

# Wobbling kinks in $\phi^4$ theory

Igor Barashenkov  
University of Cape Town



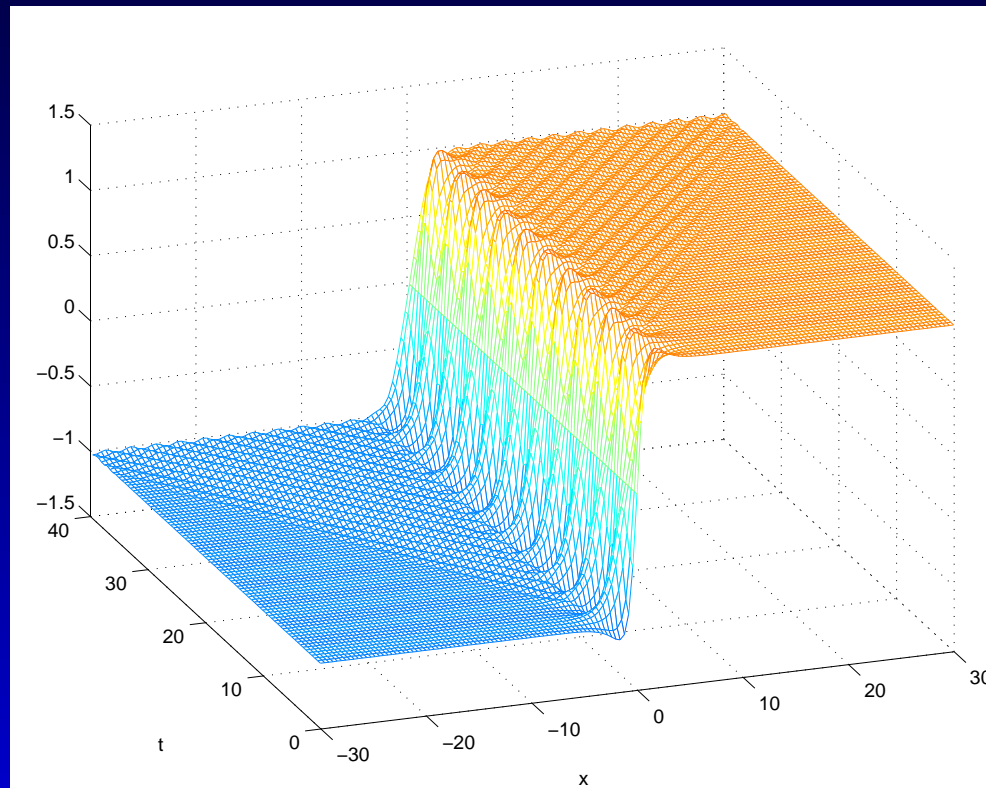
Joint work with Oliver Oxtoby (CSIR, Pretoria)

The  $\phi^4$ -theory (since 1960s):

$$\frac{1}{2}\phi_{tt} - \frac{1}{2}\phi_{xx} - \phi + \phi^3 = 0,$$

with its kink solution:

$$\phi(x, t) = \tanh x.$$



When the amplitude of the kink's internal mode becomes large, the wobbling kink has to be regarded as a fundamental nonlinear excitation of the  $\phi^4$  theory; hence the need for a fully-nonlinear description.

## Outline of this talk:

- Freely wobbling kink
- Parametrically driven damped wobblers (1 : 1 resonance)
- Parametrically driven damped wobblers (2 : 1 resonance)
- Directly driven damped wobblers (1 : 1 resonance)
- Directly driven damped wobblers (1 : 2 resonance)

## Theoretical work so far

- **Numerical simulations:** Boris Getmanov, JETP Letters (1975)
- **Collective coordinates (free kink):** M J Rice and E J Mele, Solid State Commun **35** 487 (1980)
- **Regular asymptotic expansion (free kink):** H Segur, J Math Phys **24**, 1439 (1983)
- **Parametrically driven kink (averaging over large frequency):** Yu S Kivshar, A Sánchez, and L Vázquez, Phys Rev A **45** 1207 (1992)
- **Damped-driven kink away from resonances:** A L Sukstanskii and K I Primak, Phys Rev Lett **75** 3029 (1995)
- **Collective coordinates (directly driven kink):** N Quintero, A Sánchez, and F Mertens, Phys Rev Lett **84**, 871 (2000)
- **Collective coordinates (parametrically driven kink):** N Quintero, A Sánchez, and F Mertens, Phys Rev E **64**, 046601 (2001)

# Freely wobbling kink

Transforming to the moving frame,

$$\xi = x - \int_0^t v(t') dt',$$

the equation becomes

$$\frac{1}{2}\phi_{tt} - v\phi_{\xi t} - \frac{v_t}{2}\phi_{\xi} - \frac{1-v^2}{2}\phi_{\xi\xi} - \phi + \phi^3 = 0.$$

Set  $v = \epsilon V$ ; expand in powers of  $\epsilon$ :

$$\phi = \phi_0 + \epsilon\phi_1 + \epsilon^2\phi_2 + \dots$$

and introduce a hierarchy of space and time scales:

$$X_n \equiv \epsilon^n \xi, \quad T_n \equiv \epsilon^n t, \quad n = 0, 1, 2, \dots$$

# Linear corrections

$$\frac{1}{2}D_0^2\phi_1 + \mathcal{L}\phi_1 = 0,$$

where the Schrödinger operator

$$\mathcal{L} = -\frac{1}{2}\partial_0^2 - 1 + 3\phi_0^2 = -\frac{1}{2}\partial_0^2 + 2 - 3\operatorname{sech}^2 X_0.$$

We keep just the wobbling mode:

$$\phi_1 = Ae^{i\omega_0 T_0}y_1(X_0) + c.c.,$$

where

$$\omega_0 = \sqrt{3}, \quad y_1(X_0) = \operatorname{sech} X_0 \tanh X_0.$$

and

$$A = A(X_1, X_2, \dots, T_1, T_2, \dots).$$

# Quadratic corrections

$$\frac{1}{2}D_0^2\phi_2 + \mathcal{L}\phi_2 = (\partial_0\partial_1 - D_0D_1)\phi_1 - 3\phi_0\phi_1^2 + VD_0\partial_0\phi_1 + \frac{1}{2}D_1V\partial_0\phi_0 - \frac{1}{2}V^2\partial_0^2\phi_0,$$

whence  $\phi_2 = \varphi_2^{(0)} + \varphi_2^{(1)}e^{i\omega T_0} + c.c. + \varphi_2^{(2)}e^{2i\omega T_0} + c.c.$

$$\varphi_2^{(0)} = 2|A|^2 \operatorname{sech}^2 X_0 \tanh X_0 + \left(\frac{V^2}{2} - 3|A|^2\right) X_0 \operatorname{sech}^2 X_0;$$

$$\varphi_2^{(1)} = -(\partial_1 A + i\omega_0 V A) X_0 \operatorname{sech} X_0 \tanh X_0;$$

$$\varphi_2^{(2)} = A^2 f_1(X_0) = \frac{A^2}{8} [6 \tanh X_0 \operatorname{sech}^2 X_0 + (3 - \tanh^2 X_0 + ik_0 \tanh X_0)(J_2^* - J_2^\infty)e^{ik_0 X_0} + (3 - \tanh^2 X_0 - ik_0 \tanh X_0)J_2 e^{-ik_0 X_0}]$$

$$k_0 = \sqrt{8}, \quad J_2 = \int_{-\infty}^{X_0} e^{ik\xi} \operatorname{sech}^n \xi d\xi$$

To avoid infinite velocities, replace  $A^2$  with  $B(X_1, X_2, \dots; T_1, T_2, \dots)$ , where  $B(0, 0, \dots, T_1, T_2, \dots) = A^2(T_1, T_2, \dots)$ .

# The amplitude equation

$$\begin{aligned} \frac{1}{2}D_0^2\phi_3 + \mathcal{L}\phi_3 &= (\partial_0\partial_1 - D_0D_1)\phi_2 + (\partial_0\partial_2 - D_0D_2)\phi_1 \\ &+ \frac{1}{2}(\partial_1^2 - D_1^2)\phi_1 - \phi_1^3 - 6\phi_0\phi_1\phi_2 + VD_0\partial_0\phi_2 \\ &+ VD_0\partial_1\phi_1 + VD_1\partial_0\phi_1 + \frac{1}{2}D_2V\partial_0\phi_0 - \frac{1}{2}V^2\partial_0^2\phi_1. \end{aligned}$$

The solvability condition for the first harmonic

$$\frac{2i\omega_0}{3}D_2A + \zeta|A|^2A - V^2A = 0,$$

where

$$\begin{aligned} \zeta &= 6 \int_{-\infty}^{\infty} \operatorname{sech}^2 X_0 \tanh^3 X_0 \left[ \frac{5}{2} \operatorname{sech}^2 X_0 \tanh X_0 \right. \\ &\quad \left. - 3X_0 \operatorname{sech}^2 X_0 + f_1(X_0) \right] dX_0 \\ &= -0.8509 + i0.04636 \end{aligned}$$

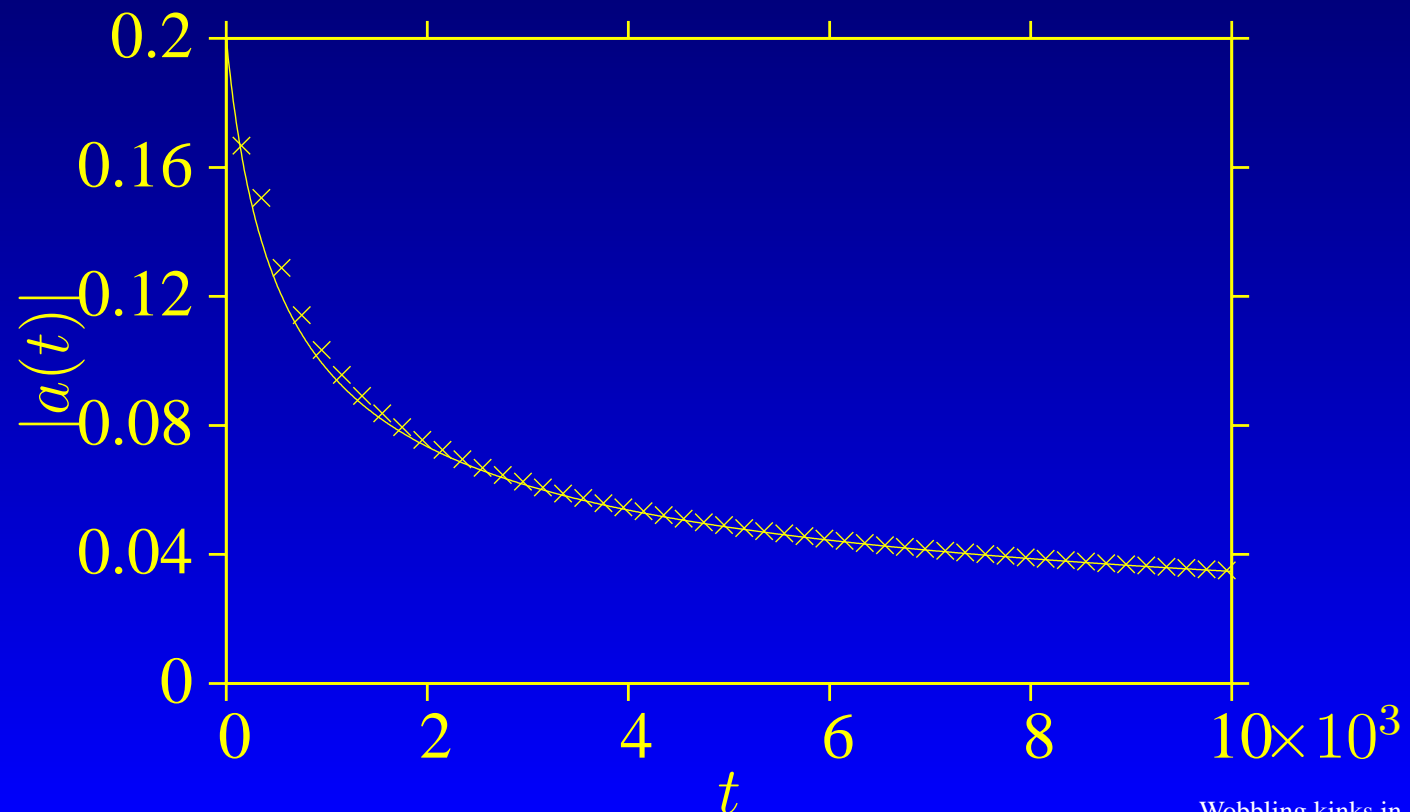


All amplitude equations can be assembled into one master equation:

$$ia_t = -\frac{\omega_0}{2}\zeta |a|^2 a + \frac{\omega_0}{2}v^2 a + \mathcal{O}(|a|^4), \quad a = \epsilon A$$

Decay of the wobbling amplitude:

$$|a(t)|^2 = \frac{|a(0)|^2}{1 + \omega_0 \operatorname{Im} \zeta |a(0)|^2 t} = \frac{|a(0)|^2}{1 + 0.08030 \times |a(0)|^2 t}.$$



# Wobbling kink

Frequency of the wobbling:

$$\omega = \omega_0 \left[ 1 - \frac{1}{2}v^2 + \frac{1}{2} \operatorname{Re} \zeta |a|^2 + \mathcal{O}(|a|^4) \right]$$

The wobbling kink to the order  $\epsilon^2$ :

$$\begin{aligned} \phi(x, t) = & \tanh \left( \frac{1 - 3|a|^2}{\sqrt{1 - v^2}} \xi \right) \\ & + a \operatorname{sech} \xi \tanh \xi e^{i\omega_0(t-v\xi)} + c.c. \\ & + 2|a|^2 \operatorname{sech}^2 \xi \tanh \xi + b f_1(\xi) e^{2i\omega_0(t-v\xi)} + c.c. + \mathcal{O}(|a|^3), \end{aligned}$$

where  $b = \epsilon^2 B$  and  $\xi = x - vt$ .

## Parametrically driven $\phi^4$ (1 : 1 resonance)

$$\frac{1}{2}\phi_{tt} - \frac{1}{2}\phi_{xx} + \gamma\phi_t - [1 + h\cos(\Omega t)]\phi + \phi^3 = 0,$$
$$\gamma = \epsilon^2\Gamma, \quad h = \epsilon^3H, \quad \Omega = \omega_0(1 + \epsilon^2R).$$

The “free” amplitude equations are replaced with

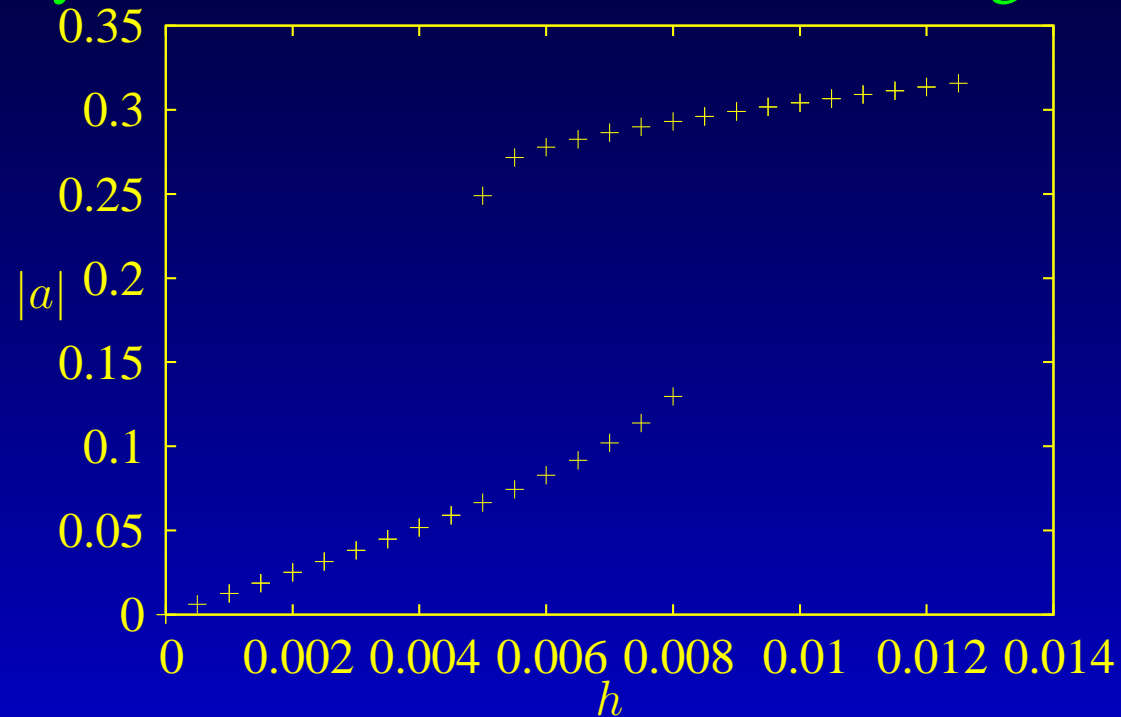
$$D_2V = -2\Gamma V,$$
$$iD_2A + \frac{\omega_0}{2}\zeta|A|^2A - \omega_0\left(R + \frac{V^2}{2}\right)A = \frac{\pi\omega_0}{8}H - i\Gamma A.$$

In terms of the unscaled variables,

$$\dot{a} = -\gamma a - i\omega_0\rho a + \frac{1}{2}i\omega_0\zeta|a|^2a - \frac{1}{2}i\omega_0v^2a - i\omega_0\frac{\pi}{8}h + \mathcal{O}(|a|^5),$$
$$\dot{v} = -2\gamma v + \mathcal{O}(|a|^5).$$

# 1 : 1 parametric resonance: results

- Wobbling frequency locked to the frequency of the driver
- No threshold for the driving strength to sustain the wobbling
- Bistability and hysteresis for the intermediate driving strengths



- The strongest resonance is at a negative detuning:

$$\frac{\Omega}{\omega_0} - 1 = \frac{\text{Re } \zeta}{2} |a_{\text{res}}|^2 < 0, \quad \frac{64}{\pi^2} |a_{\text{res}}|^2 \left( \frac{\gamma}{\omega_0} + \frac{\text{Im } \zeta}{2} |a_{\text{res}}|^2 \right)^2 = h^2$$

# Parametric driving (2 : 1 resonance)

$$\frac{1}{2}\phi_{tt} - \frac{1}{2}\phi_{xx} + \gamma\phi_t - [1 + h\cos(2\Omega t)]\phi + \phi^3 = 0,$$
$$\gamma = \epsilon^2\Gamma, \quad h = \epsilon^2H, \quad \Omega = \omega_0(1 + \epsilon^2R).$$

Master equations:

$$\dot{a} = -\gamma a - i\omega_0\rho a + \frac{1}{2}i\omega_0\zeta|a|^2a - \frac{1}{2}i\omega_0v^2a - \frac{1}{2}i\omega_0\sigma ha^* + \mathcal{O}(|a|^5),$$
$$\dot{v} = -2\gamma v + \mathcal{O}(|a|^5)$$

## Summary:

- Weaker resonance than in the case of driving near  $\omega_0$
- Threshold driving strength:

$$\frac{1}{4}|\sigma|^2h^2 \geq \frac{\gamma^2}{\omega_0^2} + \left(\frac{\Omega}{\omega_0} - 1\right), \quad \sigma = 0.5958 + i0.003863$$

- No bistability or hysteresis

## Direct driving (1 : 2 resonance)

$$\frac{1}{2}\phi_{tt} - \frac{1}{2}\phi_{xx} + \gamma\phi_t - \phi + \phi^3 = h\cos\left(\frac{\Omega}{2}t\right),$$
$$\gamma = \epsilon^2\Gamma, \quad h = \epsilon^2H, \quad \Omega = \omega_0(1 + \epsilon^2R).$$

The master amplitude equations:

$$\dot{a} = -\gamma a - i\omega_0\rho a + \frac{i}{2}\omega_0\zeta|a|^2a - \frac{i}{2}\omega_0v^2a + \frac{60}{169}i\pi\omega_0h^2 + \mathcal{O}(|a|^5),$$
$$\dot{v} = -2\gamma v + \mathcal{O}(|a|^5).$$

The amplitude equations have the same form as in the case of the parametric driving near the frequency  $\omega_0$  where one only needs to replace  $h \rightarrow h^2$

## Direct driving (1 : 1 resonance)

$$\frac{1}{2}\phi_{tt} - \frac{1}{2}\phi_{xx} + \gamma\phi_t - \phi + \phi^3 = h\cos(\Omega t),$$

$$\gamma = \epsilon^2\Gamma, \quad h = \epsilon^2H, \quad \Omega = \omega_0(1 + \epsilon^2R).$$

Prior to  $\mathcal{O}(\epsilon^2)$ , expansion is as in the undamped, undriven case:

$$\phi_0 = \tanh X_0$$

$$\phi_1 = A \operatorname{sech} X_0 \tanh X_0 e^{i\omega T_0} + c.c.$$

At  $\mathcal{O}(\epsilon^2)$ , we have, as before,

$$\varphi_2^{(0)} = 2|A|^2 \operatorname{sech}^2 X_0 \tanh X_0 + \left(\frac{V^2}{2} - 3|A|^2\right) X_0 \operatorname{sech}^2 X_0,$$

$$\varphi_2^{(2)} = B f_1(X_0), \quad B(0, \dots, 0; T_1, \dots) = A^2(T_1, T_2, \dots),$$

but the first harmonic is altered:

$$\varphi_2^{(1)} = H(1 - 2 \operatorname{sech}^2 X_0).$$

At  $\mathcal{O}(\epsilon^3)$ , the solvability conditions give  $D_2V = -2\Gamma V$  and

$$D_2A + \Gamma A + i\omega_0 R A - \frac{i}{2}\omega_0 \zeta |A|^2 A + \frac{1}{2}i\omega_0 V^2 A - \frac{3\pi}{4} V H = 0,$$

and the 0th and 1st harmonic components are

$$\varphi_3^{(0)} = -4H(A + A^*)(\operatorname{sech} X_0 + \operatorname{sech}^3 X_0),$$

$$\begin{aligned} \varphi_3^{(1)} = & -\partial_2 A X_0 \operatorname{sech} X_0 \tanh X_0 + |A|^2 A u_1(X_0) \\ & + \frac{1}{2} V^2 A X_0 \operatorname{sech} X_0 (2 \operatorname{sech}^2 X_0 - 1) + i\omega_0 V H u_2(X_0), \end{aligned}$$

where  $u_1(X_0)$  and  $u_2(X_0)$  are the bounded solutions of

$$\begin{aligned} (\mathcal{L} - 3/2)u_1(X_0) &= \frac{3}{2}\zeta \operatorname{sech} X_0 \tanh X_0 \\ &+ 6 \operatorname{sech} X_0 \tanh^2 X_0 \left[ 3X_0 \operatorname{sech}^2 X_0 - \frac{5}{2} \operatorname{sech}^2 X_0 \tanh X_0 - f_1(X_0) \right], \\ (\mathcal{L} - 3/2)u_2(X_0) &= -4 \operatorname{sech}^2 X_0 \tanh X_0 + \frac{3\pi}{4} \operatorname{sech} X_0 \tanh X_0. \end{aligned}$$



For the second harmonic component we must solve

$$(\mathcal{L} - 6)\varphi_3^{(2)} = (\partial_1 B + 2i\omega_0 V B) \partial_0 f_1(X_0) - 2i\omega_0 D_1 B f_1(X_0) - 6HA \operatorname{sech} X_0 \tanh^2 X_0 (1 - 2 \operatorname{sech}^2 X_0),$$

where

$$f_1(X_0) \sim \begin{cases} e^{-ik_0 X_0} & \text{for } X_0 \gg 0, \\ e^{ik_0 X_0} & \text{for } X_0 \ll 0. \end{cases}$$

The secular terms will be suppressed if

$$D_1 B = \begin{cases} -\frac{k_0}{\omega_0} (\partial_1 B + 2i\omega_0 V B) & \text{for } X_1 > 0 \\ \frac{k_0}{\omega_0} (\partial_1 B + 2i\omega_0 V B) & \text{for } X_1 < 0, \end{cases}$$

or, writing as a single equation,

$$D_1^2 B = \frac{k_0^2}{4\omega_0^2} \partial_1^2 B + \frac{ik_0^2 V}{\omega_0} \partial_1 B - k_0^2 V^2 B.$$

At  $\mathcal{O}(\epsilon^5)$ , the solvability condition is

$$D_4V = -\frac{3}{2}H\eta|A|^2A + c.c. + 2\Gamma V^3 \\ + \frac{3\pi}{4}i\omega_0H\Gamma(A - A^*) + \frac{9\pi}{4}RH(A + A^*) + 2\Gamma RV,$$

where

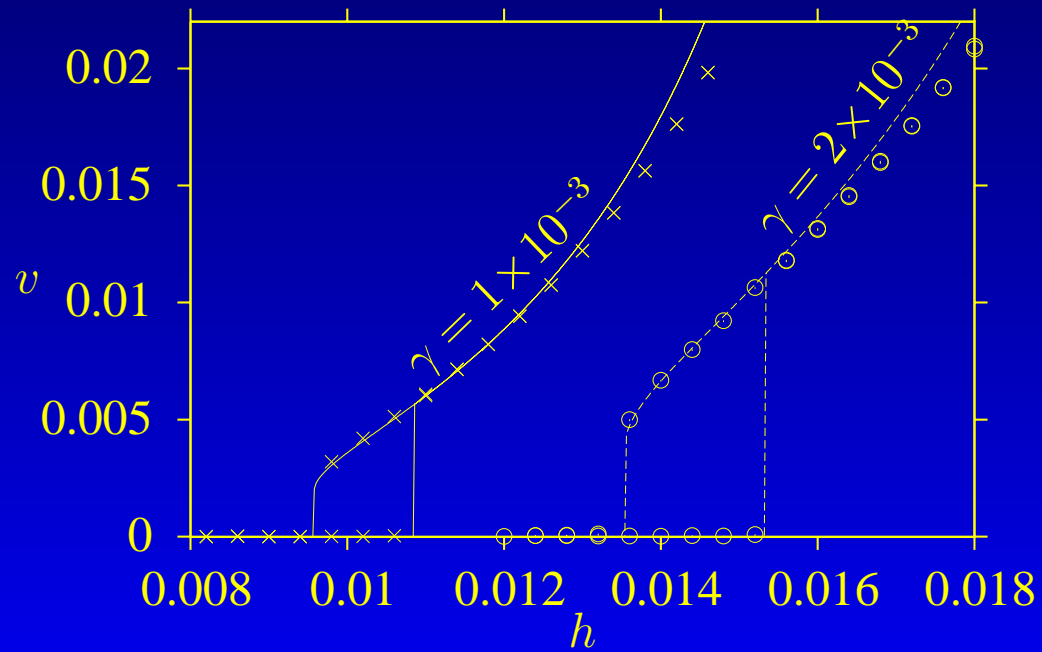
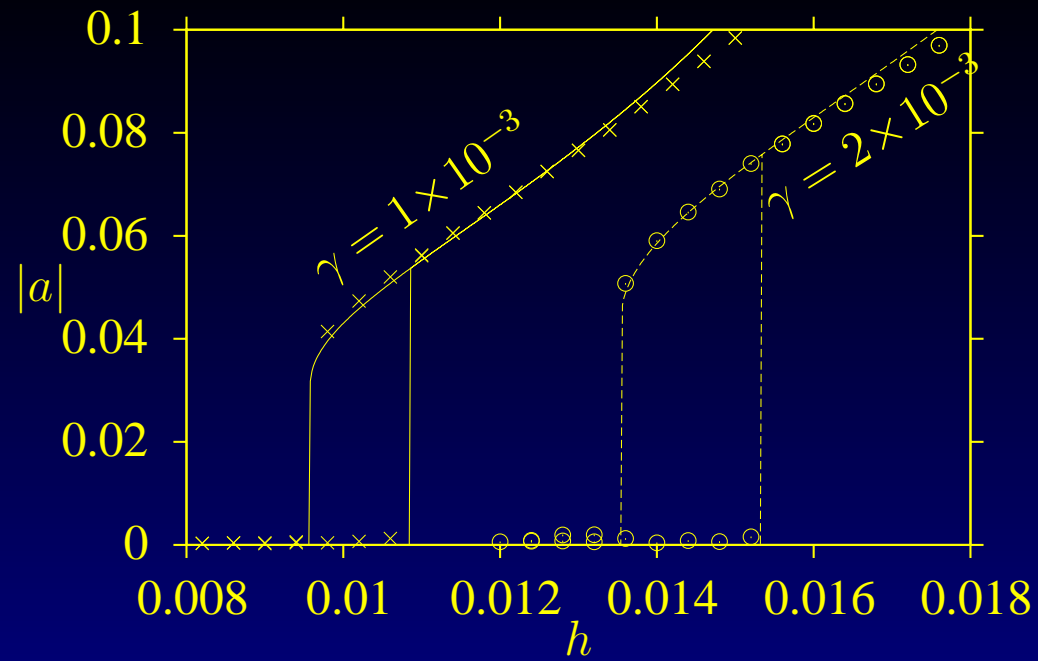
$$\eta = -2.005 - 0.3823i.$$

The master amplitude equations:

$$\dot{a} = -\gamma a - i\omega_0\rho a + \frac{i}{2}\omega_0\zeta|a|^2a - \frac{i}{2}\omega_0v^2a + \frac{3\pi}{4}hv - \frac{3}{2}i\nu h^2a - i\frac{87}{35}h^2a^* + \mathcal{O}(|a|^5)$$

$$\dot{v} = -2\gamma v + \frac{3\pi}{4}i\omega_0h\gamma(a - a^*) + \left(\frac{9\pi}{4}\rho - \frac{3}{2}\delta h^2 - \frac{3}{2}\eta h|a|^2\right)(a + a^*) + \mathcal{O}(|a|^6)$$

# Hysteresis



# Conclusions

- Free wobbling kink a long-lived object: the wobbling amplitude decays only as  $t^{-1/2}$
- Both direct and parametric driving can sustain the wobbling. The wobbling locks on to a harmonic of the driving frequency
- Parametrically driven wobbling kink: 1 : 1 is the strongest resonance
- 1 : 1 direct driving: wobbling sustained only if the kink performs translation motion. Radiation important
- Complicated dynamics (bistability and hysteresis) but no chaos

