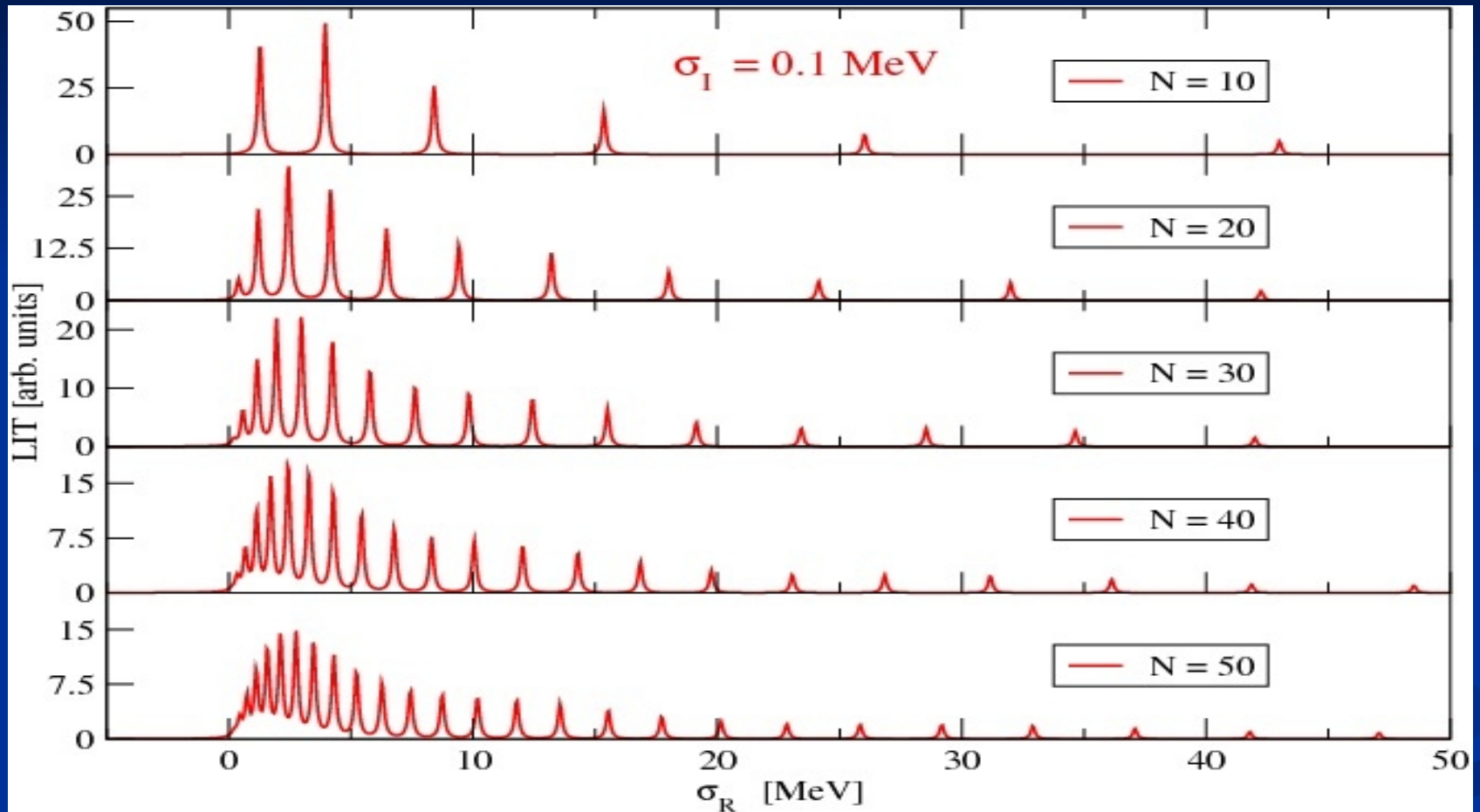
N_{\max} in expansion of deuteron wave function	E_d [MeV]
10	2.057
20	2.195
50	2.2236
100	2.224555
150	2.224574

Deuteron photodisintegration with the JISP-6 NN potential

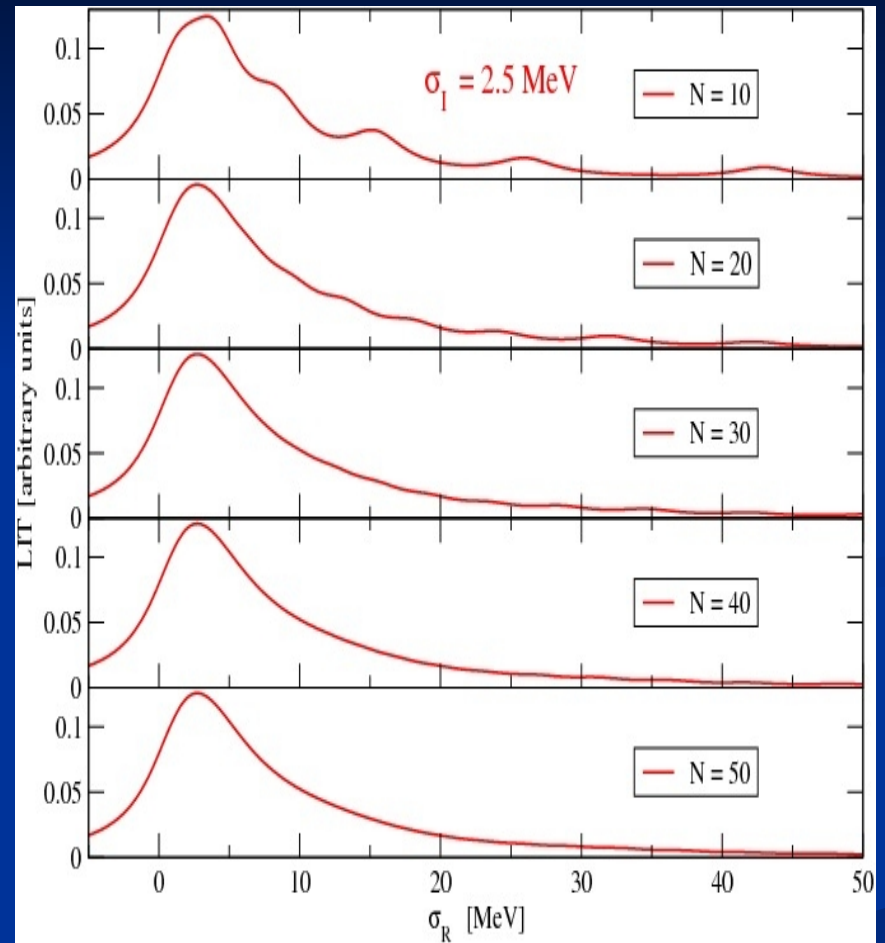
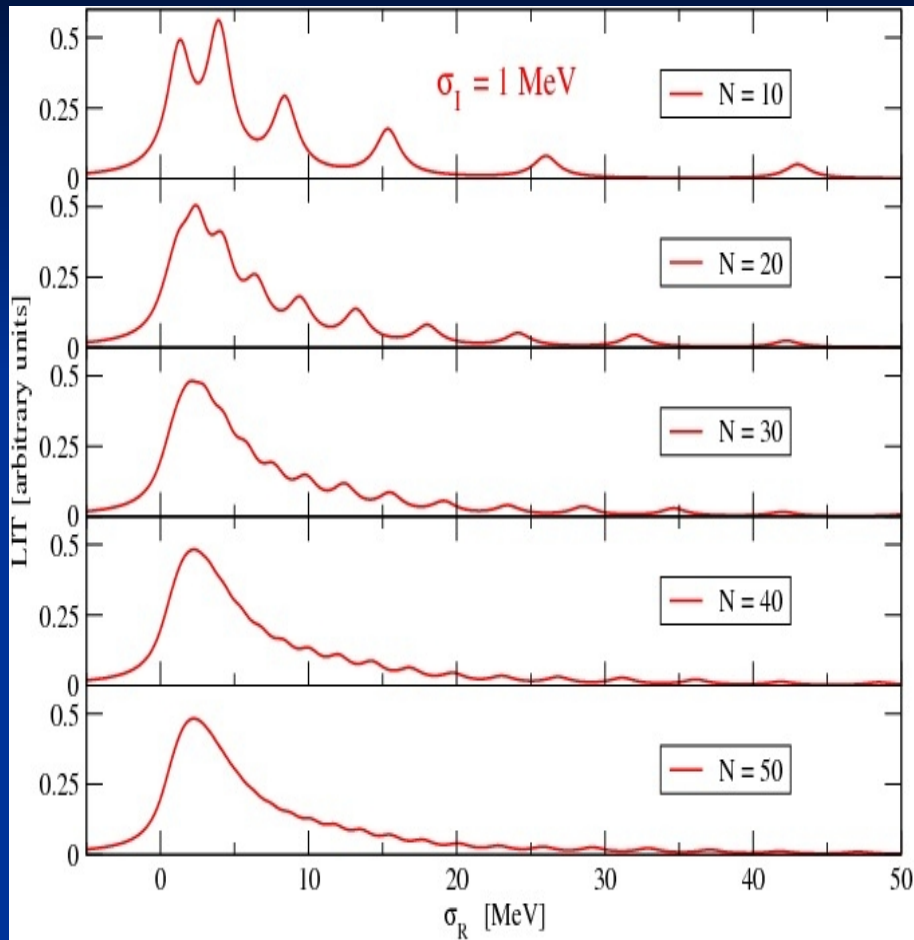
Deuteron photodisintegration with the JISP-6 NN potential

First, only considerations of transitions to the 3P_1 np final state

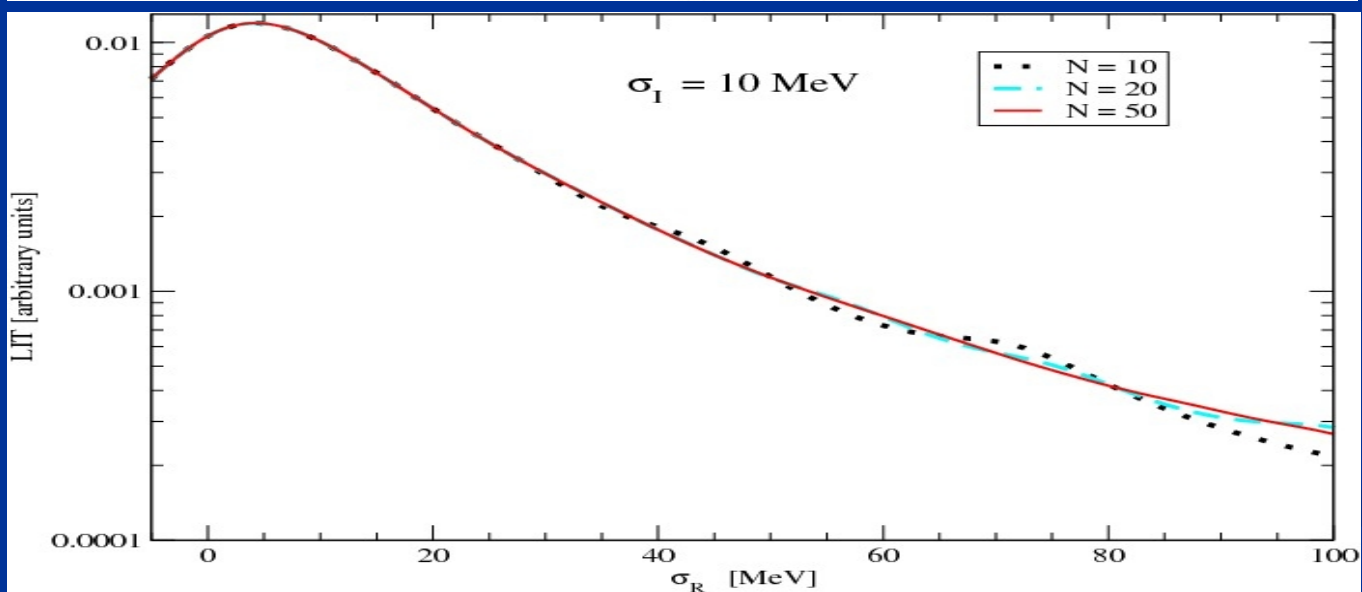
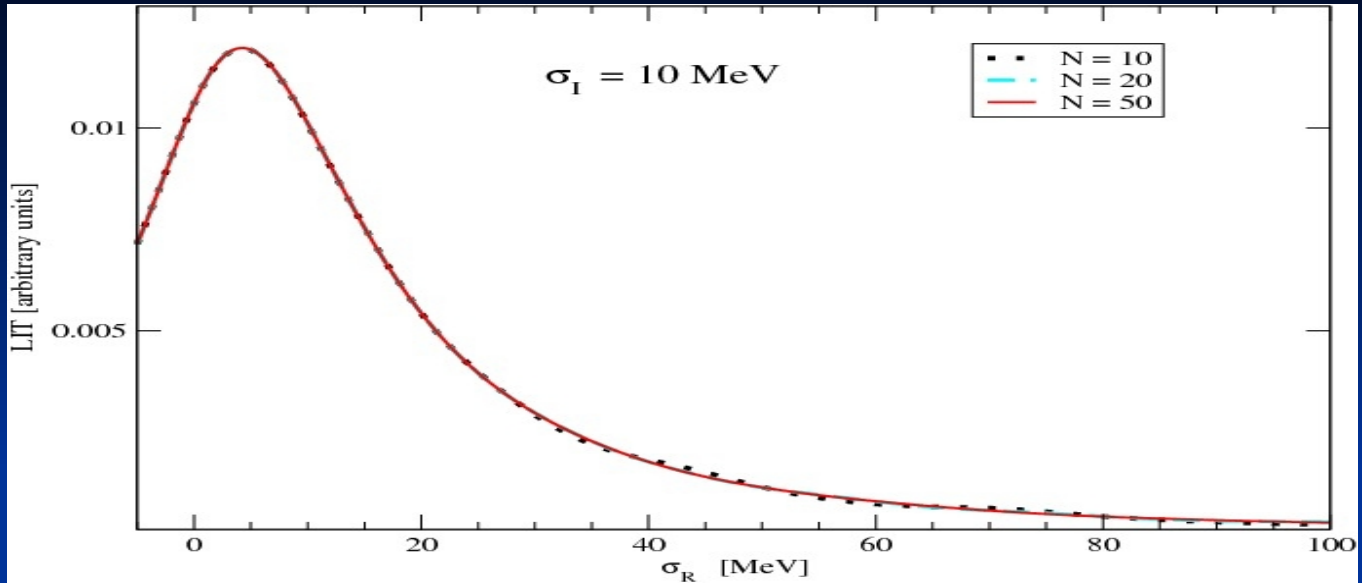
This leads to the following LITs with Laguerre polynomials up to order N with exponential fall-off ($b=0.5$ fm):



Laguerre polynomials up to order N (exponential fall-off)



Laguerre polynomials up to order N (exponential fall-off)

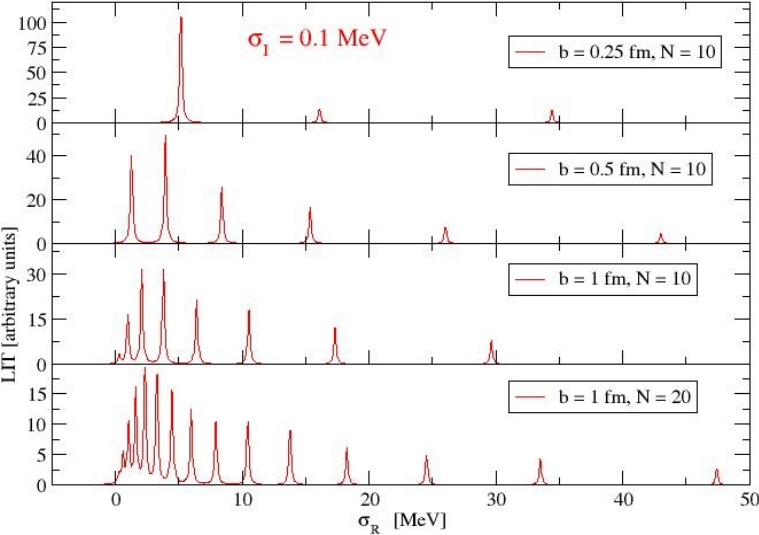


LIT approach is a method with a controlled resolution!

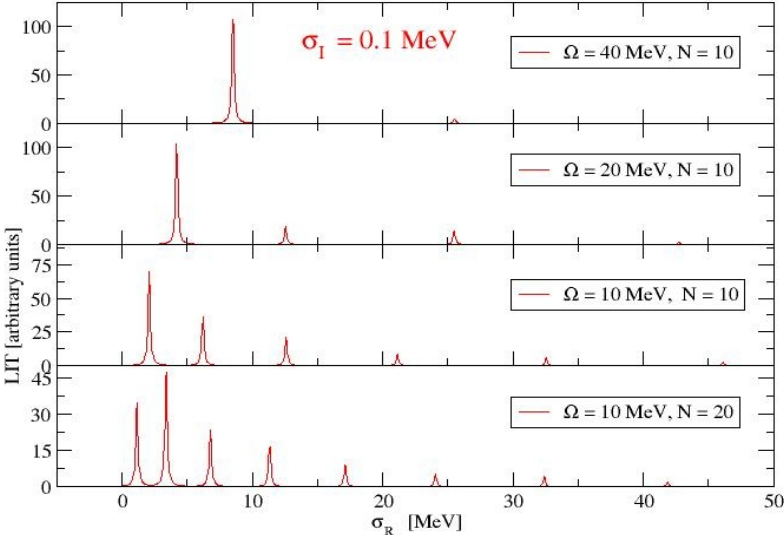
Next: Effect of changing fall-off parameter b

In addition: consideration of Gaussians instead of an exponential fall-off $\exp(-r/b)$

exponential fall-off $\exp(-r/b)$

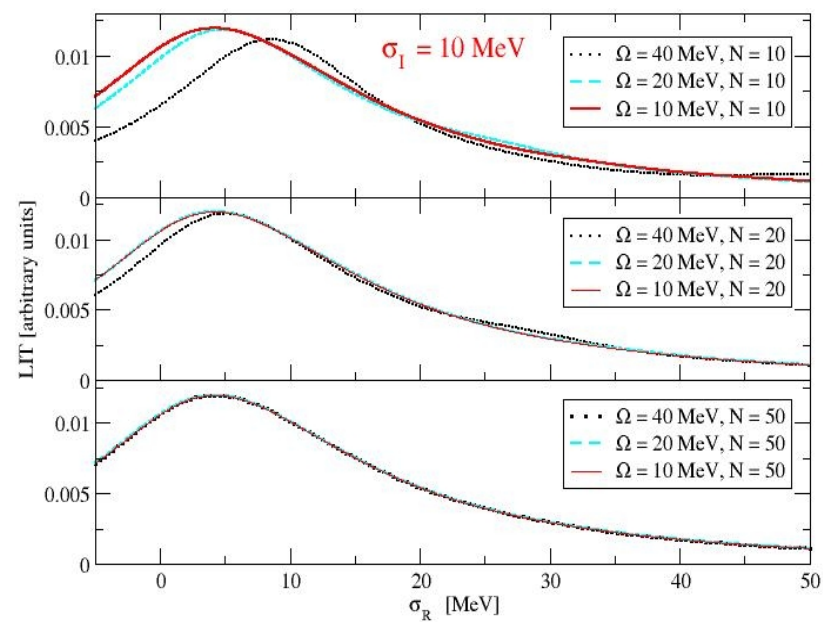
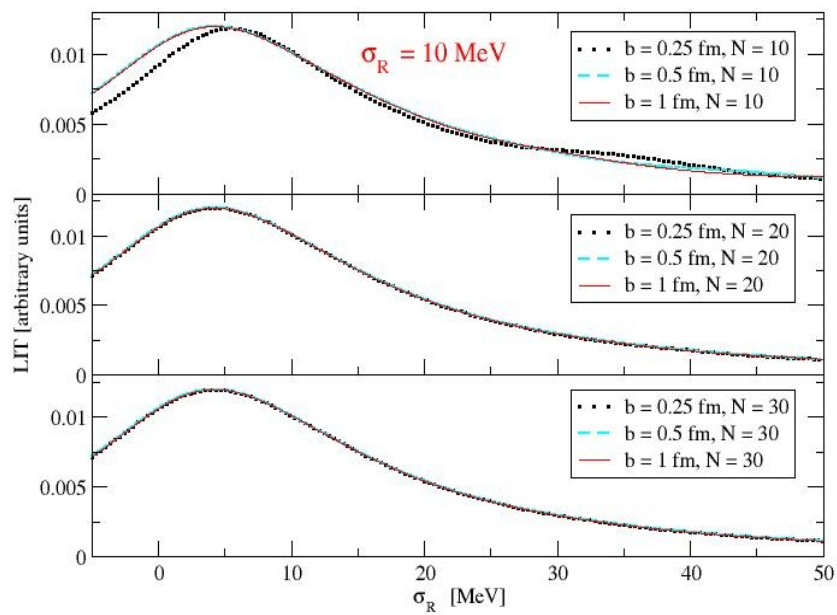


Gaussians



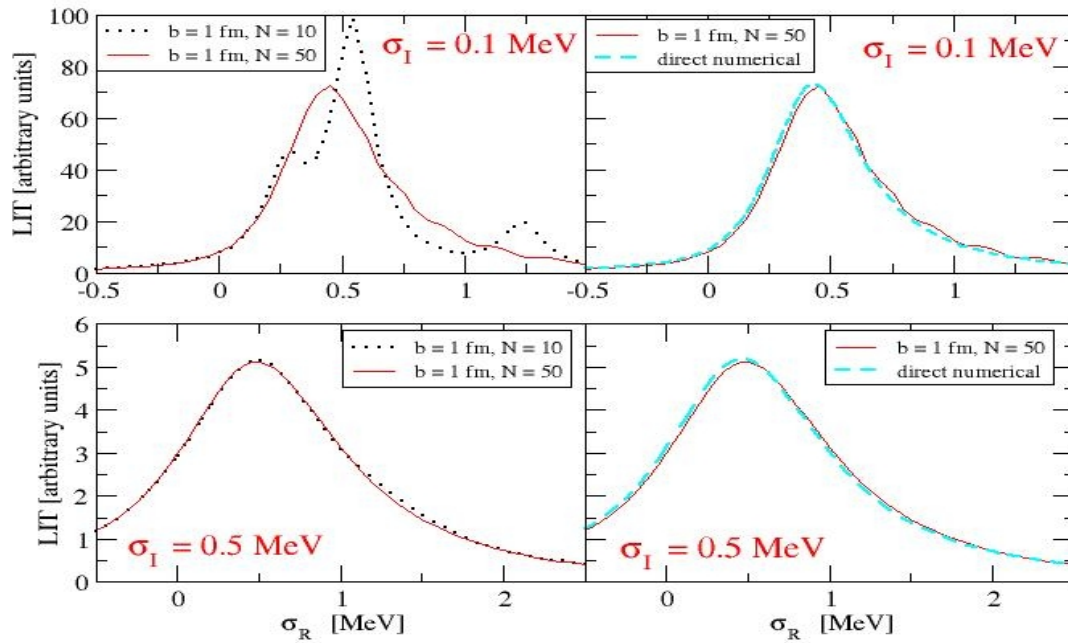
exponential fall-off $\exp(-r/b)$

Gaussians



Now we consider the **modified interaction for 3P_1** with resonance

Comparison of LITs from direct numerical solution and those from expansions with exponential fall-off $\exp(-r/b)$



Lanczos technique

Lanczos technique is used, e.g., for diagonalization of Hamiltonian matrix (dimension: M) in a bound-state calculation.

Very efficient: total diagonalization is avoided instead only $N \ll M$ Lanczos steps are needed.

They lead to N energy eigenvalues ε_ν , which are very good approximations of the lower energy eigenvalues of H , especially for $\nu \ll N$.

Lanczos technique is also applicable to solve LIT equation.

Lanczos response

Since the Lorentzian function is a representation of the δ -function one could think of calculating $R(\omega)$ as the limit of $L(\omega, \sigma_R, \sigma_I)$ for $\sigma_I \rightarrow 0$.

The extrapolation would give

$$R(\omega) = \sum_{\nu}^N r_{\nu} \delta(\omega - \epsilon_{\nu}^N)$$

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Lanczos response: δ -function is replaced by Lorentzian with small σ_I

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Deuteron photodisintegration:

Consider all three transitions ${}^3P_0, {}^3P_1, {}^3P_2 - {}^3F_2$

now expansion of radial LIT part in HO functions

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NN potential: JISP6

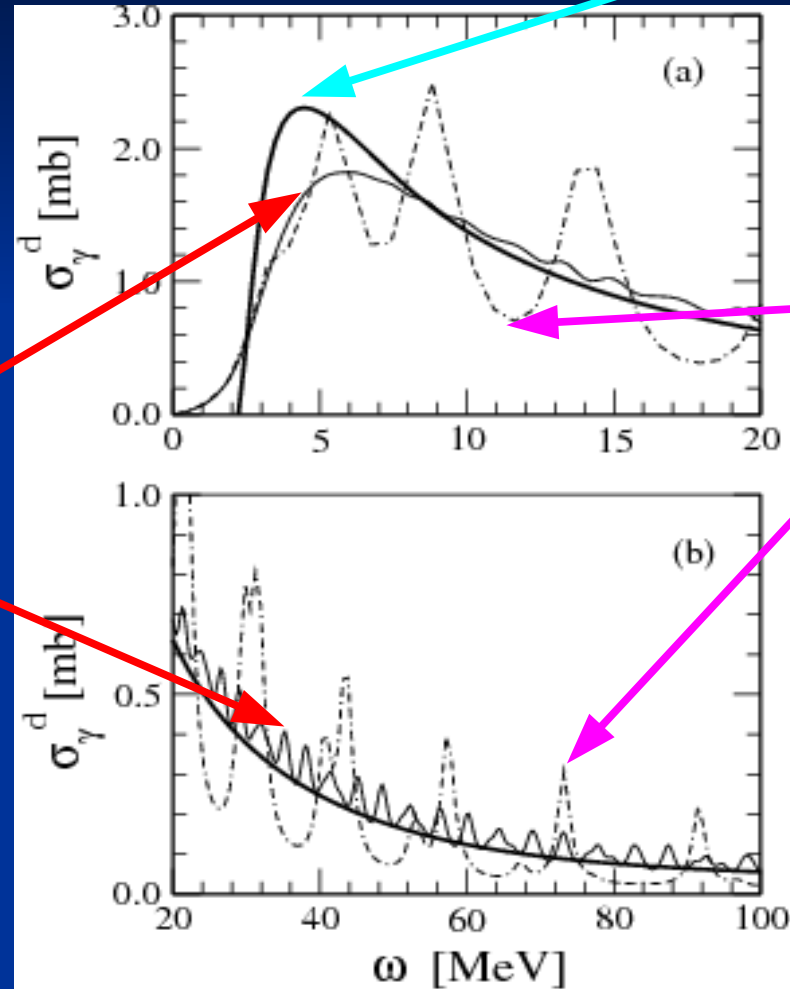
$\sigma_\gamma(\omega)$ from inversion and Lanczos response

“true”

$\sigma_I = 1 \text{ MeV}$

$N_{ho} = 2400$

$N_{ho} = 150$

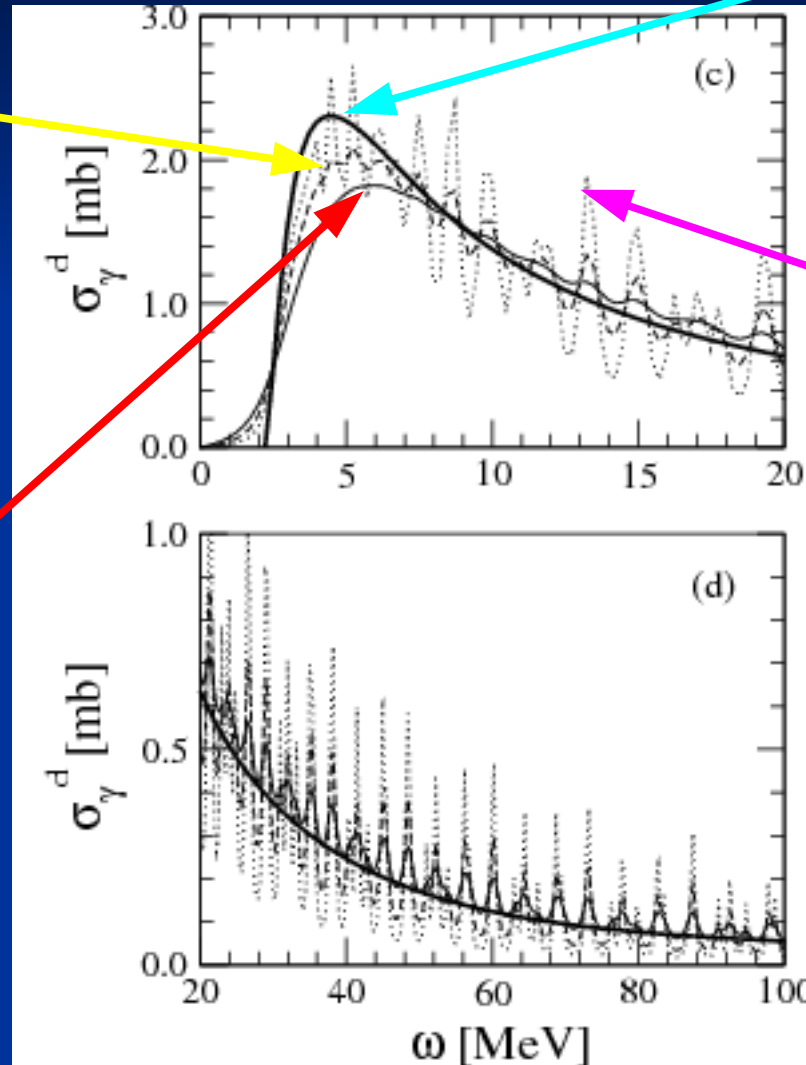


$\sigma_\gamma(\omega)$ from inversion and Lanczos response

$\Gamma = 0.5$ MeV

HO basis:
fixed $N_{HO} = 2400$

$\Gamma = 1$ MeV



$\Gamma = 0.25$ MeV

Conclusion

Strength for a given discrete state of energy E **is not** the actual strength for this energy, but can only be interpreted correctly within an integral transform approach.

The **correct distribution** of strength is obtained via the **inversion** of the integral transform.

LIT application for inclusive electron scattering

LIT application for inclusive electron scattering

- 0^+ resonance of ${}^4\text{He}$

LIT application for inclusive electron scattering

- 0^+ resonance of ^4He
- Longitudinal response function $R_L(\omega, q)$ for $A = 3$ and 4

LIT application for inclusive electron scattering

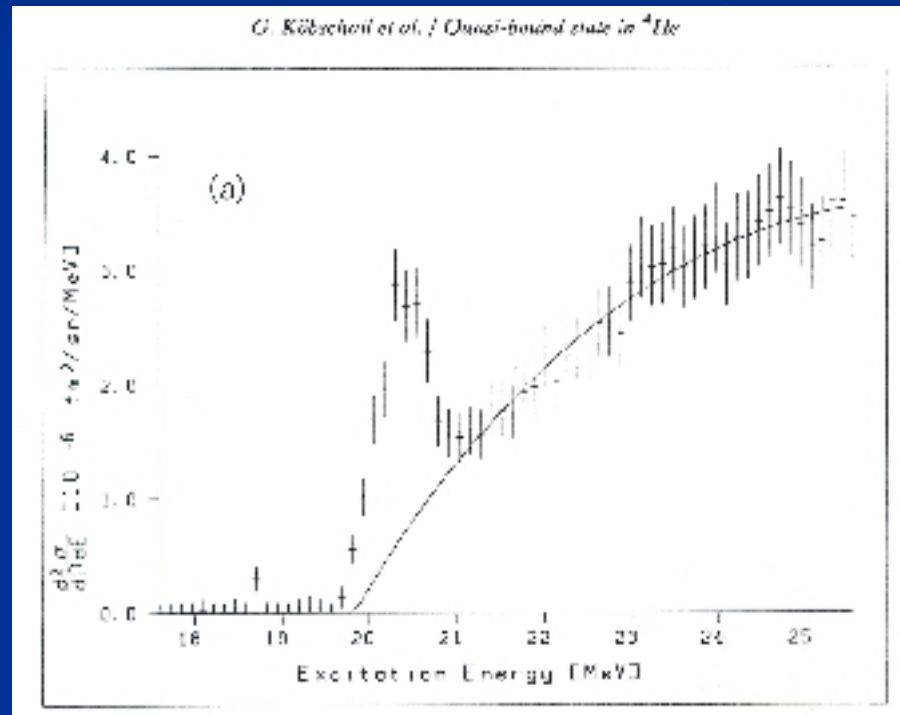
- 0^+ resonance of ^4He
- Longitudinal response function $R_L(\omega, q)$ for $A = 3$ and 4
- Transverse response function $R_T(\omega, q)$ for $A = 3$
 - ★ Δ degrees of freedom
 - ★ Quasi-elastic response at higher q ($q=500-700$ MeV/c)

O^+ resonance in longitudinal response function R_L in ${}^4\text{He}(e,e')$

S. Bacca, N. Barnea, WL, G. Orlandini, PRL 110, 042503 (2013)

0^+ Resonance in the ^4He compound system

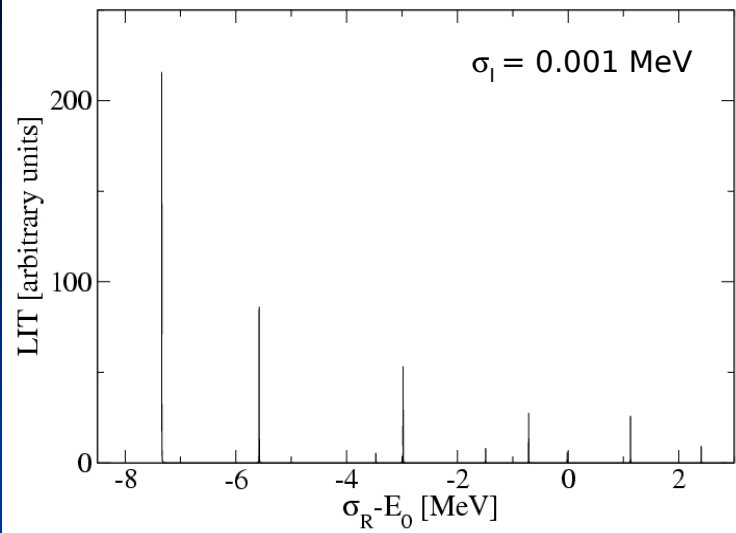
Resonance at $E_R = -8.2$ MeV, i.e. above the $^3\text{H-p}$ threshold. **Strong evidence** in electron scattering off ^4He

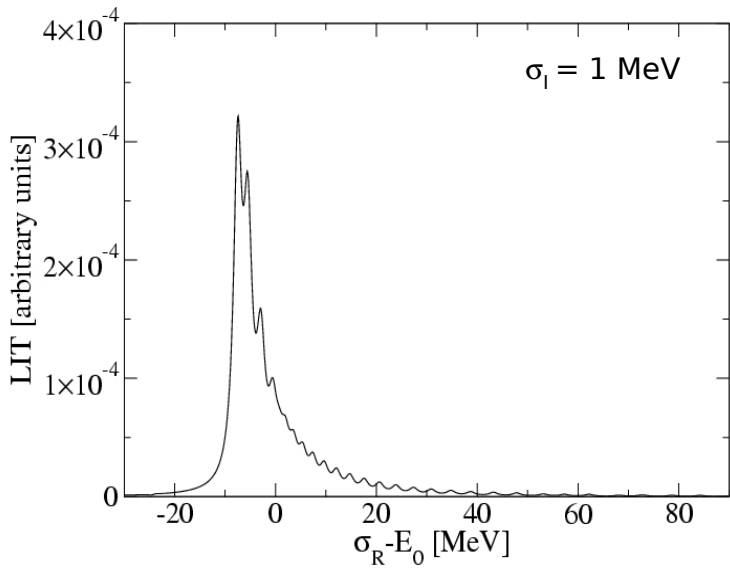
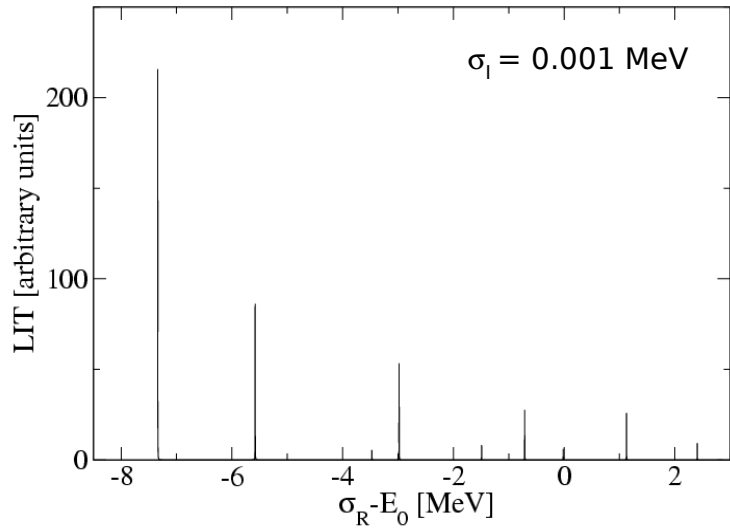


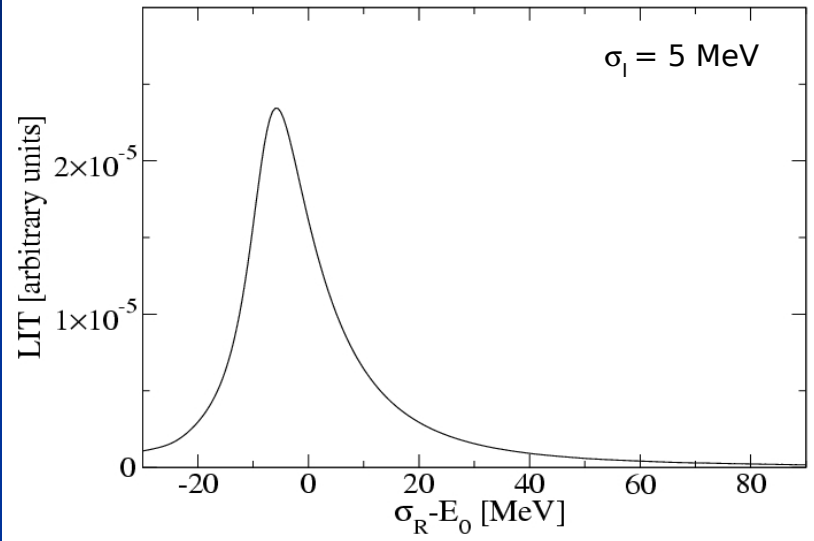
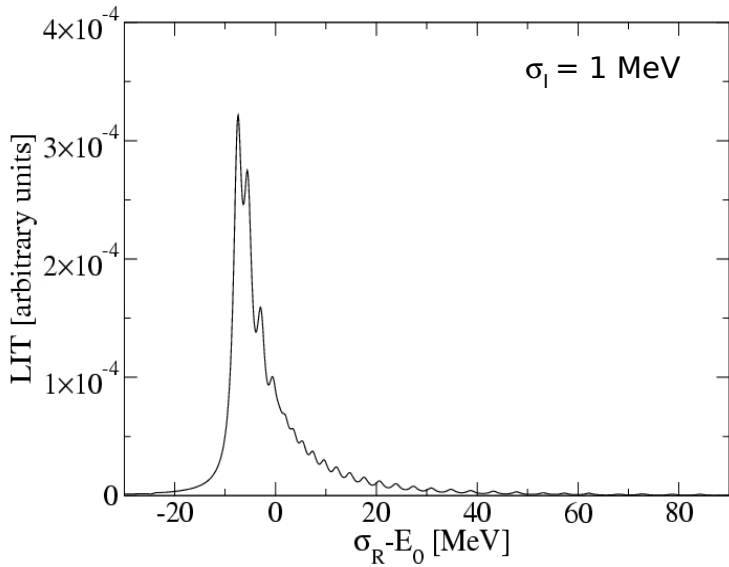
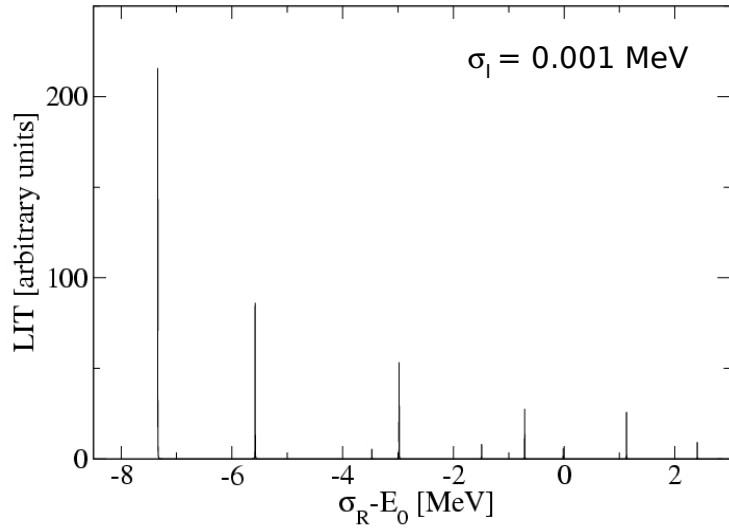
$$\Gamma = 270 \pm 70 \text{ keV}$$

G. Köbschall et al., NPA 405, 648 (1983)

Results of our LIT calculation







The present precision of the calculation does not allow to resolve the shape of the resonance, therefore the width cannot be determined.

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However, the strength of the resonance can be determined!

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Of course not by taking the strength to the discretized state, but by rearranging the inversion in a suitable way:

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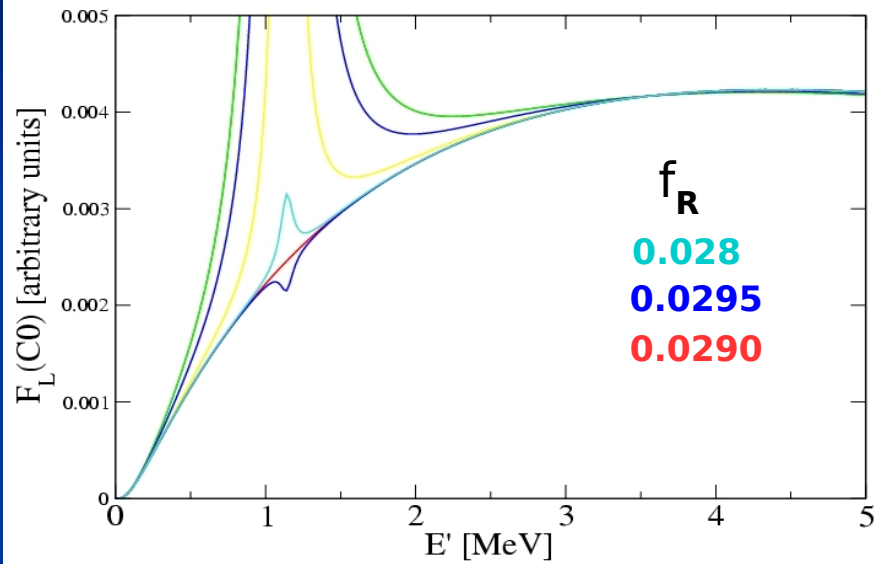
However, the strength of the resonance can be determined!

Of course not by taking the strength to the discretized state, but by rearranging the inversion in a suitable way:

Reduce strength to the state up to the point that the inversion does not show any resonant structure at the resonance energy E_R :

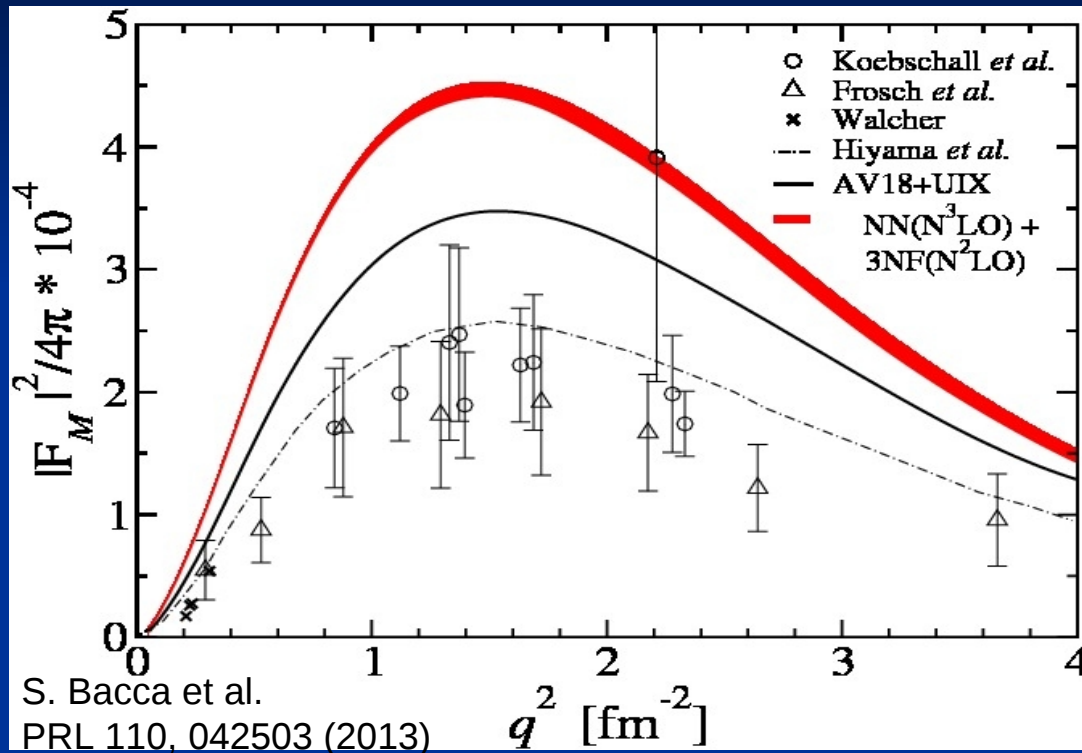
$$\text{LIT}(\sigma_R, \sigma_I) \rightarrow \text{LIT}(\sigma_R, \sigma_I) - f_R / [(E_R - \sigma_R)^2 + \sigma_I^2] \equiv \text{LIT}(\sigma_R, \sigma_I, f_R)$$

with resonance strength f_R



Inversion results with
different f_R values
AV18+UIX, $q=300$ MeV/c

Comparison to experimental results



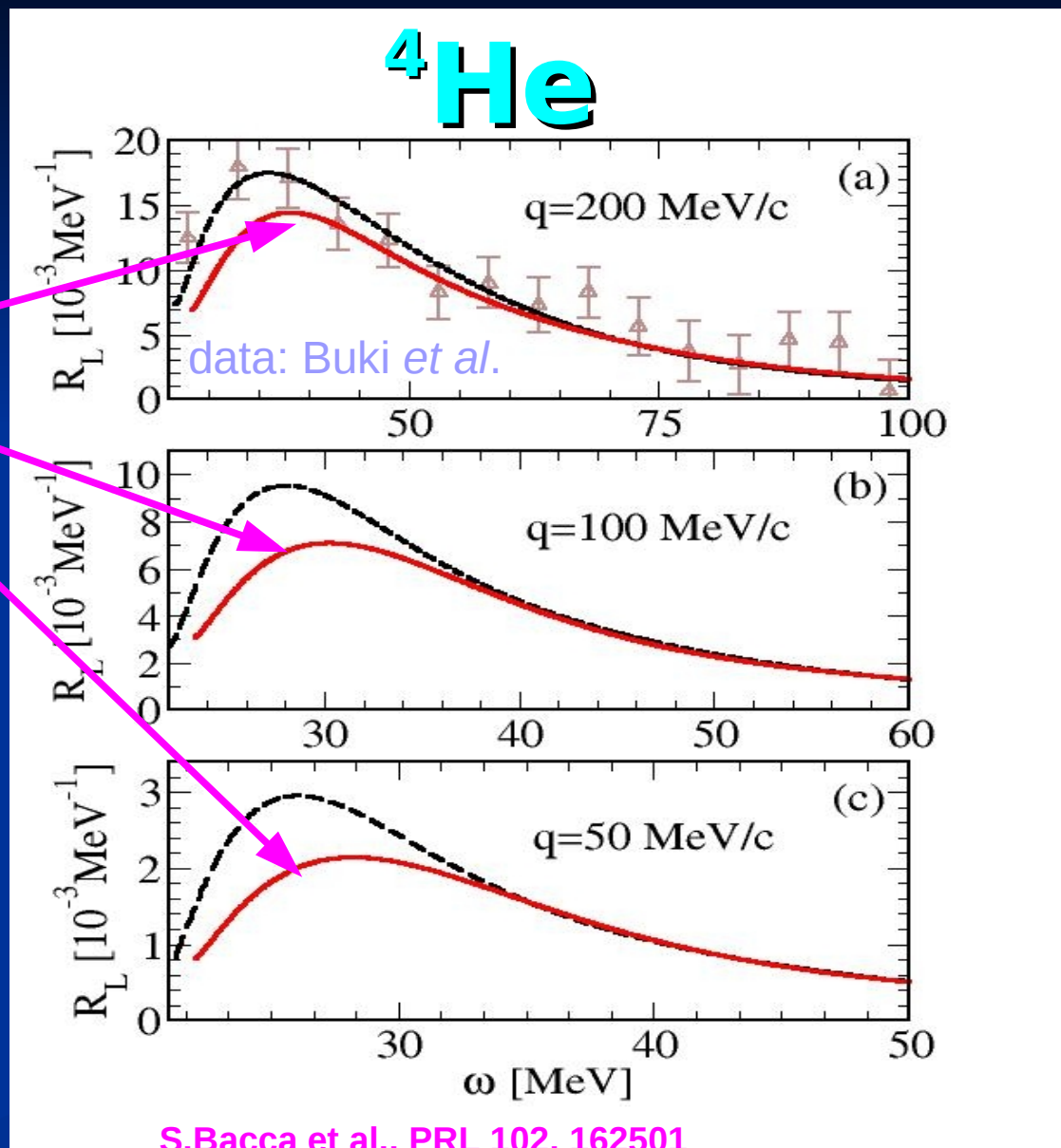
LIT/EIHH Calculation for AV18+UIX and Idaho-N3LO+N2LO

Dotted: AV8' + central 3NF (Hiyama et al.)

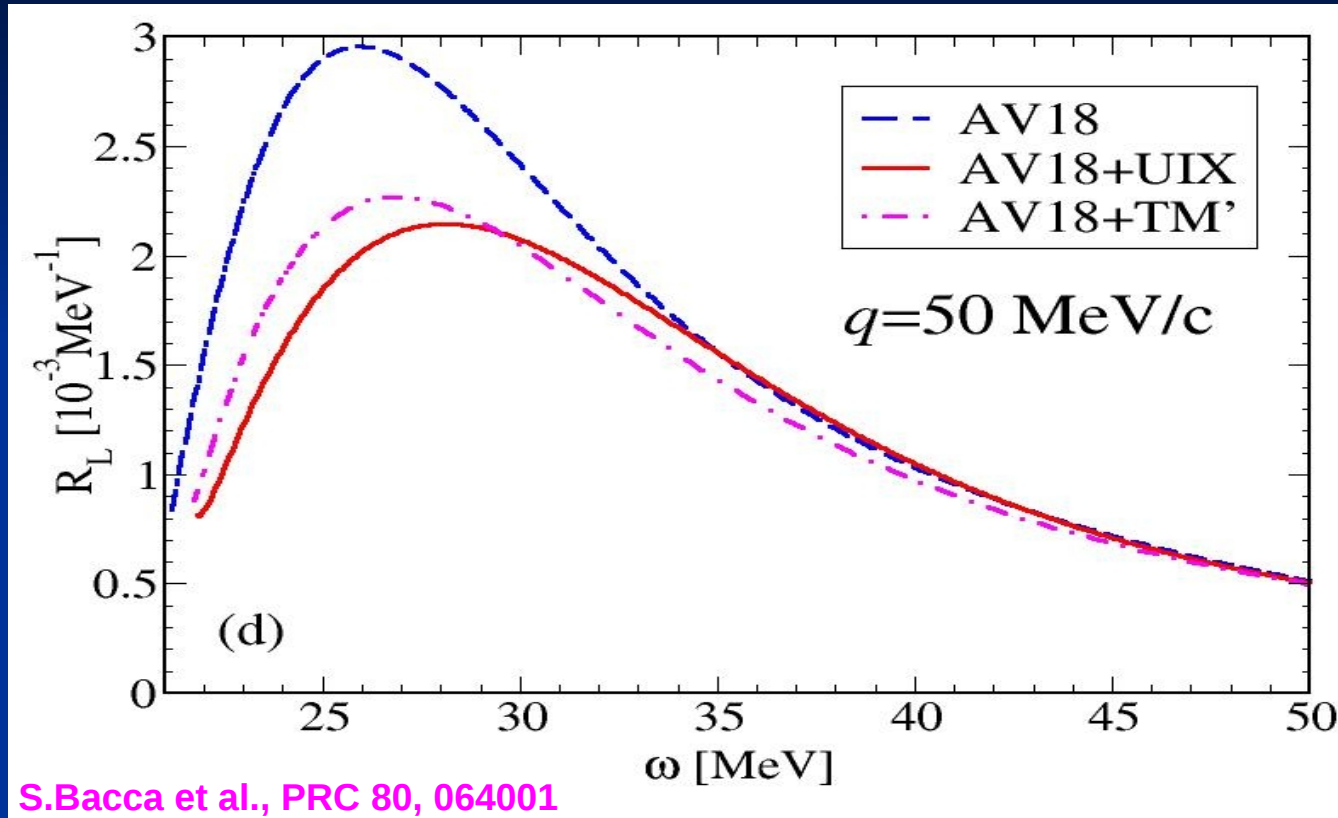
(e,e') Longitudinal Response

**SURPRISE:
LARGE EFFECT OF
3-BODY FORCE
AT LOW q**

Calculation via **EIHH**
with force model:
AV18 + UIX



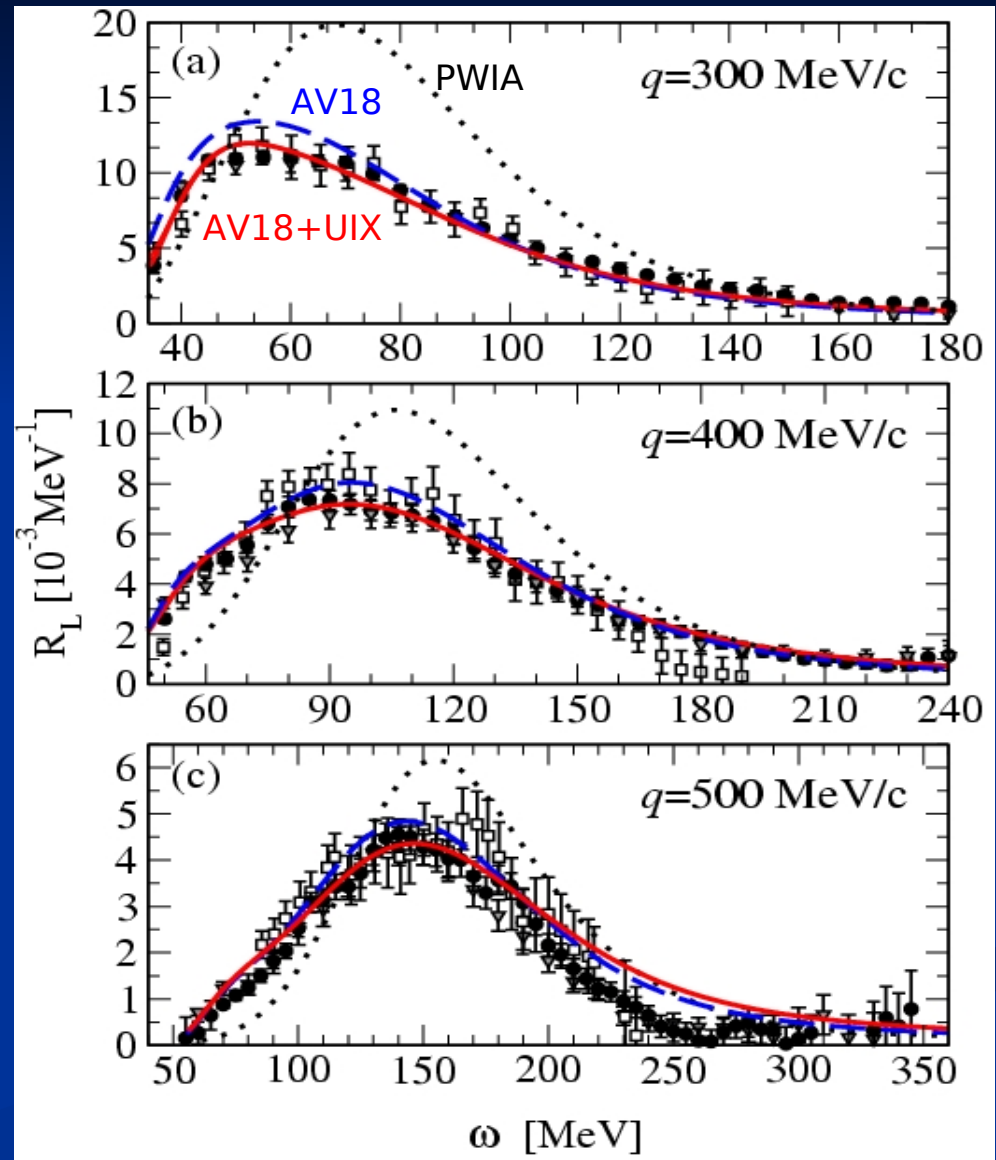
Dependence on different 3-nucleon forces



^4He (e,e') Longitudinal Response

**SMALL EFFECT OF
3-BODY FORCE AT HIGH q**

Exp.: Saclay
Bates
world data (J. Carlson et al.)



3-Body inclusive electrodisintegration

Role of 3-Nucleon force

LONGITUDINAL RESPONSE

“low” q

----- AV18

_____ AV18 + **UIX**

CHH

V. Efros, W.L., G. Orlandini

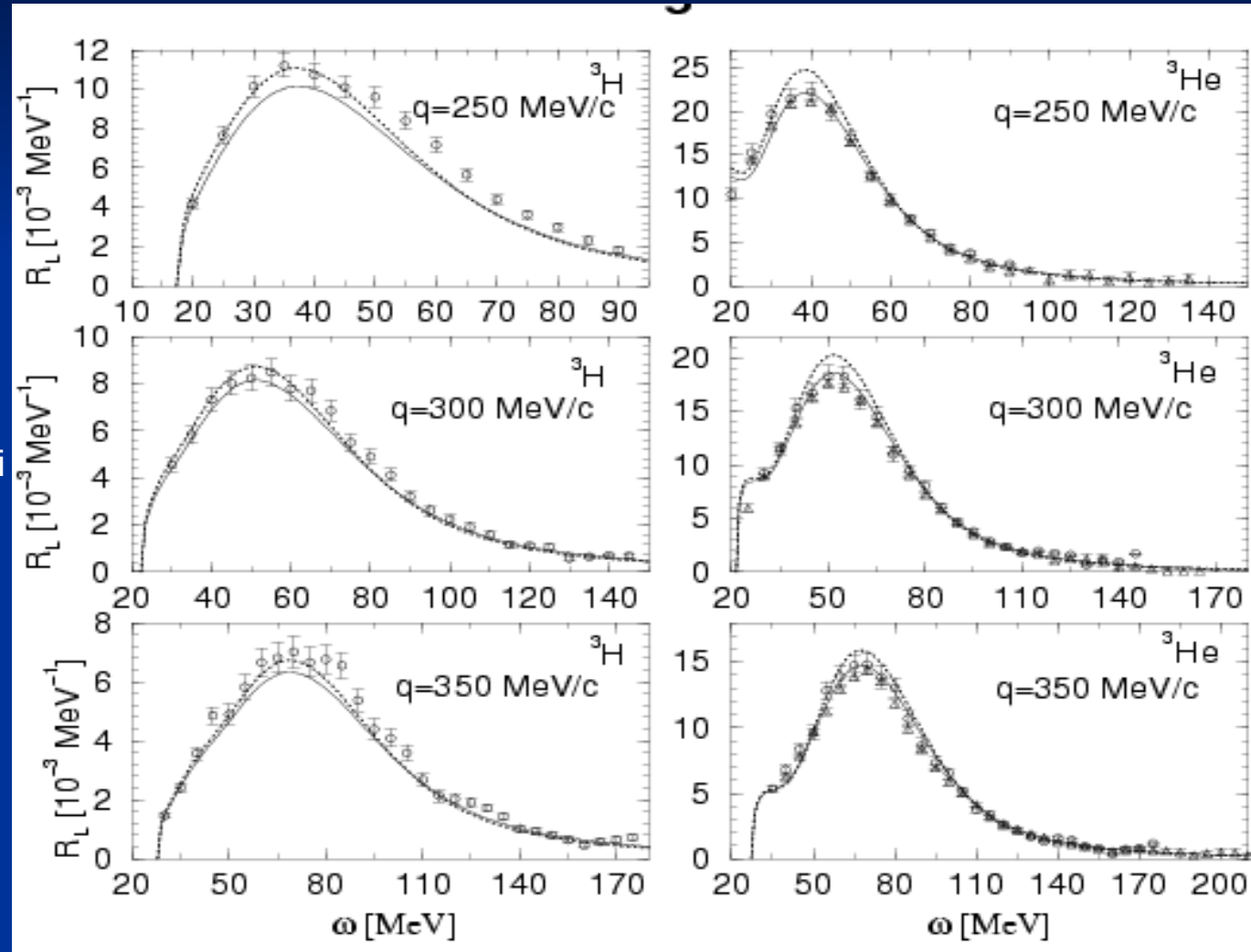
E. Tomusiak

PRC69, 044001 (2004)

Exp:

⊕ Dow

⊕ Marchand



Transverse response function $R_T(\omega, q)$

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Subnuclear degrees of freedom can become important

Transverse response function $R_T(\omega, q)$

Subnuclear degrees of freedom can become important

- Meson exchange currents (MEC)

MEC with LIT method: S. Della Monaca, V.D. Efros, A. Khugaev, WL, G. Orlandini, E.L. Tomusiak, L. Yuan, PRC 77, 044007 (2008)

Transverse response function $R_T(\omega, q)$

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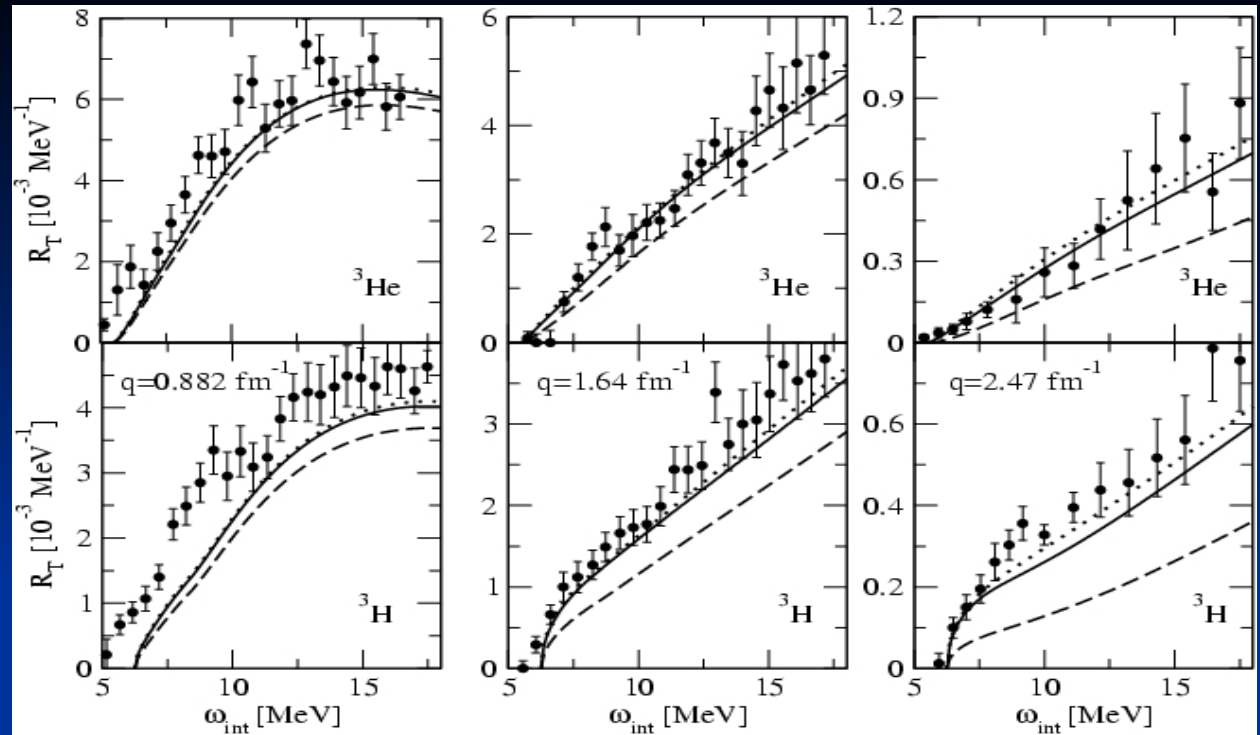
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- Δ isobar currents (Δ -IC)

Δ -IC with LIT method: L. Yuan, V.D. Efros, WL, E.L. Tomusiak, PRC 81, 064001 (2010)

NR: dashed
 NR+MEC: dotted
 Rel.+MEC: full



$q = 174 \text{ MeV}/c$

$q = 324 \text{ MeV}/c$

$q = 487 \text{ MeV}/c$

R_T close to break-up threshold

(V.D. Efros, WL, G. Orlandini, E.L. Tomusiak,
 Few-Body Syst. 47, 157 (2010))

Δ degrees of freedom

Schrödinger equation with Δ degrees of freedom

$$\Psi = \Psi_N + \Psi_\Delta$$

$$(T_N + V_{NN} - E) \Psi_N = -V_{NN,N\Delta} \Psi_\Delta$$

$$(\delta m + T_\Delta + V_{N\Delta} - E) \Psi_\Delta = -V_{N\Delta,NN} \Psi_N$$

$V_{NN,N\Delta}$ (V_{NN}) and $V_{N\Delta,NN}$ ($V_{N\Delta}$) transition (diagonal) potentials between NNN and NN Δ spaces ($A=3$), $\delta m = M_\Delta - M_N$

Schrödinger equation with Δ degrees of freedom

$$\Psi = \Psi_N + \Psi_\Delta$$

$$(T_N + V_{NN} - E) \Psi_N = -V_{NN,N\Delta} \Psi_\Delta \quad \text{coupled channel calculation}$$

$$(\delta m + T_\Delta + V_{N\Delta} - E) \Psi_\Delta = -V_{N\Delta,NN} \Psi_N \quad \text{solve eqs. simultaneously}$$

$V_{NN,N\Delta}$ (V_{NN}) and $V_{N\Delta,NN}$ ($V_{N\Delta}$) transition (diagonal) potentials between
NNN and NN Δ spaces ($A=3$), $\delta m = M_\Delta - M_N$

Schrödinger equation with Δ degrees of freedom

$$\Psi = \Psi_N + \Psi_\Delta$$

$$(\mathcal{T}_N + V_{NN} - E) \Psi_N = -V_{NN,N\Delta} \Psi_\Delta \quad \text{Impulse approximation}$$

$$(\delta m + \mathcal{T}_\Delta + V_{N\Delta} - E) \Psi_\Delta = -V_{N\Delta,NN} \Psi_N \quad \text{Solve formally for } \Psi_\Delta$$
$$= H_\Delta$$

$V_{NN,N\Delta}$ (V_{NN}) and $V_{N\Delta,NN}$ ($V_{N\Delta}$) transition (diagonal) potentials between NNN and N Δ spaces ($A=3$), $\delta m = M_\Delta - M_N$

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$$\Psi_\Delta = - (H_\Delta - E)^{-1} V_{N\Delta,NN} \Psi_N$$

Schrödinger equation with Δ degrees of freedom

$$\Psi = \Psi_N + \Psi_\Delta$$

$$(T_N + V_{NN} - E) \Psi_N = -V_{NN,N\Delta} \Psi_\Delta \quad (*)$$

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$V_{NN,N\Delta}$ (V_{NN}) and $V_{N\Delta,NN}$ ($V_{N\Delta}$) transition (diagonal) potentials between NNN and N Δ spaces ($A=3$), $\delta m = M_\Delta - M_N$

$$\Psi_\Delta = - (H_\Delta - E)^{-1} V_{N\Delta,NN} \Psi_N \quad \text{Insert formal solution in (*)}$$

$$(T_N + V_{NN} - V_{NN,N\Delta} (H_\Delta - E)^{-1} V_{N\Delta,NN} - E) \Psi_N = 0$$

$$\cong V_{NN}^{\text{realistic}}$$

Schrödinger equation with Δ degrees of freedom

$$\Psi = \Psi_N + \Psi_\Delta$$

$$(T_N + V_{NN} - E) \Psi_N = -V_{NN,N\Delta} \Psi_\Delta \quad (*)$$

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$V_{NN,N\Delta}$ (V_{NN}) and $V_{N\Delta,NN}$ ($V_{N\Delta}$) transition (diagonal) potentials between NNN and N Δ spaces ($A=3$), $\delta m = M_\Delta - M_N$

$$\Psi_\Delta = - (H_\Delta - E)^{-1} V_{N\Delta,NN} \Psi_N \quad \textbf{(IA)}$$

$$(T_N + V_{NN} - V_{NN,N\Delta} (H_\Delta - E)^{-1} V_{N\Delta,NN} - E) \Psi_N = 0 \quad (**)$$

$$\cong V_{NN}^{\text{realistic}}$$

Step 1: solve (**) with realistic $V_{NN} + 3NF$
 Step 2: solve Ψ_Δ in IA

LIT equation with Δ degrees of freedom

$$\tilde{\Psi} = \tilde{\Psi}_N + \tilde{\Psi}_\Delta$$

$$(T_N + V_{NN} - \sigma) \tilde{\Psi}_N = -V_{NN,N\Delta} \tilde{\Psi}_\Delta + O_{NN} \Psi_{0,N} + O_{N\Delta} \Psi_{0,\Delta}$$

$$(\delta m + T_\Delta + V_{N\Delta} - \sigma) \tilde{\Psi}_\Delta = -V_{N\Delta,NN} \tilde{\Psi}_N + O_{\Delta N} \Psi_{0,N} + O_{\Delta\Delta} \Psi_{0,\Delta}$$

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$V_{NN,N\Delta}$ (V_{NN}) and $V_{N\Delta,NN}$ ($V_{N\Delta}$) transition (diagonal) potentials between NNN and N Δ spaces ($A=3$), $\delta m = M_\Delta - M_N$

LIT equation with Δ degrees of freedom

$$\tilde{\Psi} = \tilde{\Psi}_N + \tilde{\Psi}_\Delta$$

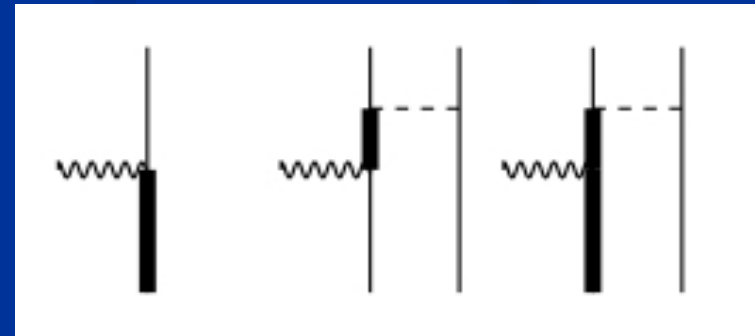
$$(T_N + V_{NN} - \sigma) \tilde{\Psi}_N = -V_{NN,N\Delta} \tilde{\Psi}_\Delta + O_{NN} \Psi_{0,N} + O_{N\Delta} \Psi_{0,\Delta}$$

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$$= H_\Delta$$

$V_{NN,N\Delta}$ (V_{NN}) and $V_{N\Delta,NN}$ ($V_{N\Delta}$) transition (diagonal) potentials between NNN and N Δ spaces ($A=3$), $\delta m = M_\Delta - M_N$

We take into account electromagnetic operators with the Δ (Δ -IC) represented by the following graphs



LIT equation with Δ degrees of freedom

$$\tilde{\Psi} = \tilde{\Psi}_N + \tilde{\Psi}_\Delta$$

$$(T_N + V_{NN} - \sigma) \tilde{\Psi}_N = -V_{NN,N\Delta} \tilde{\Psi}_\Delta + O_{NN} \Psi_{0,N} + O_{N\Delta} \Psi_{0,\Delta}$$

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$$= H_\Delta$$

$V_{NN,N\Delta}$ (V_{NN}) and $V_{N\Delta,NN}$ ($V_{N\Delta}$) transition (diagonal) potentials between NNN and NNA spaces ($A=3$), $\delta m = M_\Delta - M_N$

$$(T_N + V^{\text{realistic}} - \sigma) \tilde{\Psi}_N = -V_{NN,N\Delta} (H_\Delta - \sigma)^{-1} (O_{\Delta N} \Psi_{0,N} + O_{\Delta\Delta} \Psi_{0,\Delta})$$

$$+ O_{NN} \Psi_{0,N} + O_{N\Delta} \Psi_{0,\Delta}$$

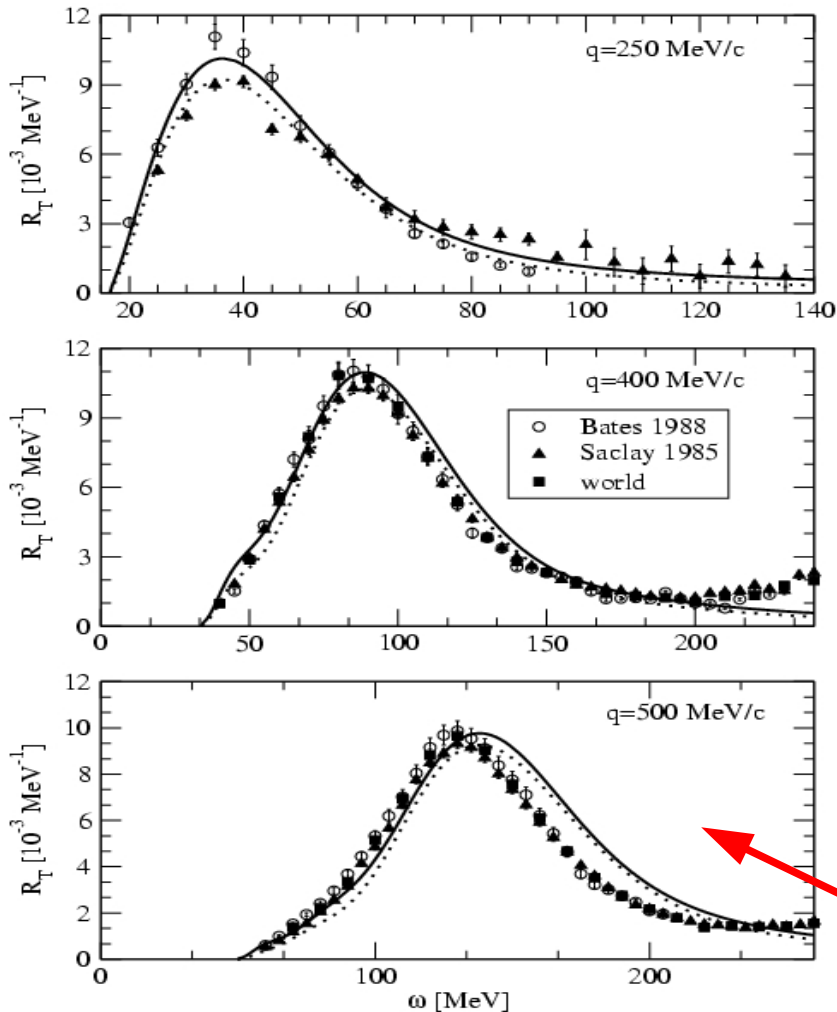
^3He (e,e') Response Functions in the Quasielastic Region

The quasielastic region is dominated by the one-body parts of ρ and J , but relativistic contributions become increasingly important with growing momentum transfer q

Our aim: non-rel. calculation + rel. corrections
with realistic nuclear forces

Motivation

$R_T(\omega, q)$ at various q



Potential: BonnRA +TM'

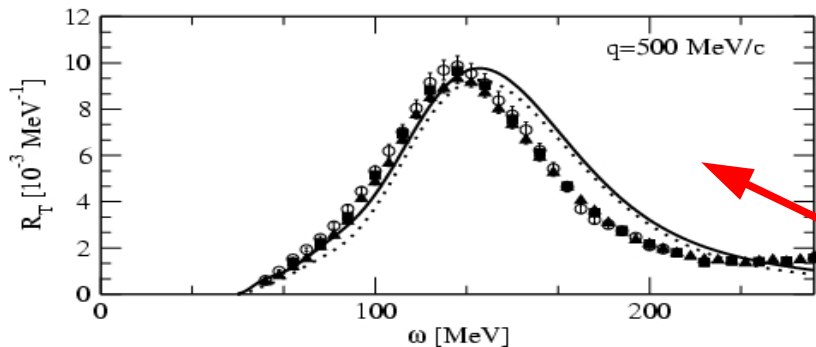
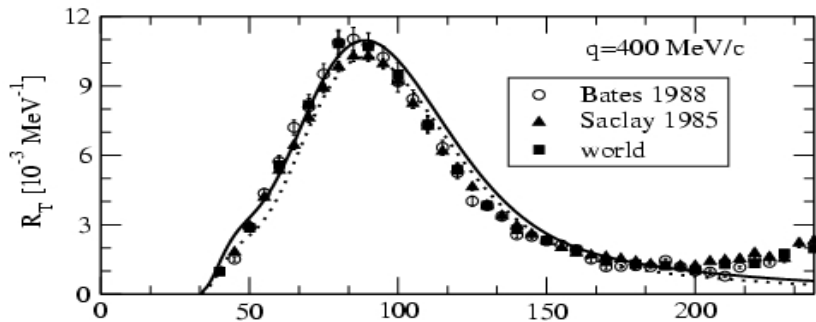
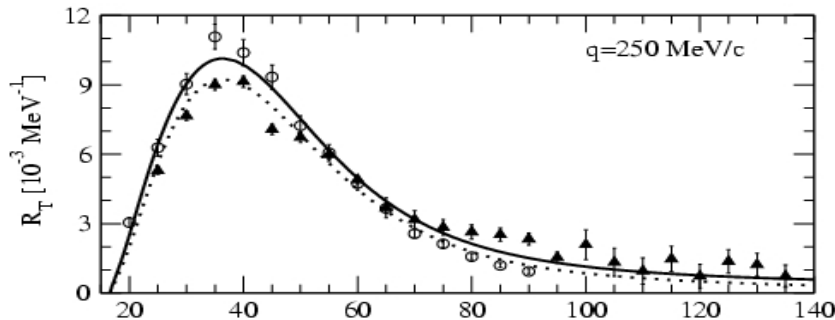
one-body current: dashed
one+two-body current: full

(S. Della Monaca et al.,
PRC 77, 044007 (2008))

Bad agreement between
theory and experiment
because of non considered
relativistic effects

Motivation

$R_T(\omega, q)$ at various q



Potential: BonnRA + TM'

one-body current: dashed
one+two-body current: full

Quasi-elastic kinematics ($q=500 \text{ MeV}/c$),
Kinetic energy of outgoing nucleon:

non-rel. : $T = q^2/2m = 133 \text{ MeV}$
rel.: $T = (m^2 + q^2)^{1/2} - m = 125 \text{ MeV}$

Bad agreement between
theory and experiment
because of non considered
relativistic effects

We already considered this problem for R_L and studied R_L in various reference frames:

Laboratory: $P_T = 0$

Breit: $P_T = -q/2$

Anti-Lab: $P_T = -q$

Active Nucleon Breit: $P_T = -Aq/2$

non-rel.: $\omega_{\text{frame}} + (P_T)^2/2Am = E_{\text{internal}} + (P_T+q)^2/2Am$

$R_L(\omega, q)$ at higher q

Frame dependence

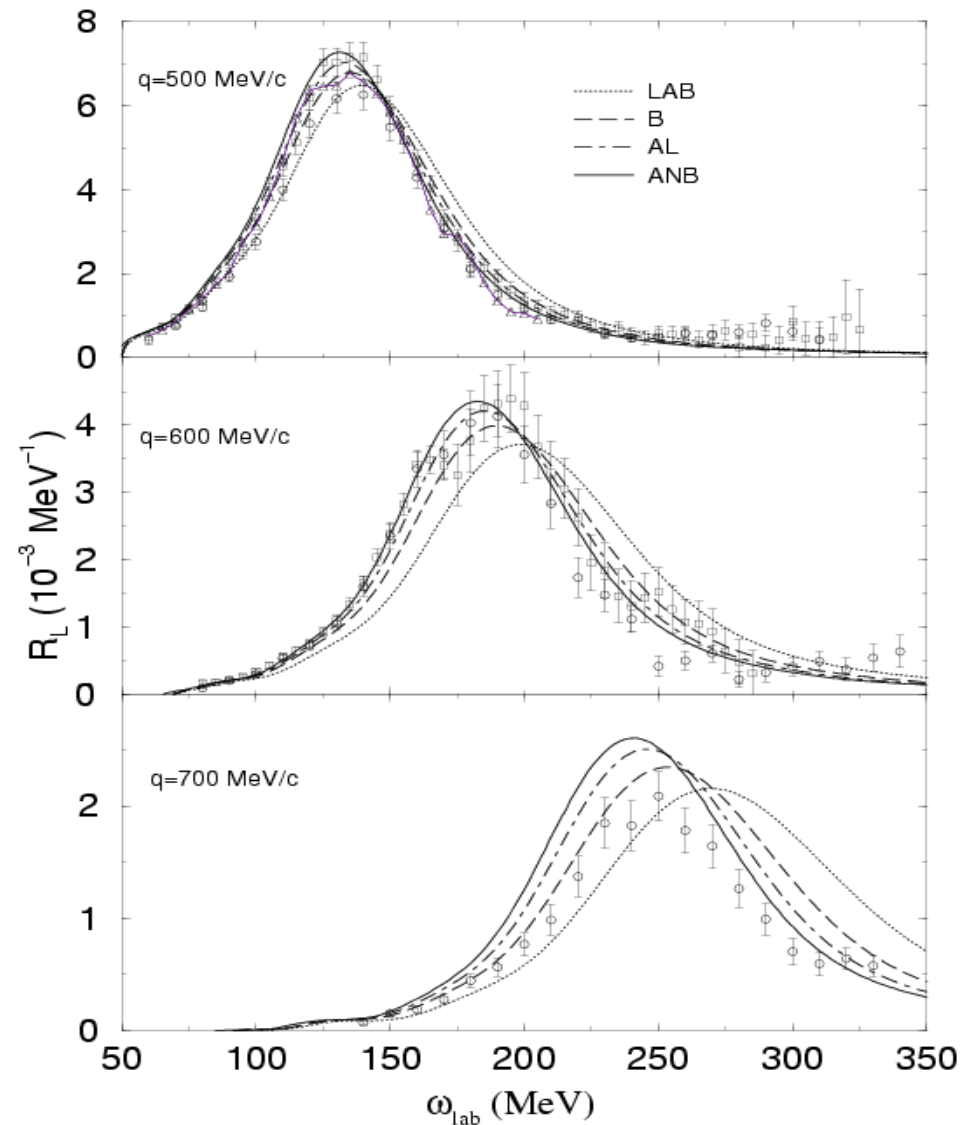
calculation in various frames:

Laboratory:	$P_T = 0$
Breit:	$P_T = -q/2$
Anti-Lab:	$P_T = -q$
Active Nucleon Breit:	$P_T = -Aq/2$

Potential: AV18+UIX

Result in LAB frame

$$R_L(\omega, q) = \frac{q^2}{(q_{fr})^2} \frac{E_T^{fr}}{M_T} R_L^{fr}(\omega^{fr}, q^{fr})$$



Exp: Marchand 1985, Dow 1988, Carlson 2002

How to get more frame independent results?

Assume quasi-elastic kinematics:

whole energy and momentum transfer taken by the knocked out nucleon (residual two-body system is in its lowest energy state)

- ⇒ Effective two-body problem
- Treat kinematics relativistically correct

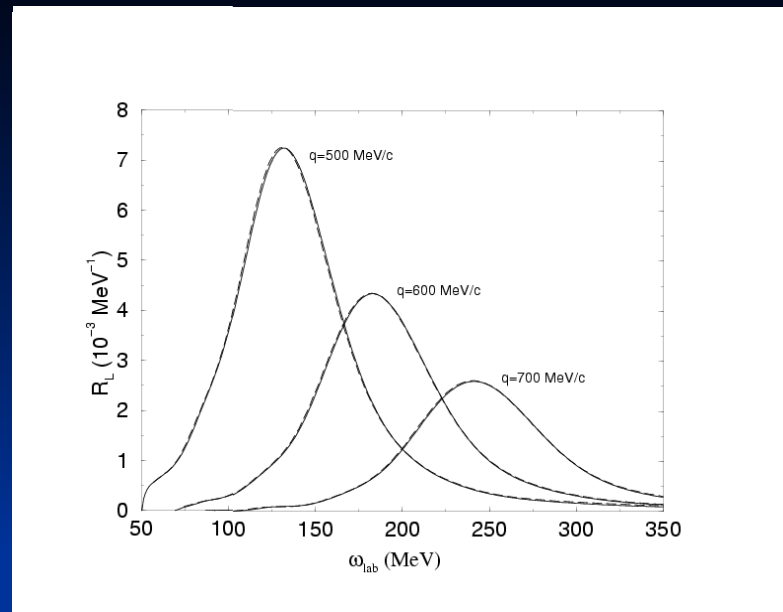
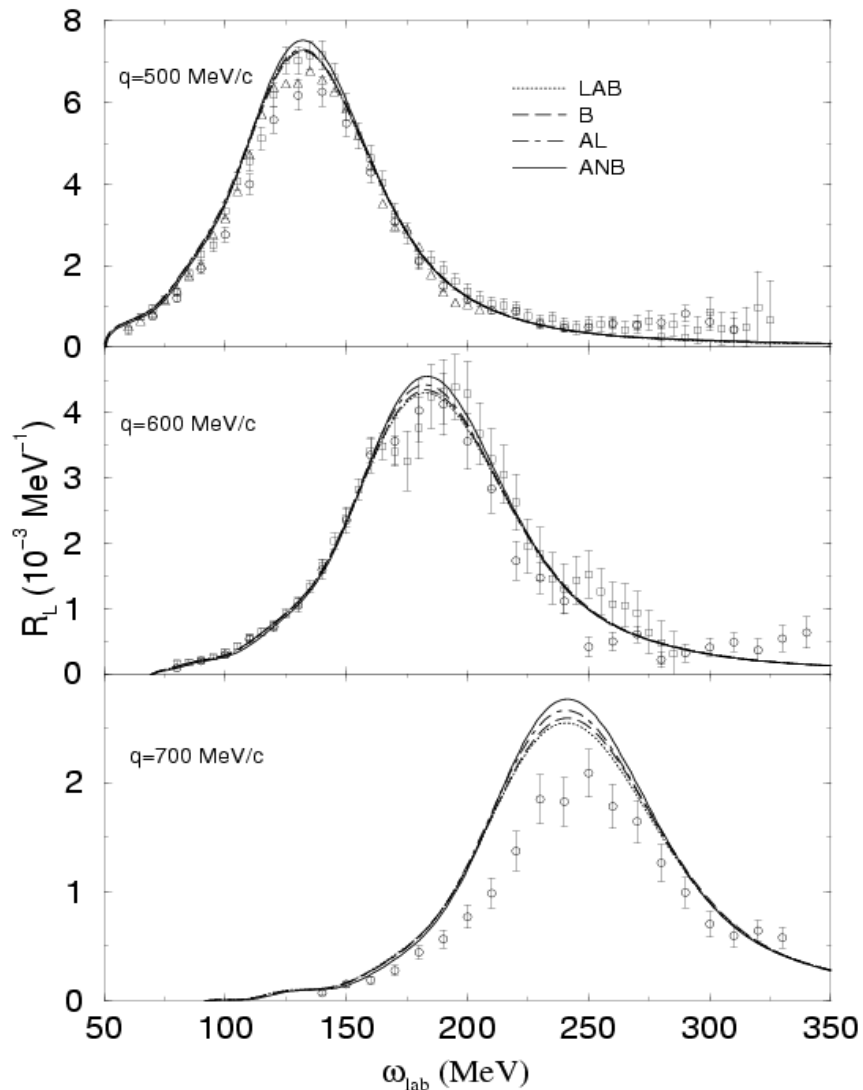
Take the correct relativistic relative momentum k_{rel} and calculate the corresponding non-relativistic relative energy

$$E_{\text{nr}} = (k_{\text{rel}})^2/2\mu$$

with reduced mass μ of nucleon and residual system

use E_{nr} as internal excitation energy in your calculation

$R_L(\omega, q)$ at higher q



R_L calculated in ANB frame with (dashed) and without (full) assumption of a two-body break-up

Quasielastic region: assume two-body break-up and use the **correct relativistic relative momentum**

Transverse response function $R_T(q,\omega)$ of ${}^3\text{He}$ in the quasi-elastic region

Nuclear current operator includes besides the usual **non-relativistic one-body currents** also **meson exchange currents** and **Δ -isobar currents** as well as **relativistic corrections for the one-body current**

Transverse response function $R_{\perp}(q,\omega)$ of ${}^3\text{He}$ in the quasi-elastic region

Nuclear current operator includes besides the usual non-relativistic one-body currents also meson exchange currents and Δ -isobar currents as well as relativistic corrections for the one-body current

Calculation in **active nucleon Breit (ANB) frame** ($P_{\perp} = -Aq/2$) and subsequent transformation to laboratory system

Transverse response function $R_{\top}(q,\omega)$ of ${}^3\text{He}$ in the quasi-elastic region

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Calculation in **active nucleon Breit (ANB) frame** ($P_{\top} = -Aq/2$) and subsequent transformation to laboratory system

Calculation of bound state wave function and solution of LIT equation with the help of expansions in **correlated hyperspherical harmonics**

Transverse response function $R_{\top}(q,\omega)$ of ${}^3\text{He}$ in the quasi-elastic region

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Calculation of bound state wave function and solution of LIT equation with the help of expansions in **correlated hyperspherical harmonics**

Nuclear force model: Argonne v18 NN potential and Urbana 3NF

Further calculation details

The current operator \mathbf{J}

$$\mathbf{J} = \mathbf{J}^{(1)} + \mathbf{J}^{(2)}$$

$$\mathbf{J}^{(1)} = \mathbf{J}^{(1)}(\mathbf{q}, \omega, P_T) = \mathbf{J}_{spin} + \mathbf{J}_p + \mathbf{J}_q + (\omega/M) \mathbf{J}_\omega$$

for instance spin current

$$\mathbf{J}_{spin} = \exp(i\mathbf{q} \cdot \mathbf{r}) i \boldsymbol{\sigma} \times \mathbf{q} / 2M [G_M (1 - q^2/8M^2) - G_E \kappa^2 q^2/8M^2]$$

$$\text{with } \kappa = 1 + 2P_T/Aq$$

Further calculation details

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for instance spin current

$$\mathbf{J}_{spin} = \exp(i\mathbf{q} \cdot \mathbf{r}) i \boldsymbol{\sigma} \times \mathbf{q} / 2M [G_M (1 - q^2/8M^2) - G_E \kappa^2 q^2/8M^2]$$

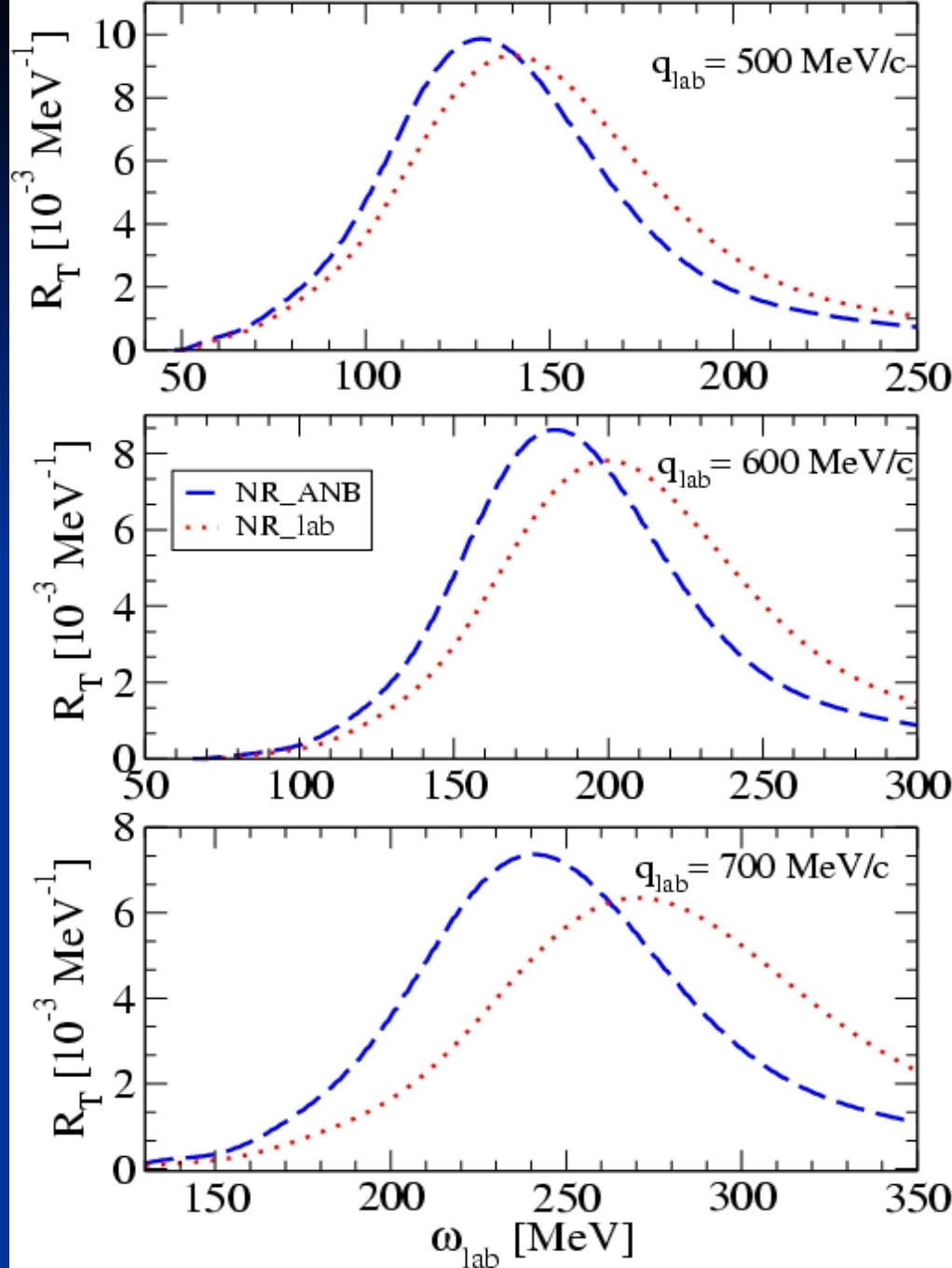
$$\text{with } \kappa = 1 + 2P_T/Aq$$

Transformation from ANB frame to LAB frame

$$R_T^{LAB}(\omega^{LAB}, q^{LAB}) = R_T^{ANB}(\omega^{ANB}, q^{ANB}) E_T^{ANB}/M_T$$

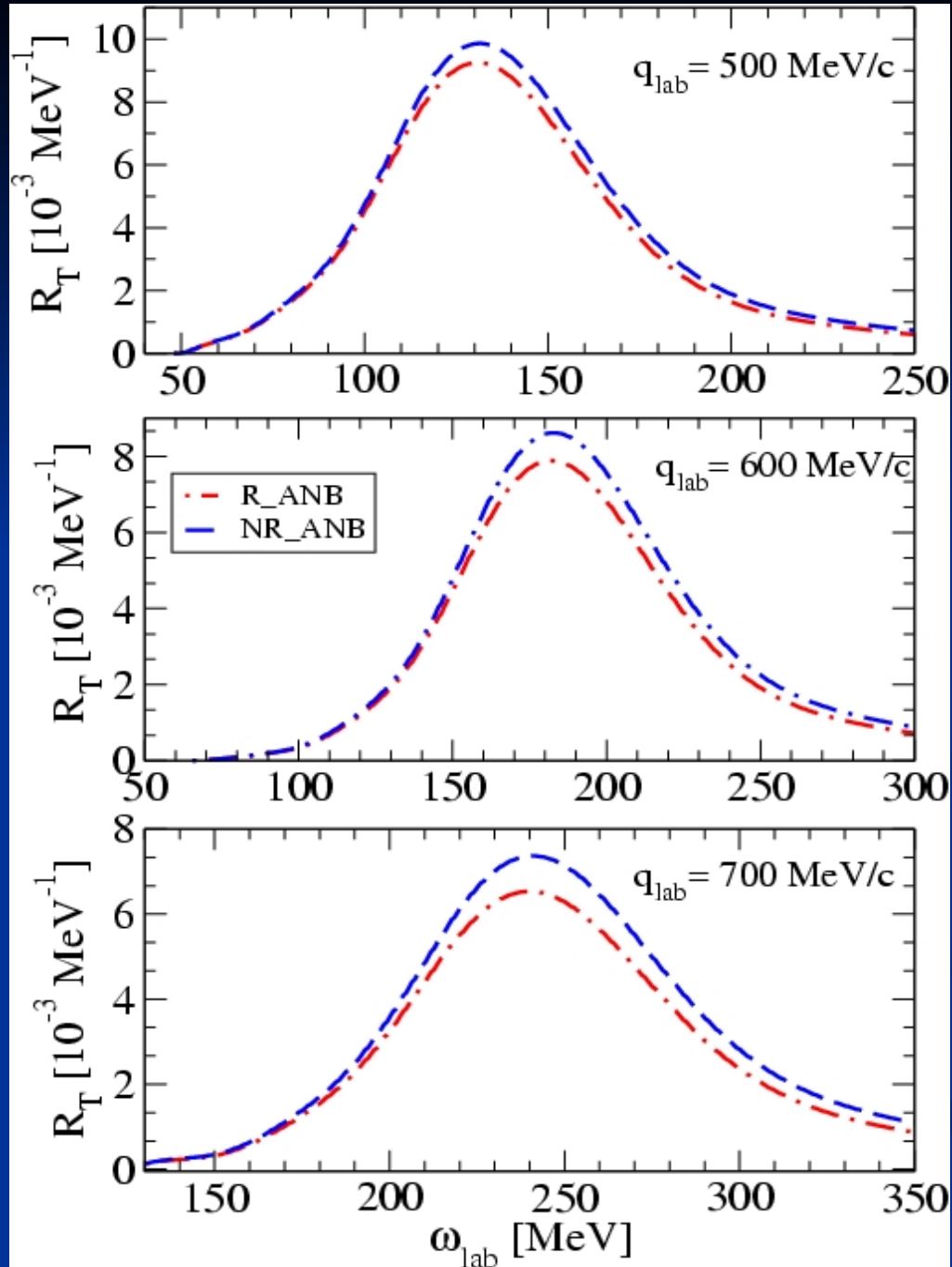
Results

◆ Comparison of ANB and LAB calculation: strong shift of peak to lower energies!
(8.7, 16.7, 29.3 MeV at $q=500, 600, 700$ MeV/c)



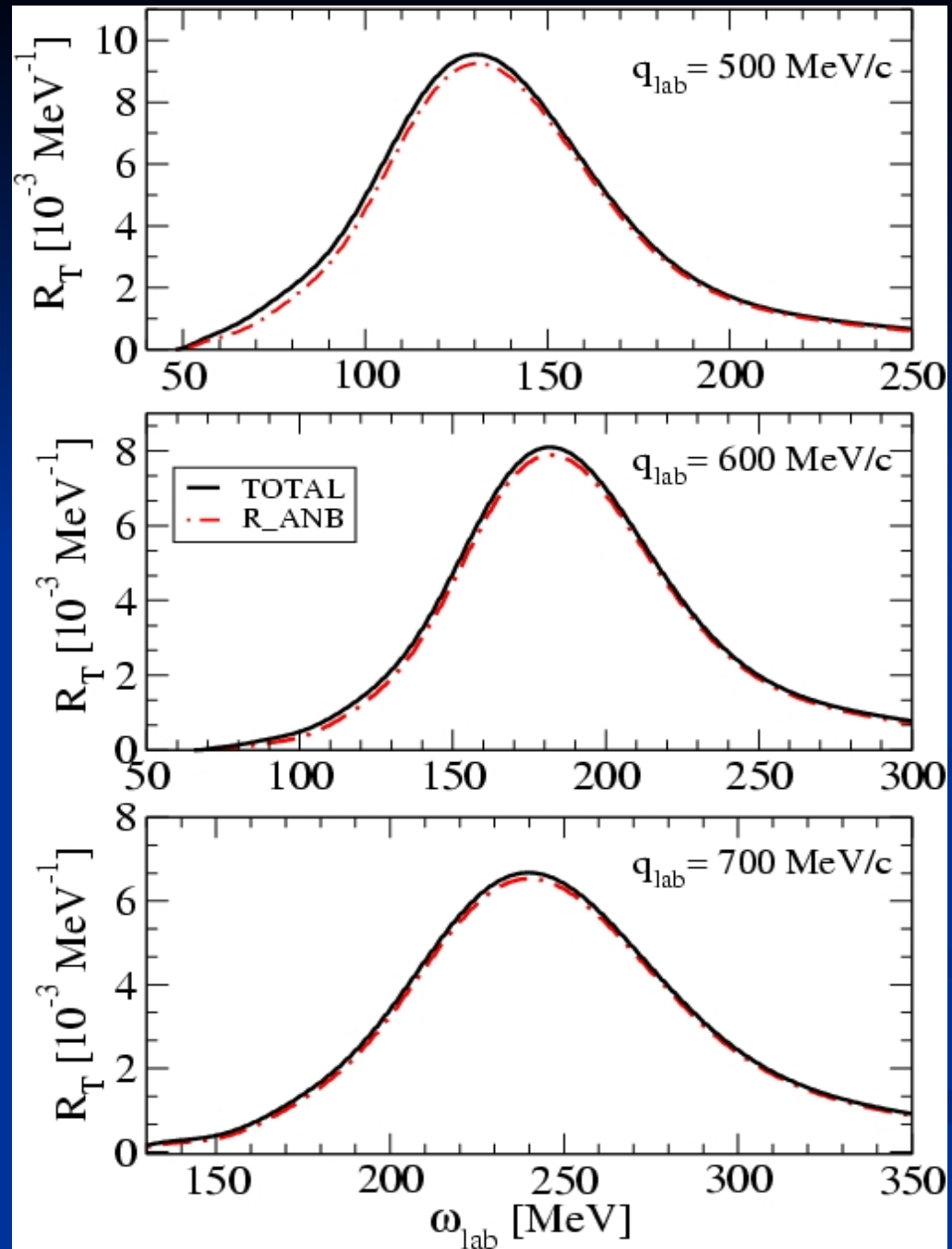
Results

- Rel. contribution:
reduction of peak
height
(6.2%, 8.5%, 11.3 % at
 $q=500, 600, 700$ MeV/c)

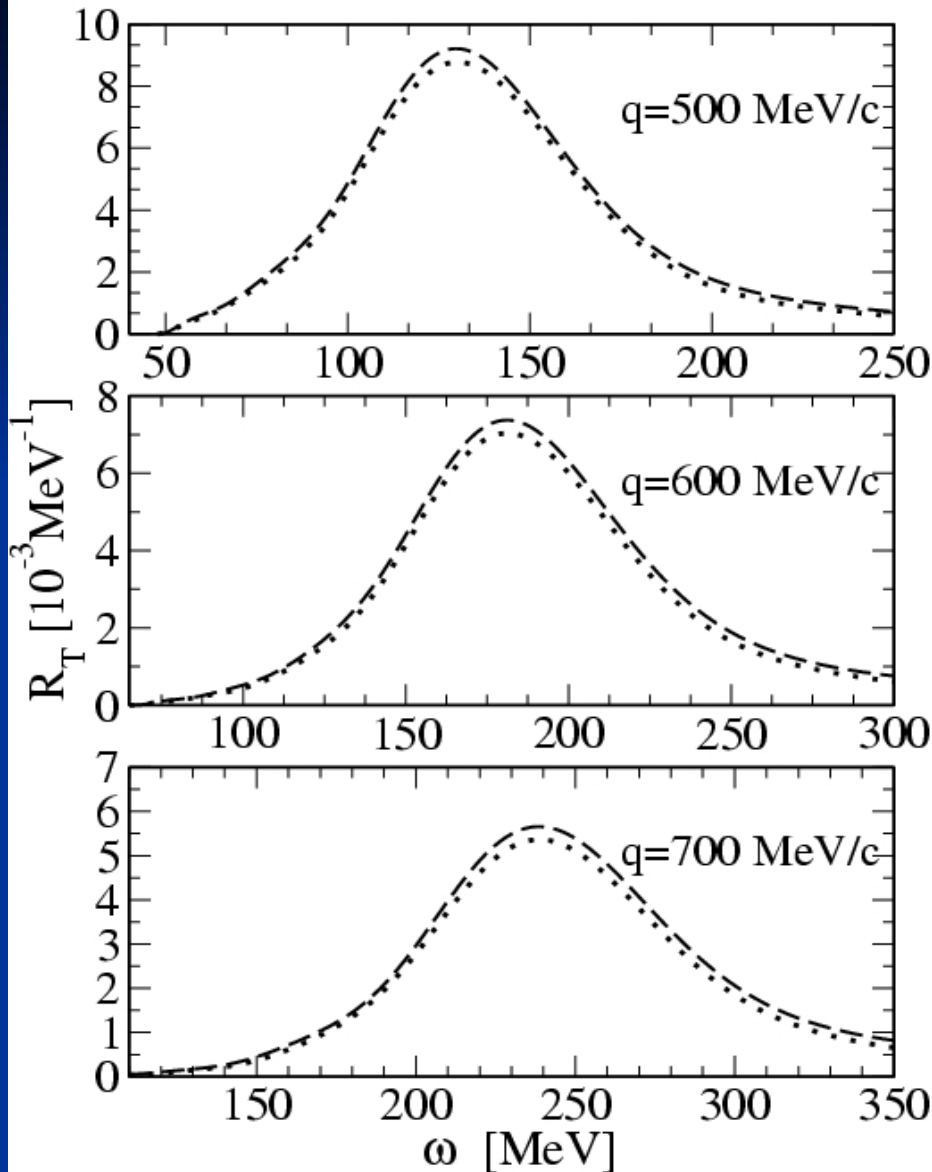


Results

- MEC:
 - small increase of peak height (3.2%, 2.7%, 2.2% at $q=500, 600, 700$ MeV/c)

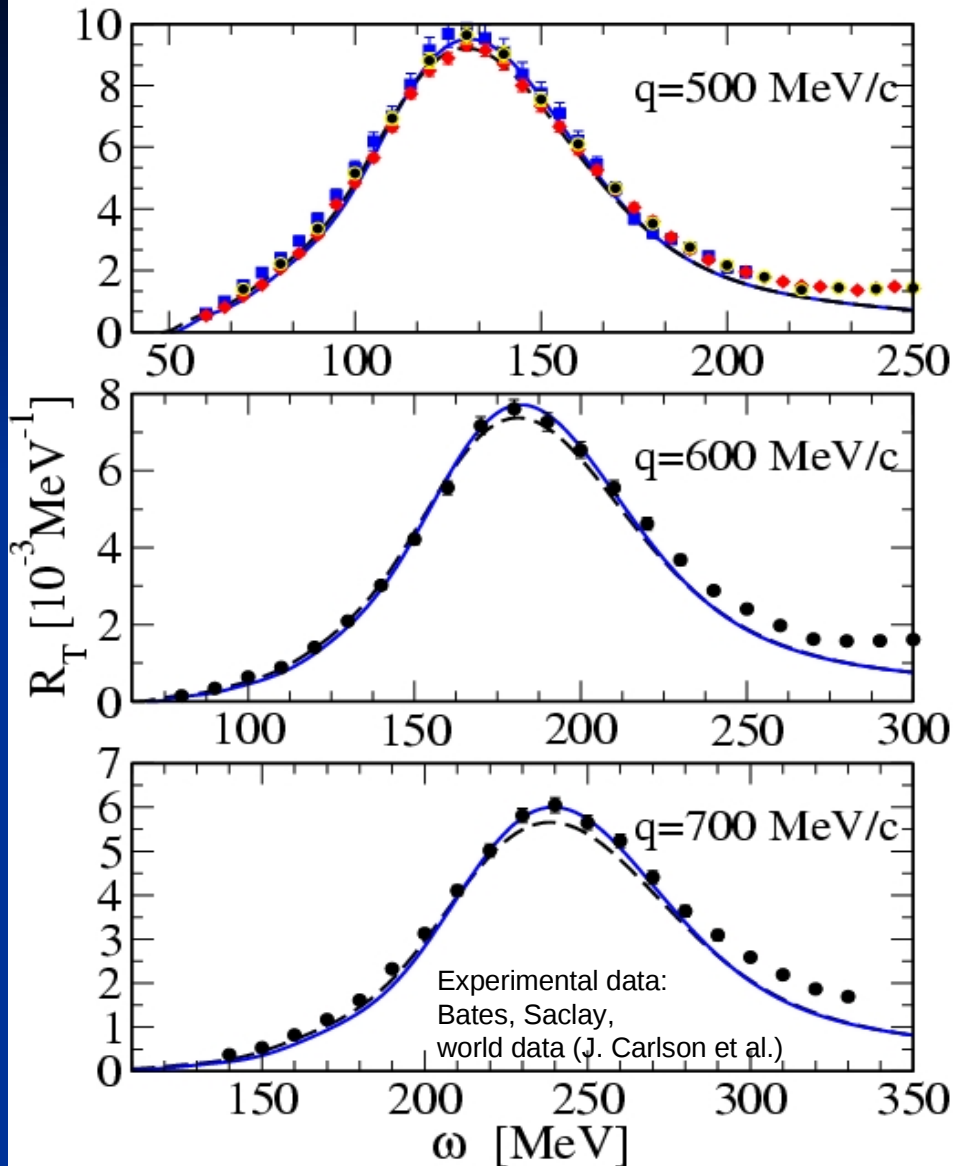


Δ -IC contribution



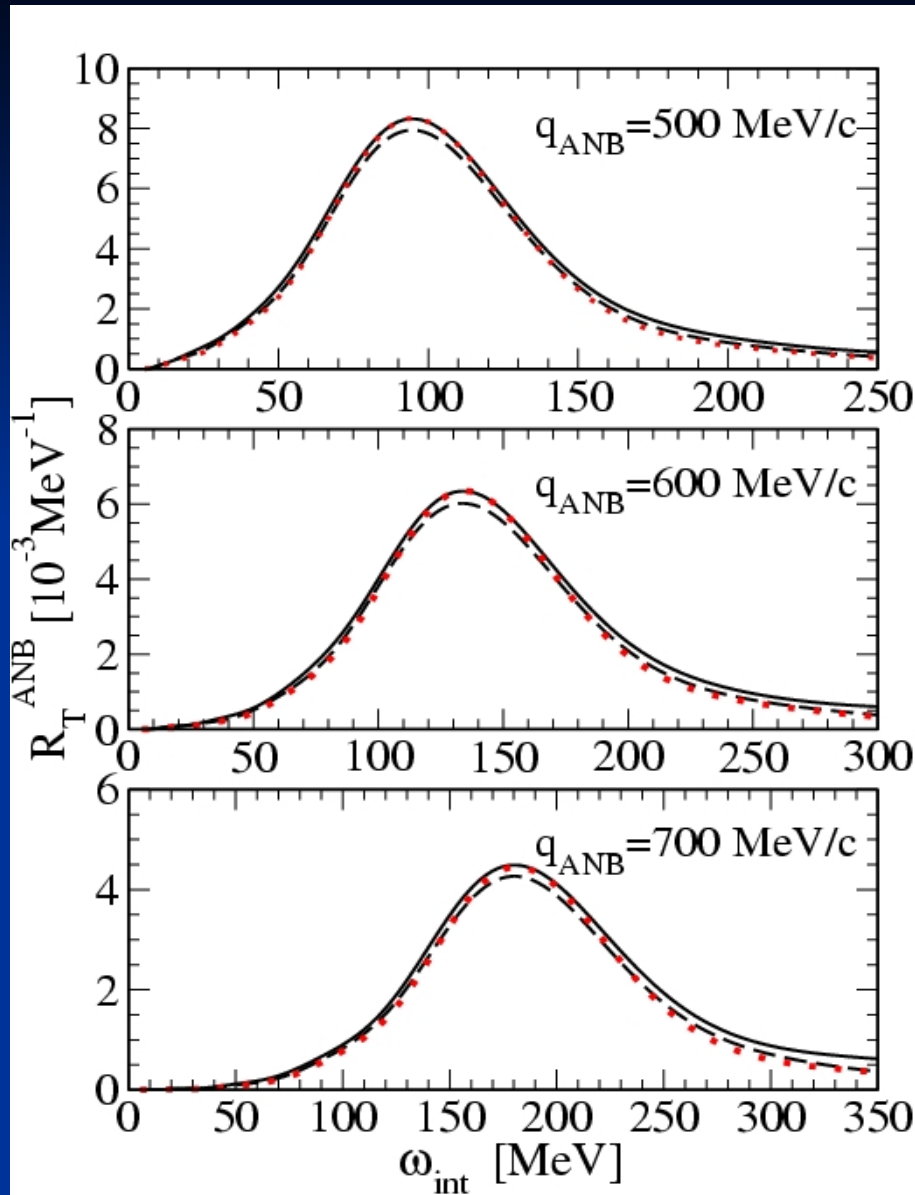
Dotted: without Δ
Dashed with Δ

Effect of two-fragment model



Dashed: with Δ (as before)
Solid: same but with two-fragment model

Deltuva et al. (PRC70, 034004,2004):
Calculation of R_T of ${}^3\text{He}$ with CDBonn and CDBonn+ Δ :
no Δ effects in peak region!



Partial compensation of Δ -IC and 3NF

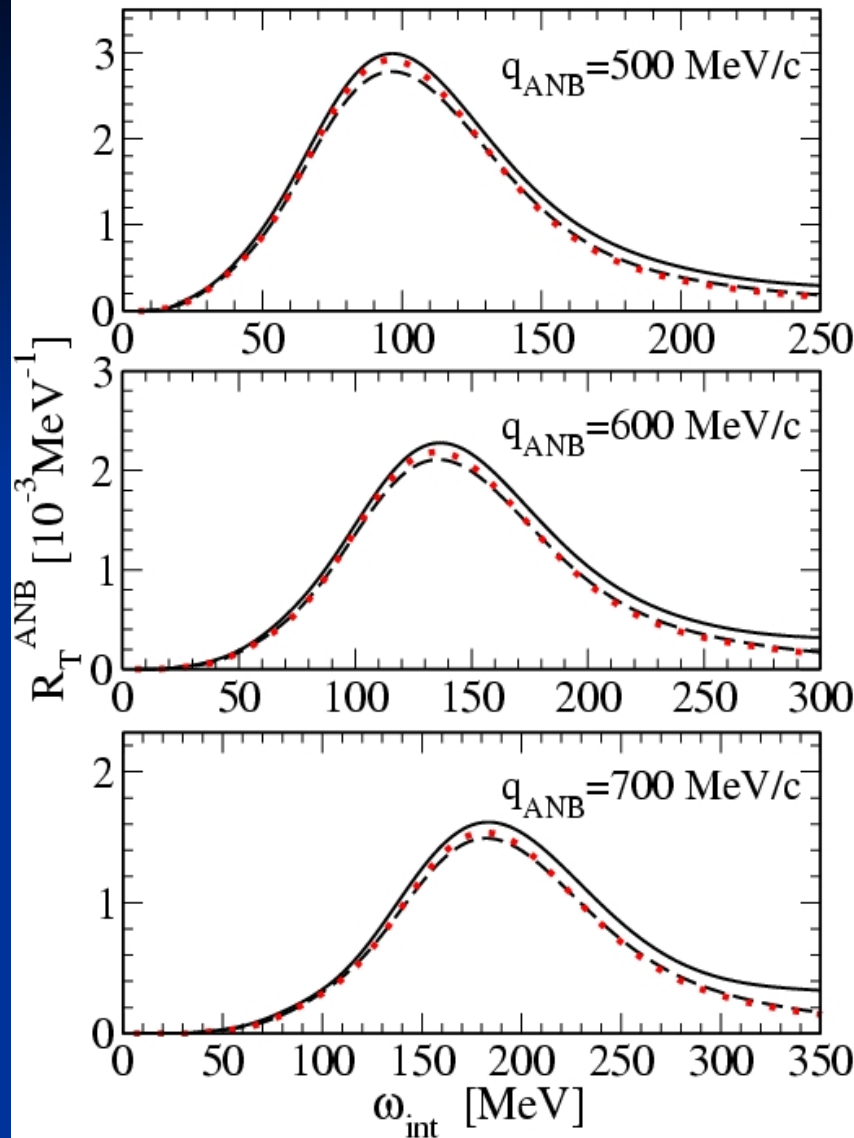
Dotted: no Δ and no 3NF

Dashed: no Δ but with 3NF

Solid: with Δ and with 3NF

**No Δ effect in peak region
In a CC calculation!**

Only Isospin channel $T=3/2$

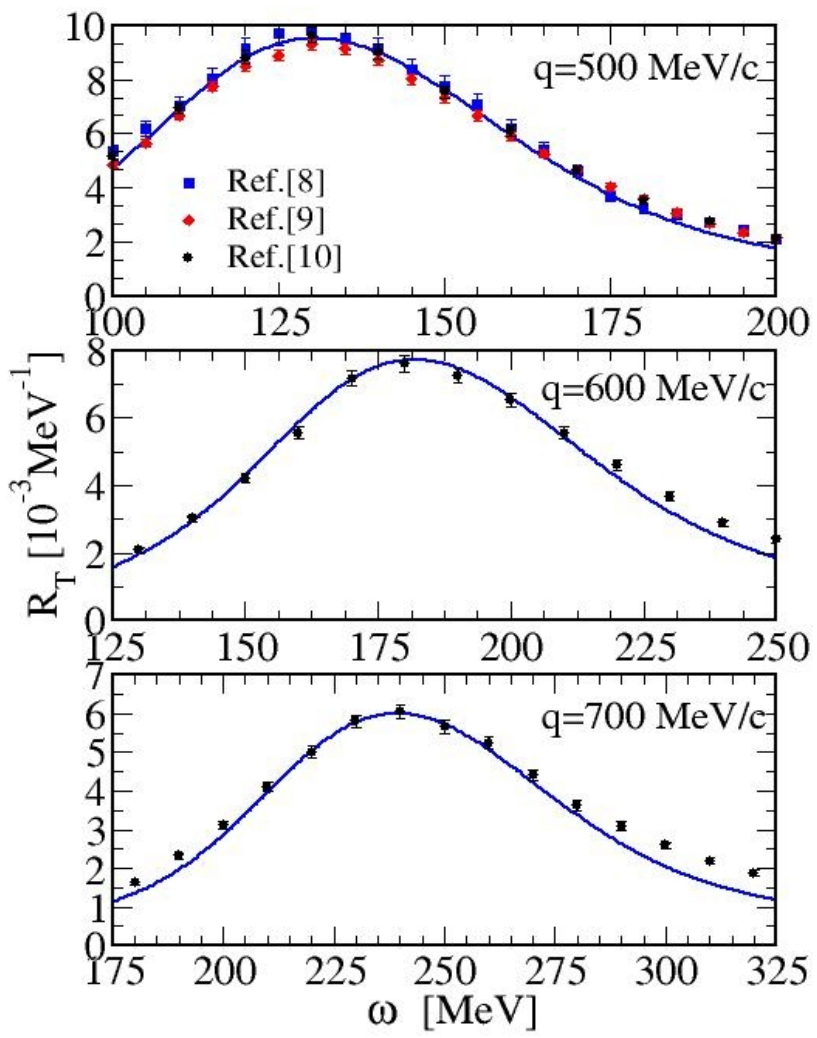


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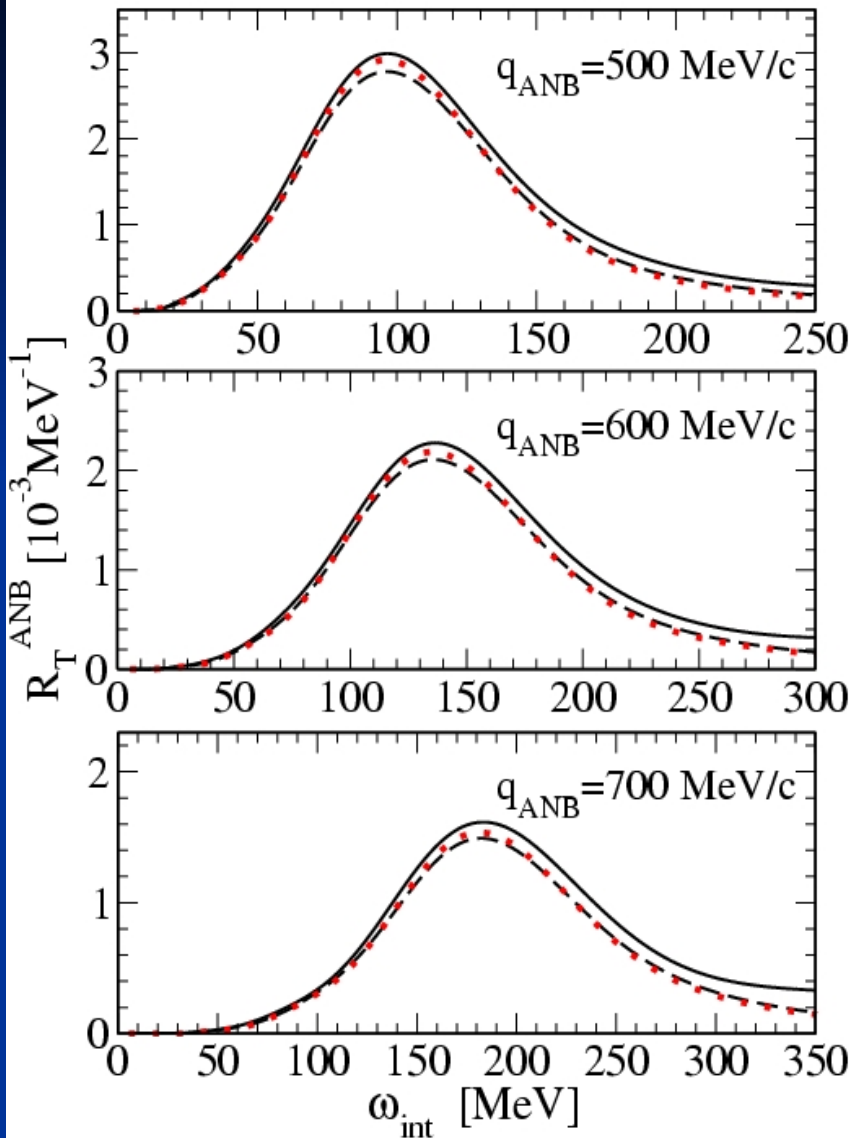
Solid: with Δ and with 3NF

Δ -IC contribution larger than 3NF effect in peak region!



L. Yuan et al., PLB 706, 90 (2011)

Experimental data:
 Bates, Saclay,
 world data (J. Carlson et al.)



Only Isospin channel $T=3/2$

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Solid: with Δ and with 3NF

Strong Δ -IC effect also beyond peak
 \Rightarrow for this kinematics Δ -IC
 are important in 3-body
 breakup reactions

Conclusions

- the **LIT** method opens up the possibility to carry out ab-initio calculations of reactions into the **A-body continuum for $A > 2$**
- only **bound states** techniques are needed
- the LIT is a method with controlled resolution

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We have discussed quite a few applications, there are still more (Compton scattering, pion production, weak nuclear responses)

Summary

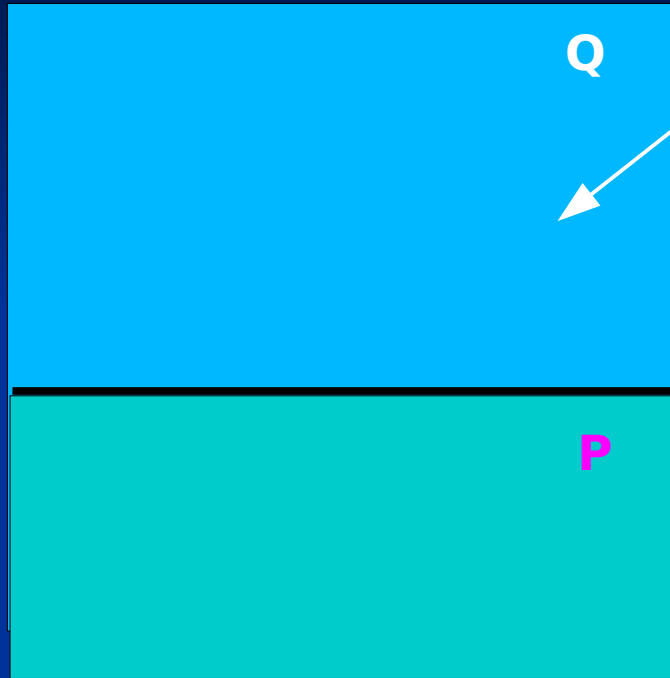
HOW TO SPEED UP THE CONVERGENCE?

SOLUTION

Here comes the idea of **EFFECTIVE INTERACTION**

same idea as for No Core Shell Model.
there the many particle basis is HO
here the many particle basis is HH

What is the main idea of an **effective interaction**?

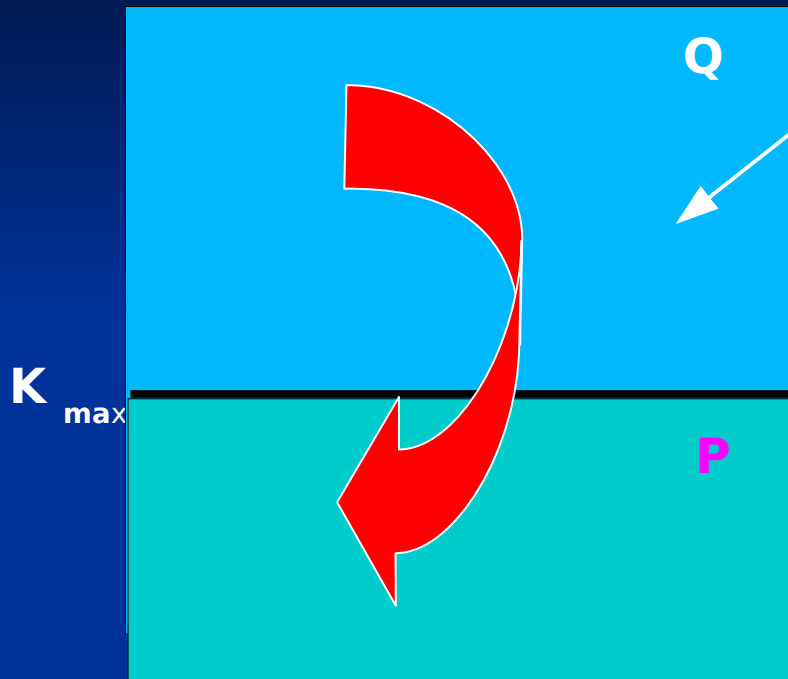


whole Hilbert space

P and **Q** are projection operators

$$\mathbf{P} + \mathbf{Q} = \mathbf{1}$$

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whole Hilbert space

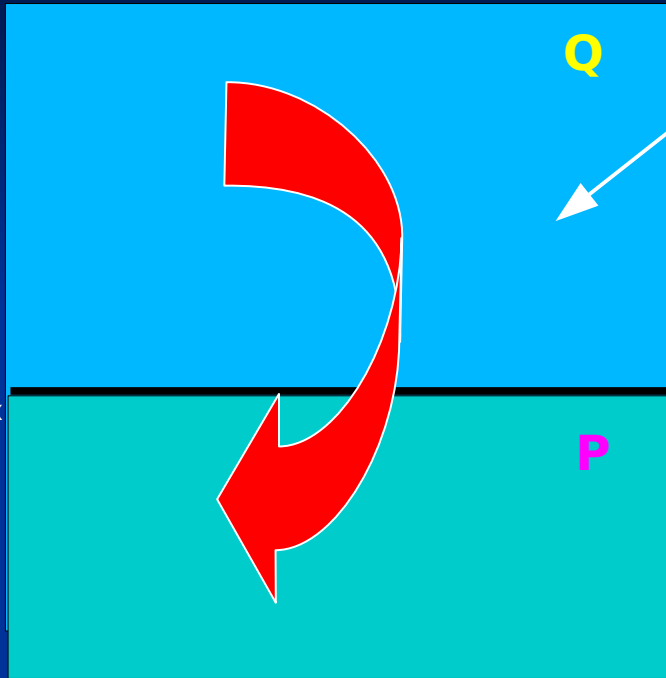
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$$\langle \Psi | P H_{\text{eff}} P | \Psi \rangle = \langle \Psi | H | \Psi \rangle$$

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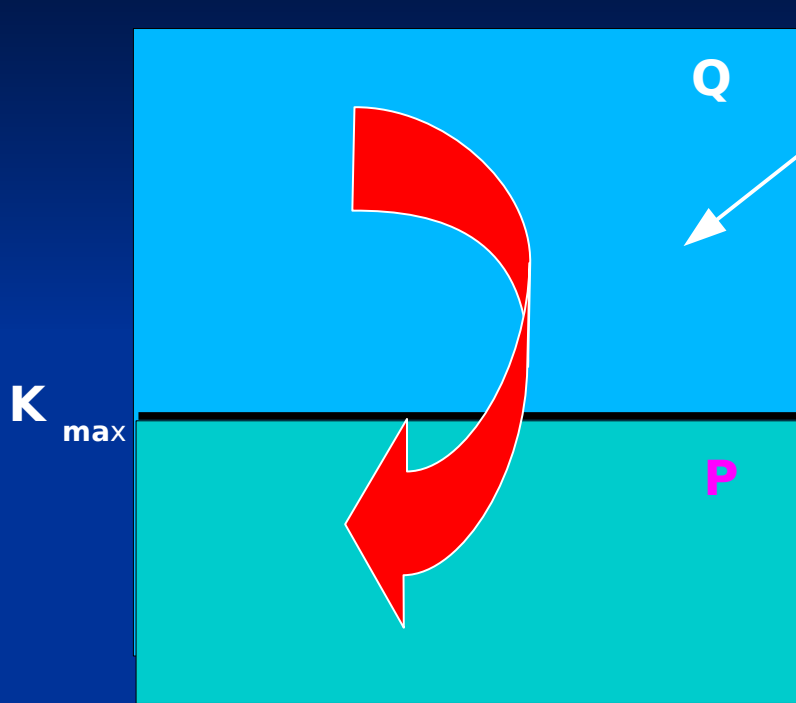
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formally this transformation exists (Bloch-Horowitz, **Lee-Suzuki**), however,

- 1) V_{eff} becomes an **A-body** operator
- 2) T is written in function of **Q**

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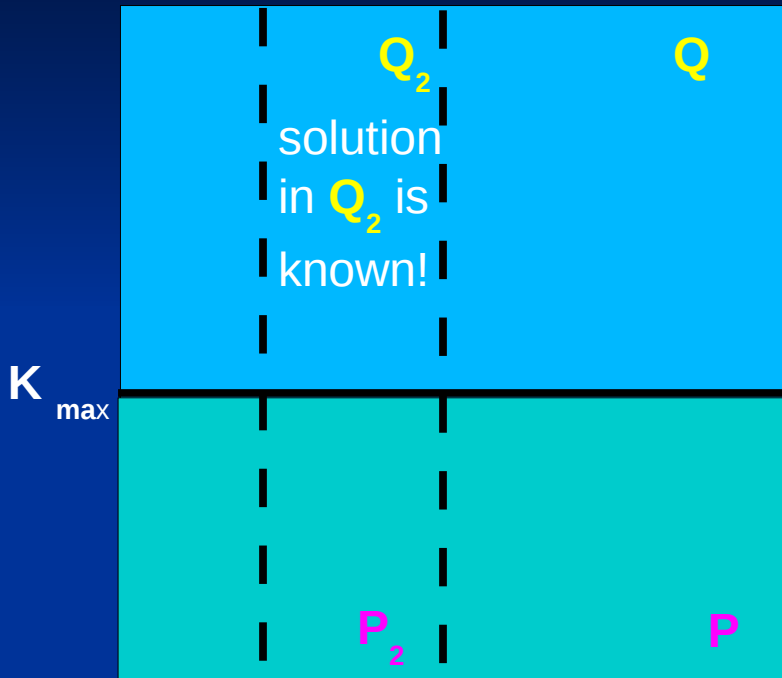
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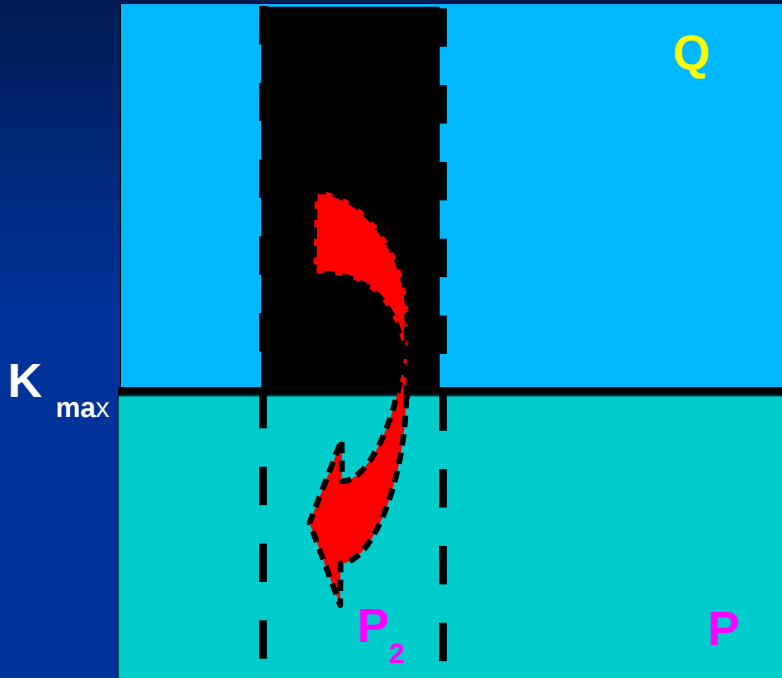
- 1) V_{eff} becomes an **A-body** operator $V_{\text{eff}}^{[A]}$
- 2) T is written in function of Q

Useless for practical purposes, the same as solving the full problem

PRACTICALLY:

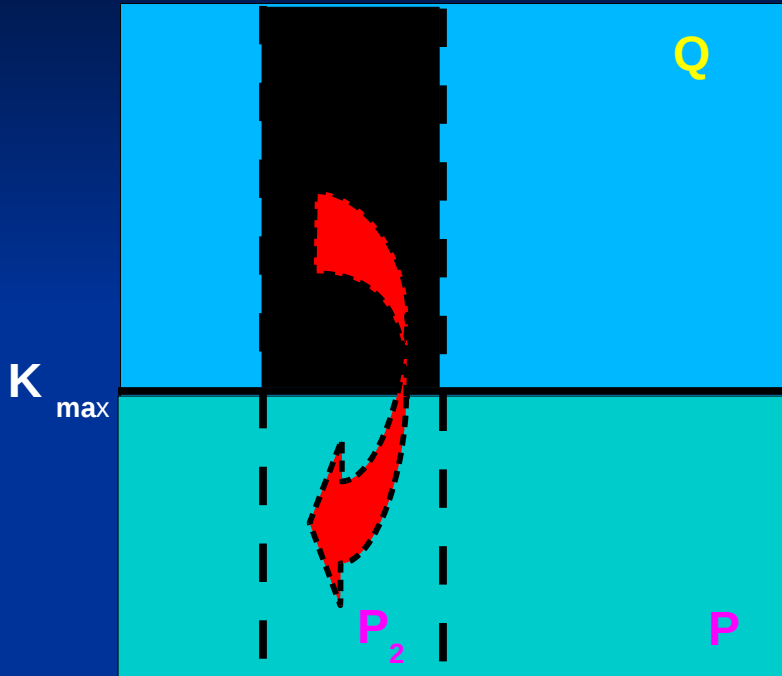


PRACTICALLY:



~~$V_{eff} [A]$~~ $V_{eff} [2]$

PRACTICALLY:



PRICE: I have to increase **P** (i.e. K_{\max})
up to convergence

GAIN: what is missing is **less** than before
-----> **faster convergence!**

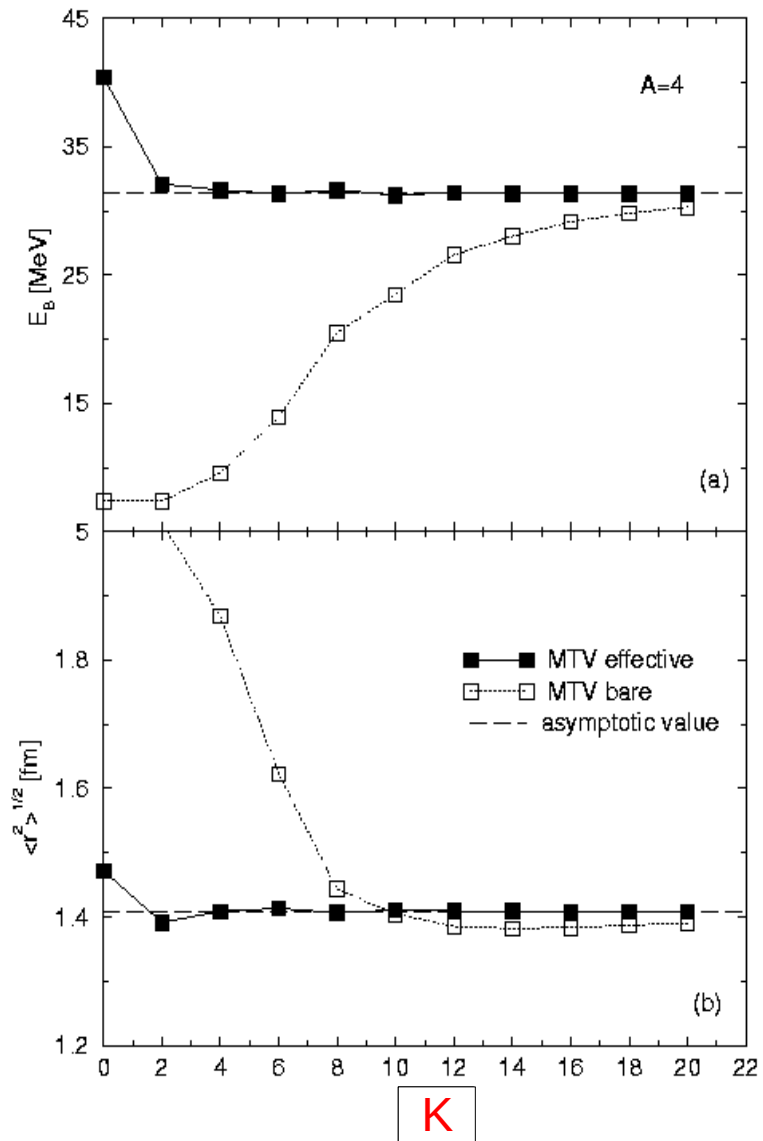
~~$V_{\text{eff}} [A]$~~ $V_{\text{eff}} [2]$

Where, in the full H , is the two-body H_2 which I have to solve ?

$$\begin{aligned}
 H_{\text{NCSM}} &= \sum_k^{A-1} h_k^{\text{ho}} + (V_{12} - V_{12}^{\text{HO}}) + (V_{13} - V_{13}^{\text{HO}}) + \dots \\
 &= h^{\text{ho}}(\xi_1) + h^{\text{ho}}(\xi_2) + \dots + V(\xi_1) - V^{\text{HO}}(\xi_1^2) + \dots
 \end{aligned}$$

$$\begin{aligned}
 H_{\text{EIH}} &= T + V_{12} + V_{13} + \dots \\
 &= \frac{1}{\mu} (\Delta_{\rho} - K^2 / \rho^2) + V(\xi_1) + V(\xi_1, \xi_2, \dots, \xi_{A-1})
 \end{aligned}$$

convergence:



^4He with MTV
NN Potential