

ON THE NONLINEAR SCHRÖDINGER EQUATIONS ON SYMMETRIC SPACES AND THEIR GAUGE EQUIVALENT

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Plan of the talk:

1. Introduction
2. FAS and scattering data for the MNLS systems
3. FAS and scattering data for the gauge-equivalent MHF systems
4. Hierarchy of Hamiltonian structures for MNLS type models
5. Dressing Method and Soliton Solutions
6. Example ($\mathfrak{g} \simeq so(5, \mathbb{C})$)
7. Open problems

1. Introduction

- Invariance of the Lax representation w.r. to the group of gauge transformations

$$[L(\lambda), M(\lambda)] = 0 \quad \rightarrow \quad [\tilde{L}(\lambda), \tilde{M}(\lambda)] = 0$$

$$\tilde{L}(\lambda) = g^{-1}L(\lambda)g, \quad \tilde{M}(\lambda) = g^{-1}M(\lambda)g$$

- **Example:** NLS equation and HF equation ($\mathfrak{g} \simeq sl(2)$)

$$iu_t + u_{xx} + 2|u|^2u(x, t) = 0 \quad \text{(NLS)}$$

$$iS_t^{(0)} = \frac{1}{2}[S^{(0)}(x, t), S_{xx}^{(0)}] \quad S^{(0)}(x, t) = g^{(0)-1}\sigma_3g^{(0)}(x, t); \quad (S^{(0)})^2 = \mathbb{1} \quad \text{(HF)}$$

[Zakharov, Takhtajan; 1979], [Lakshmanan; 1977]

- $g^{(0)}$ is determined by $u(x, t)$ through

$$i \frac{dg^{(0)}}{dx} + q^{(0)}(x, t)g^{(0)}(x, t) = 0, \quad q^{(0)} = \begin{pmatrix} 0 & u \\ -u^* & 0 \end{pmatrix}, \quad \lim_{x \rightarrow \infty} g^{(0)}(x, t) = \mathbb{1}.$$

- Both equations are **infinite dimensional completely integrable Hamiltonian systems**.
- **Generalized Zakharov-Shabat system** related to arbitrary **simple Lie algebra \mathfrak{g}** (of rank $r > 1$):

$$L(\lambda)\psi \equiv \left(i \frac{d}{dx} + q(x, t) - \lambda J \right) \psi(x, t, \lambda) = 0,$$

where $q(x, t), J \in \mathfrak{g}$.

- **Fixing the gauge 1:** $J \in \mathfrak{h}$ - (real) constant, **non-regular**

$$L(\lambda) \rightarrow g_0^{-1} L(\lambda) g_0, \quad g_0(x, \lambda) \in \mathfrak{G}$$

$\rightarrow \exists \Delta_0 \ni \alpha : \alpha(J) = 0: q(x, t) \in \mathfrak{g} \setminus \mathfrak{g}_0, \Delta_0 \subset \Delta, \text{ so}$

$$q(x, t) = \sum_{\alpha \in \Delta^+ \setminus \Delta_0} (q_\alpha(x, t) E_\alpha + q_{-\alpha}(x, t) E_{-\alpha})$$

$E_{\pm\alpha}$ - root vectors of \mathfrak{g} , Δ_+ - positive roots: $\Delta = \Delta_+ \cup (-\Delta_+)$.

H_i - Cartan generators, $\{H_i, E_{\pm\alpha}\}$ - Cartan-Weyl basis for \mathfrak{g} .

- This choice of J makes **more difficult**:
 - 1) the derivation of FAS;
 - 2) the construction of the related recursion operator;
 - 3) the application of the gauge transformation.

- MNLS type equations on \mathfrak{g} :

$$i\frac{dq}{dt} + 2\text{ad}_J^{-1}\frac{d^2q}{dx^2} + [q, \pi_0[q, \text{ad}_J^{-1}q]] - 2i(\mathbf{1} - \pi_0)[q, \text{ad}_J^{-1}q_x] = 0,$$

$L(\lambda)$ and $M(\lambda)$ - Lax pair for MNLS:

$$M(\lambda) \equiv i\frac{d}{dt} - V_0^d + 2i\text{ad}_J^{-1}q_x + 2\lambda q - 2\lambda^2 J.$$

where $V_0^d = \pi_0([q, \text{ad}_J^{-1}q_x])$ and π_0 is the projector onto $\mathfrak{g}_J = \{X \in \mathfrak{g} \mid [J, X] = 0, \forall J \in \mathfrak{h}\}$.

- Fixing the gauge 2 (pole gauge): [Zakharov, Mikhailov; 1978-80]

$$\tilde{L}\tilde{\psi}(x, t, \lambda) \equiv \left(i\frac{d}{dx} - \lambda\mathcal{S}(x, t) \right) \tilde{\psi}(x, t, \lambda) = 0,$$

where $\tilde{\psi}(x, t, \lambda) = g^{-1}(x, t)\psi(x, t, \lambda)$,

$$\mathcal{S}(x, t) = \text{Ad}_g \cdot J \equiv g^{-1}(x, t)Jg(x, t).$$

and $g(x, t) = \psi(x, t, 0)$ - the Jost sol's at $\lambda = 0$.

$$\tilde{M}\tilde{\psi} \equiv \left(i\frac{d}{dt} - 2i\lambda\text{ad}_{\mathcal{S}}^{-1}\mathcal{S}_x - 2\lambda^2\mathcal{S} \right) \tilde{\psi}(x, t, \lambda) = 0,$$

- $[\tilde{L}(\lambda), \tilde{M}(\lambda)] = 0 \rightarrow$

$$i\frac{d\mathcal{S}}{dt} + 2\frac{d}{dx} \left(\text{ad}_{\mathcal{S}}^{-1}\frac{d\mathcal{S}}{dx} \right) = 0.$$

- $L(\lambda)$ and $\tilde{L}(\lambda)$ have **equivalent spectral properties and spectral data**
 \rightarrow **the classes of NLEE related to $L(\lambda)$ and $\tilde{L}(\lambda)$ are also equivalent.**

- the “squared” solutions:

$$e_{\alpha}^{\pm}(x, t, \lambda) = (\mathbb{1} - \pi_0) \left(\chi^{\pm}(x, t, \lambda) E_{\alpha} \hat{\chi}^{\pm}(x, t, \lambda) \right),$$

where $\chi^{\pm}(x, t, \lambda)$ is the FAS of the Lax operator L (see below)

- their completeness relations [Gerdjikov, Kilish; 1981-6] provide us the spectral decompositions of the so-called **generating (or recursion) operators** Λ_{\pm} :

$$\Lambda_{+} e_{\pm\alpha}^{\pm} = \lambda e_{\pm\alpha}^{\pm}, \quad \Lambda_{-} e_{\mp\alpha}^{\pm} = \lambda e_{\mp\alpha}^{\pm}.$$

Λ_{\pm} play crucial role in deriving the properties of the NLEE.

*) AKNS approach;

***) Gelfand–Dickey approach.

- The interpretation of the ISM as a generalized Fourier transform and the expansions over the “squared solutions” allows one to study all the fundamental properties of the relevant NLEE’s. These include:
 - 1) the description of the whole class NLEE related to the Lax operator $L(\lambda)$ solvable by the ISM;
 - 2) derivation of the infinite family of integrals of motion
 - 3) the Hamiltonian formulation of the NLEE’s.

2. FAS and scattering data for the MNLS systems

- The **direct scattering problem** is based on the **Jost solutions**:

$$\lim_{x \rightarrow \infty} \psi(x, \lambda) e^{i\lambda J x} = \mathbb{1}, \quad \lim_{x \rightarrow -\infty} \phi(x, \lambda) e^{i\lambda J x} = \mathbb{1},$$

and the **scattering matrix**:

$$T_J(\lambda) = (\psi(x, \lambda))^{-1} \phi(x, \lambda).$$

The FAS $\xi^\pm(x, \lambda)$ of $L(\lambda)$ are analytic functions of λ for $\lambda \geq 0$ and are related to the Jost solutions by

$$\xi^\pm(x, \lambda) = \phi(x, \lambda) S_J^\pm(\lambda) = \psi(x, \lambda) T_J^\mp(\lambda) D_J^\pm(\lambda),$$

where $S_J^\pm(\lambda)$, $T_J^\pm(\lambda)$ and $D_J^\pm(\lambda)$ are the factor of the **generalized Gauss decomposition** for $T_J(\lambda)$:

$$T_J(\lambda) = T_J^-(\lambda)D_J^+(\lambda)\hat{S}_J^+(\lambda) = T_J^+(\lambda)D_J^-(\lambda)\hat{S}_J^-(\lambda).$$

where

$$S_J^\pm(t, \lambda) = \exp \left(\sum_{\alpha \in \Delta_1^+} s_{J,\alpha}^\pm(t, \lambda) E_{\pm\alpha} \right), \quad T_J^\pm(t, \lambda) = \exp \left(\sum_{\alpha \in \Delta_1^+} t_{J,\alpha}^\pm(t, \lambda) E_{\pm\alpha} \right),$$

$$D_J^\pm(\lambda) = \exp(\pm d_1^\pm(\lambda)H_1 \pm 2d_2^\pm(\lambda)H_2 + d_{\alpha_1}^\pm(\lambda)E_{\alpha_1} + d_{-\alpha_1}^\pm(\lambda)E_{-\alpha_1})$$

On the real axis $\xi^+(x, \lambda)$ and $\xi^-(x, \lambda)$ are related by

$$\xi^+(x, \lambda) = \xi^-(x, \lambda)G_{0,J}(\lambda),$$

$$G_{0,J}(\lambda) = \hat{S}_J^-(\lambda) S_J^+(\lambda),$$

and the function $G_{0,J}(\lambda)$ can be considered as a minimal set of scattering data in the case of absence of discrete eigenvalues [Shabat;1974] [Gerdjikov;1994].

- If $q(x, t)$ evolves according to the MNLS then

$$i \frac{dS_J^\pm}{dt} - 2\lambda^2 [J, S_J^\pm(t, \lambda)] = 0, \quad i \frac{dT_J^\pm}{dt} - 2\lambda^2 [J, T_J^\pm(t, \lambda)] = 0,$$

while $D_J^\pm(\lambda)$ are time-independent.

→ the MNLS eq. has four series of integrals of motion.

*) This is due to the special (degenerate) choice of the dispersion law

$$f_{\text{MNLS}} = 2\lambda^2 J.$$

**) only two of these four series are in involution, which in turn is related to the non-commutativity of the subalgebra \mathfrak{g}_J .

3. FAS and scattering data for the gauge-equivalent MHF systems

- FAS for the gauge equiv. systems:

$$\tilde{\xi}^{\pm}(x, \lambda) = g^{-1}(x, t)\xi^{\pm}(x, \lambda)g_{-}, \quad g_{-} \in \mathfrak{G}_J$$

where $g_{-} = \lim_{x \rightarrow -\infty} g(x, t) = \hat{T}_J(0) \in \mathfrak{G}_J$.

$\tilde{\xi}^{\pm}(x, \lambda)$ are analytic w. r. to $\lambda \leftarrow$ the scattering matrix $T_J(0) \in \mathfrak{H}$.

Asymptotics of the FAS for $x \rightarrow \pm\infty$:

$$\lim_{x \rightarrow -\infty} \tilde{\xi}^{+}(x, \lambda) = T_J(0)S^{+}(\lambda)\hat{T}_J(0)$$

$$\lim_{x \rightarrow \infty} \tilde{\xi}^+(x, \lambda) = e^{-i\lambda J x} T_J^-(\lambda) D_J^+(\lambda) \hat{T}_J(0)$$

$$\therefore \tilde{T}_J(\lambda) = T_J(\lambda) \hat{T}_J(0).$$

Obviously $\tilde{T}_J(0) = \mathbb{1}$ and

$$\tilde{S}_J^\pm(\lambda) = T_J(0) S_J^\pm(\lambda) \hat{T}_J(0),$$

$$\tilde{T}_J^\pm(\lambda) = T_J^\pm(\lambda) \quad \tilde{D}_J^\pm(\lambda) = D_J^\pm(\lambda) \hat{T}_J(0).$$

On the real axis $\tilde{\xi}^+(x, \lambda)$ and $\tilde{\xi}^-(x, \lambda)$ are related by:

$$\tilde{\xi}^+(x, \lambda) = \tilde{\xi}^-(x, \lambda) \tilde{G}_{0,J}(\lambda),$$

$$\tilde{G}_{0,J}(\lambda) = \hat{S}_J^-(\lambda) \tilde{S}_J^+(\lambda) \quad \tilde{\xi}(x, 0) = \mathbb{1}.$$

again $\tilde{G}_{0,J}(\lambda)$ can be considered as a minimal set of scattering data.

4. Hierarchy of Hamiltonian structures for MNLS type models

Both classes of NLEE possess hierarchies of Hamiltonian structures.

- The phase space $\mathcal{M}_{\text{MNLS}}$ of the MNLS type models is the linear space of off-diagonal matrices $q(x, t)$ tending to zero fast enough for $|x| \rightarrow \infty$

$$\mathcal{M}_J \equiv \{q(x, t), \quad \pi_0 q(x, t) = 0\},$$

and the hierarchy of symplectic structures is given by:

$$\Omega_q^{(k)} = i \int_{-\infty}^{\infty} dx \left\langle \delta q \wedge \Lambda^k \text{ad}_J^{-1} \delta q(x, t) \right\rangle.$$

- The phase space \mathcal{M}_S of their gauge equivalent equations is the nonlinear manifold of all $\mathcal{S}(x, t)$ satisfying equations of the nonlinear constraints and such that $\mathcal{S}(x, t) - J$ are smooth functions tending to zero fast enough for $|x| \rightarrow \infty$:

$$\tilde{\mathcal{M}}_S \equiv \{S(x, t), \quad S(x, t) = g^{-1} J g(x, t)\}.$$

The family of compatible 2-forms is:

$$\tilde{\Omega}_S^{(k)} = i \int_{-\infty}^{\infty} dx \operatorname{tr} \left(\delta \mathcal{S} \wedge \tilde{\Lambda}^k[\mathcal{S}, \delta \mathcal{S}(x, t)] \right).$$

Here Λ and $\tilde{\Lambda}$ are the recursion operator of the MNLS type equations and its gauge equivalent: $\tilde{\Lambda} = g^{-1} \Lambda g(x, t)$.

5. Dressing Method and Soliton Solutions

- **Main goal:** starting from a solution $\chi_0^\pm(x, t, \lambda)$ of $L_0(\lambda)$ with potential $Q_{(0)}(x, t)$ **to construct** a new singular solution $\chi_1^\pm(x, t, \lambda)$ with singularities located at prescribed positions λ_1^\pm ;

the reduction $\mathbf{p} = \mathbf{q}^\dagger$ ensures that $\lambda_1^- = (\lambda_1^+)^*$.

- The **new solutions** $\chi_1^\pm(x, t, \lambda)$ will correspond to **a potential** $Q_{(1)}(x, t)$ of $L(\lambda)$ with two additional discrete eigenvalues λ_1^\pm , related to the regular one by

$$\chi_1^\pm(x, t, \lambda) = u(x, \lambda)\chi_0^\pm(x, t, \lambda)u_-^{-1}(\lambda). \quad u_-(\lambda) = \lim_{x \rightarrow -\infty} u(x, \lambda)$$

Here $u_-(\lambda)$ is a diagonal matrix.

- The **dressing factor** $u(x, \lambda)$ must satisfy the equation

$$i \frac{du}{dx} + Q_{(1)}(x)u - uQ_{(0)}(x) - \lambda[J, u(x, \lambda)] = 0,$$

and the **normalization condition**

$$\lim_{\lambda \rightarrow \infty} u(x, \lambda) = \mathbb{1}.$$

- Besides $\chi_i^\pm(x, \lambda)$, $i = 0, 1$ and $u(x, \lambda)$ must belong to the corresponding Lie group \mathfrak{G} ;
- in addition $u(x, \lambda)$ by construction has poles and/or zeroes at λ_1^\pm .

- The **construction of** $u(x, \lambda)$ is based on **an appropriate anzats specifying**

explicitly the form of its λ -dependence:

$$u(x, \lambda) = \mathbb{1} + (c_1(\lambda) - 1) P_1(x, t) + \left(\frac{1}{c_1(\lambda)} - 1 \right) \bar{P}_1(x, t),$$

$$c_1(\lambda) = \frac{\lambda - \lambda_1^+}{\lambda - \lambda_1^-},$$

where the projectors $P_1(x, t)$ and $\bar{P}_1(x, t)$ are of rank 1 and are related by $\bar{P}_1(x) = S P_1^T(x) S^{-1}$.

– They must satisfy

$$\bar{P}_1(x, t) P_1(x, t) = P_1(x, t) \bar{P}_1(x, t) = 0.$$

– By S we have denoted the special matrix which enters in the definition of the orthogonal algebra, i.e. $X \in \mathfrak{G}$ if $X + S X^T S^{-1} = 0$.

- In the typical representation of $so(5)$ we have

$$S = \sum_{k=1}^5 (-1)^{k+1} E_{k,6-k}$$

where $(E_{ij})_{km} = \delta_{ik}\delta_{jm}$.

The explicit construction of $P_1(x, t)$ and $\bar{P}_1(x, t)$ using the ‘polarization’ vectors is done in [Gerdjikov, Grahovski, Kostov; 2005].

- The new potential equals:

$$Q_{(1)}(x, t) - Q_{(0)}(x, t) = (\lambda_1^+ - \lambda_1^-)[J, P_1(x, t) - \bar{P}_1(x, t)].$$

- The λ -dependence of $u(x, \lambda)$ may depend [Gerdjikov, Grahovski, Ivanov, Kostov; 2000] on the choice of the representation of \mathfrak{g} .

- For the gauge-equivalent MHF systems ($\mathfrak{g} \simeq \mathbf{B}_r, \mathbf{D}_r$):

$$\tilde{u}(x, \lambda) = \mathbb{1} + \left(\frac{c_1(\lambda)}{c_1(0)} - 1 \right) \tilde{P}_1 + \left(\frac{c_1(0)}{c_1(\lambda)} - 1 \right) \tilde{P}_{-1},$$

where $\tilde{P}_{\pm 1} = g_{(0)}^{-1} P_{\pm 1} g_{(0)}(x, t)$.

The projectors $\tilde{P}_{\pm 1}$ satisfy the equations:

$$i \frac{d\tilde{P}_1}{dx} + \lambda_1^- \tilde{P}_1 \mathcal{S}_{(0)} - \lambda_1^- \mathcal{S}_{(1)} \tilde{P}_1 = 0,$$

$$i \frac{d\tilde{P}_{-1}}{dx} + \lambda_1^+ \tilde{P}_{-1} \mathcal{S}_{(0)} - \lambda_1^+ \mathcal{S}_{(1)} \tilde{P}_{-1} = 0,$$

and the "dressed" potential can be obtained by:

$$\mathcal{S}_{(1)} = \mathcal{S}_{(0)} + i \frac{\lambda_1^+ - \lambda_1^-}{\lambda_1^+ \lambda_1^-} \frac{d}{dx} (-\lambda_1^+ \tilde{P}_1(x) + \lambda_1^- \tilde{P}_{-1}(x)).$$

The dressing factors can be written in the form:

$$\tilde{u}(x, \lambda) = \exp \left[\ln \left(\frac{c_1(\lambda)}{c_1(0)} \right) \tilde{p}(x) \right],$$

where $\tilde{p}(x) = \tilde{P}_1 - \tilde{P}_{-1} \in \mathfrak{g}$ and consequently $\tilde{u}(x, \lambda)$ belongs to the corresponding orthogonal group.

6. Examples

- **Example 1:** $\mathfrak{g} \simeq so(5)$

$$\Delta_+ = \{\alpha_1 = e_1 - e_2, \alpha_2 = e_2, \alpha_3 = \alpha_1 + \alpha_2, \alpha_4 = \alpha_1 + 2\alpha_2\}.$$

► Choose $\alpha_1(J) = 0$ $\Delta_1^+ = \{\alpha_2, \alpha_3, \alpha_4\}$ of $so(5)$,

$$q(x, t) \equiv \sum_{\alpha \in \Delta_1^+} (q_\alpha E_\alpha + p_\alpha E_{-\alpha}) = \begin{pmatrix} 0 & 0 & q_{11} & q_{12} & 0 \\ 0 & 0 & q_1 & 0 & q_{12} \\ p_{11} & p_1 & 0 & q_1 & -q_{11} \\ p_{12} & 0 & p_1 & 0 & 0 \\ 0 & p_{12} & -p_{11} & 0 & 0 \end{pmatrix};$$

$$J = \text{diag}(a, a, 0, -a, -a).$$

◆ This choice is not related to any symmetric space!!!

► 6-component MNLS:

$$i\frac{\partial q_{12}}{\partial t} + \frac{1}{2a}\frac{\partial^2 q_{12}}{\partial x^2} + \frac{1}{a}q_{12}(q_1 p_1 + q_{11} p_{11} + q_{12} p_{12}) + \frac{i}{a}q_1 q_{11,x} - \frac{i}{a}q_{11} q_{1,x} = 0,$$

$$i\frac{\partial q_{11}}{\partial t} + \frac{1}{a}\frac{\partial^2 q_{11}}{\partial x^2} + \frac{1}{a}q_{11}(q_1 p_1 + q_{11} p_{11} + \frac{1}{2}q_{12} p_{12}) + \frac{i}{a}q_{12} p_{1,x} + \frac{i}{2a}q_{12,x} p_1 = 0,$$

$$i\frac{\partial q_1}{\partial t} + \frac{1}{a}\frac{\partial^2 q_1}{\partial x^2} + \frac{1}{a}q_1(q_1 p_1 + q_{11} p_{11} + \frac{1}{2}q_{12} p_{12}) - \frac{i}{a}q_{12} p_{11,x} - \frac{i}{2a}q_{12,x} p_{11} = 0,$$

$$i\frac{\partial p_1}{\partial t} - \frac{1}{a}\frac{\partial^2 p_1}{\partial x^2} - \frac{1}{a}p_1(q_1 p_1 + q_{11} p_{11} + \frac{1}{2}q_{12} p_{12}) - \frac{i}{a}p_{12} q_{11,x} - \frac{i}{2a}p_{12,x} q_{11} = 0,$$

$$i\frac{\partial p_{11}}{\partial t} - \frac{1}{a}\frac{\partial^2 p_{11}}{\partial x^2} - \frac{1}{a}p_{11}(q_1 p_1 + q_{11} p_{11} + \frac{1}{2}q_{12} p_{12}) + \frac{i}{a}p_{12} q_{1,x} + \frac{i}{2a}p_{12,x} q_1 = 0,$$

$$i\frac{\partial p_{12}}{\partial t} - \frac{1}{2a}\frac{\partial^2 p_{12}}{\partial x^2} - \frac{1}{a}p_{12}(q_1 p_1 + q_{11} p_{11} + q_{12} p_{12}) + \frac{i}{a}p_1 p_{11,x} - \frac{i}{a}p_{11} p_{1,x} = 0.$$

- The corresponding MHF system takes the form:

$$iS_t - \frac{5}{4a^2}[S, S_{xx}] + \frac{1}{4a^4} ((\text{ad } S)^3 S_x)_x = 0,$$

where S is constrained by $\mathcal{S}(\mathcal{S}^2 - a^2)^2 = 0$.

- Impose the “canonical” reduction \rightarrow 3-component MNLS

$$\begin{aligned} i\frac{dq_{12}}{dt} + \frac{1}{2a}\frac{d^2q_{12}}{dx^2} - \frac{1}{a}q_{12}(|q_1|^2 + |q_{11}|^2 + |q_{12}|^2) + \frac{i}{a}q_1q_{11,x} - \frac{i}{a}q_{11}q_{1,x} &= 0 \\ i\frac{dq_{11}}{dt} + \frac{1}{a}\frac{d^2q_{11}}{dx^2} - \frac{1}{a}q_{11}(|q_1|^2 + |q_{11}|^2 + \frac{1}{2}|q_{12}|^2) + \frac{i}{a}q_{12}q_{1,x}^* + \frac{i}{2a}q_{12,x}q_1^* &= 0 \\ i\frac{dq_1}{dt} + \frac{1}{a}\frac{d^2q_1}{dx^2} - \frac{1}{a}q_1(|q_1|^2 + |q_{11}|^2 + \frac{1}{2}|q_{12}|^2) - \frac{i}{a}q_{12}q_{11,x}^* - \frac{i}{2a}q_{12,x}q_{11}^* &= 0, \end{aligned}$$

For the gauge-equivalent system: $\mathcal{S}^\dagger = \mathcal{S}$.

7. Open problems

- to study reductions of the MNLS and their gauge equiv. systems;
- to study the internal structure of the soliton solutions and soliton interactions (for both types of systems);
- to study the spectral decompositions for the recursion operators (for both types of models).

Thank you!

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